

# Solid-State Transformers

## Key Components for Future Transportation and Smart Grid Applications

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[www.pes.ee.ethz.ch](http://www.pes.ee.ethz.ch)



# Outline

- ▶ Transformer (XFMR) History
- ▶ Solid-State Transformer (SST) Concept
  
- ▶ Classification of Topologies
- ▶ Demonstrator Systems
- ▶ Research Topics @ ETH Zurich
  
- ▶ Evaluation / Challenges
- ▶ Conclusions

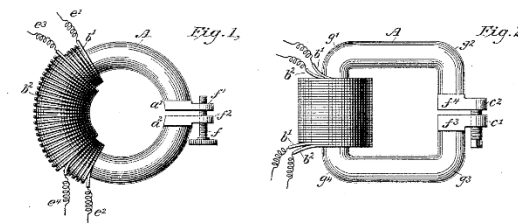
# History

*Transformer*  
*"Electronic" Transformer*

## ► Classical Transformer (XFMR) – History (1)

- \* 1830 - Henry/Faraday
- \* 1878 - Ganz Company (Hungary)
- \* 1880 - Ferranti
- \* 1882 - Gaulard & Gibbs
- \* 1884 - Blathy/Zipernowski/Deri

- Property of Induction
- Toroidal Transformer (AC Incandescent Syst.)
- Early Transformer
- Linear Shape XFMR (1884, 2kV, 40km)
- Toroidal XFMR (inverse type)



Patented Sept. 21, 1886.

No. 349,611.

W. STANLEY, Jr.  
INDUCTION COIL.



- \* 1885 - Stanley & (Westinghouse)

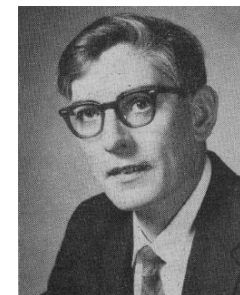
- Easy Manufact. XFMR (1<sup>st</sup> Full AC Distr. Syst.)

# United States Patent Office

3,517,300  
Patented June 23, 1970

1

3,517,300  
**POWER CONVERTER CIRCUITS HAVING A HIGH FREQUENCY LINK**  
William McMurray, Schenectady, N.Y., assignor to General Electric Company, a corporation of New York  
Filed Apr. 16, 1968, Ser. No. 721,817  
Int. Cl. H02m 5/16, 5/30  
U.S. Cl. 321-60 14 Claims



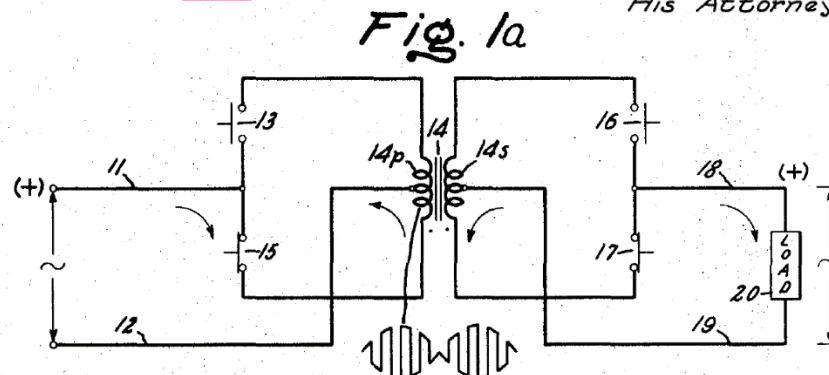
Inventor:  
William McMurray,  
by Donald F. Campbell  
His Attorney.

1968!

Filed April 16, 1968

## ABSTRACT OF THE DISCLOSURE

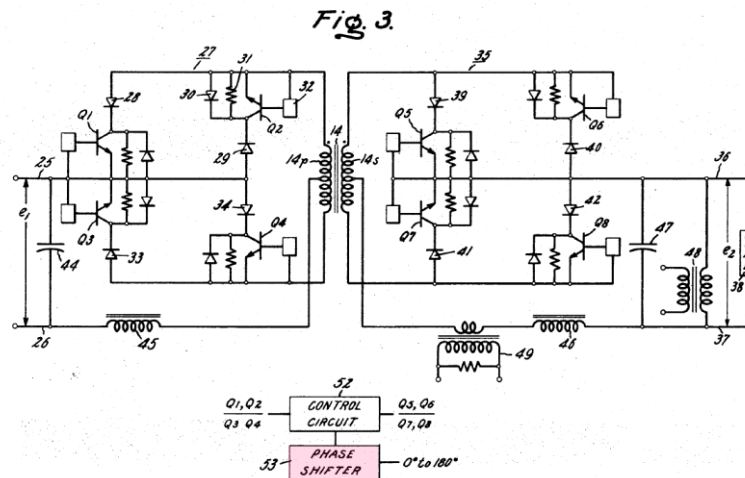
Several single phase solid state power converter circuits have a high frequency transformer link whose windings are connected respectively to the load and to a D-C or low frequency A-C source through inverter configuration switching circuits employing inverse-parallel pairs of controlled turn-off switches (such as transistors or gate turn-off SCR's) as the switching devices. Filter means are connected across the input and output terminals. By synchronously rendering conductive one switching device in each of the primary and secondary side circuits, and alternately rendering conductive another device in each switching circuit, the input potential is converted to a high frequency wave, transformed, and reconstructed at the output terminals. Wide range output voltage control is obtained by phase shifting the turn-on of the switching devices on one side with respect to those on the other side by 0° to 180°, and is used to effect current limiting, current interruption, current regulation, and voltage regulation.



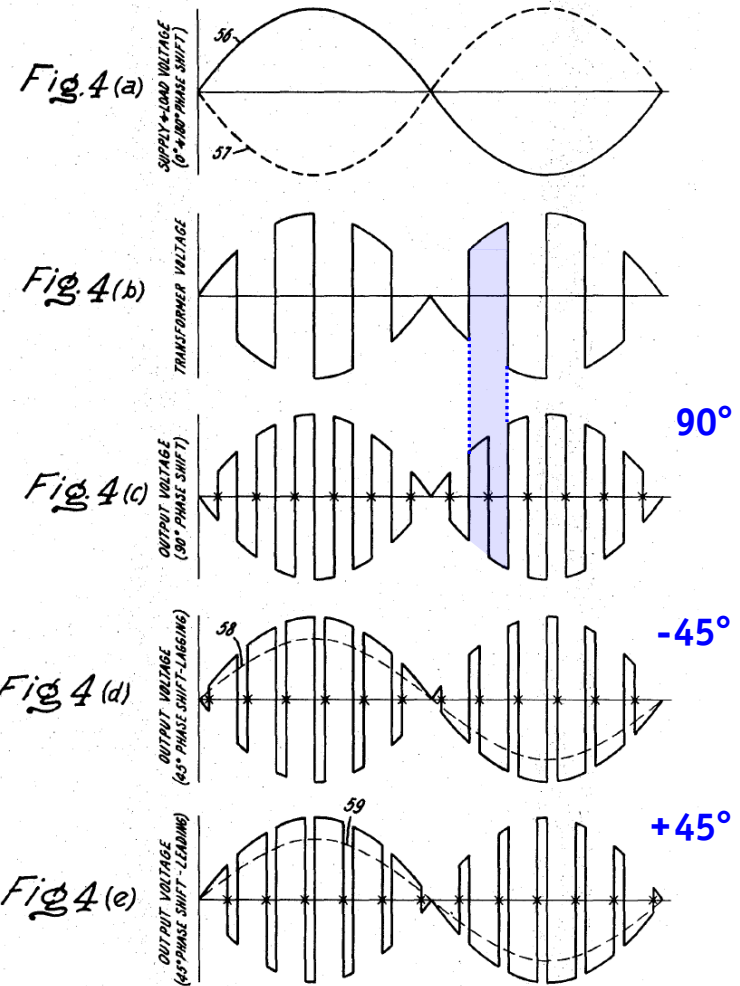
- HF Transformer Link AC/AC Power Converter ( $f_1 = f_2$ )
- AC or DC Voltage Regulation & Current Regulation/Limitation/Interruption

## ► HF Transformer Link Converter

- Inverse-Paralleled Pairs of Turn-off Switches
- 50% Duty Cycle of Input and Output Stage



- $f_1 = f_2 \rightarrow$  Not Controllable (!)
- Voltage Adjustment by Phase Shift Control (!)



# The Thyristor Electronic Transformer: a Power Converter Using a High-Frequency Link

WILLIAM McMURRAY, SENIOR MEMBER, IEEE

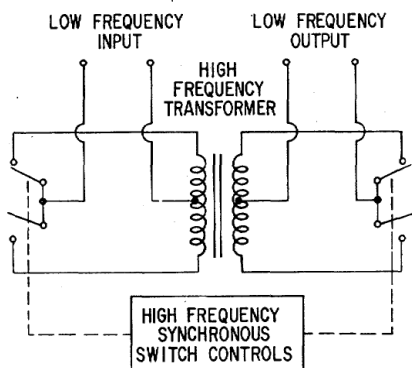


Fig. 1. Principle of electronic transformer.

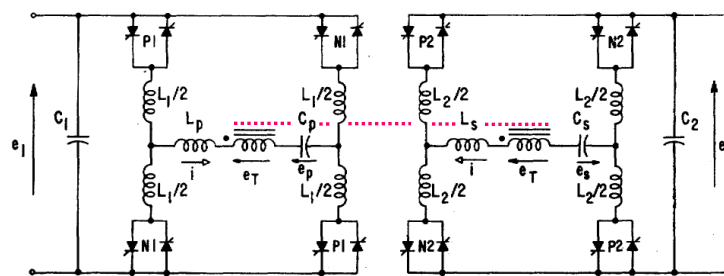


Fig. 5. Double-bridge electronic transformer; arrows define positive polarity of voltages and currents.

- Input/Output Isolation
- "Fixed" Voltage Transfer Ratio (!)
- Current Limitation Feature
- $f \approx f_{res}$  (ZCS) Series Res. Converter

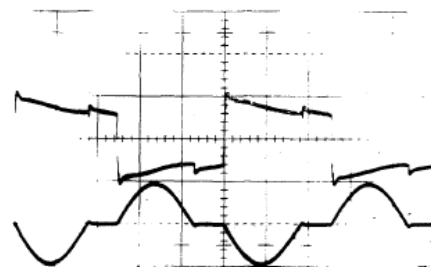
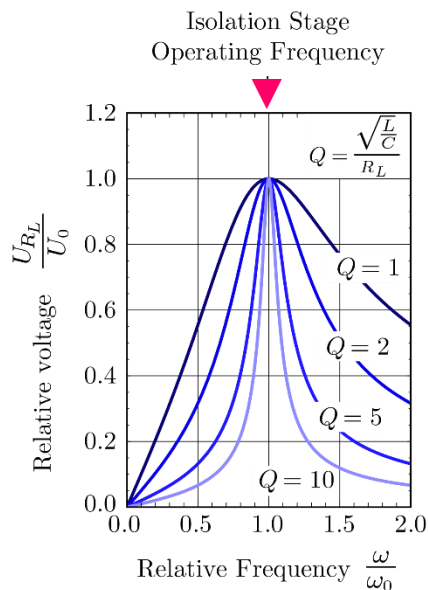


Fig. 8. Transformer waveforms, dc load 10 A; search-coil voltage—72 V/div; primary current—50 A/div; time—20  $\mu$ s/div.

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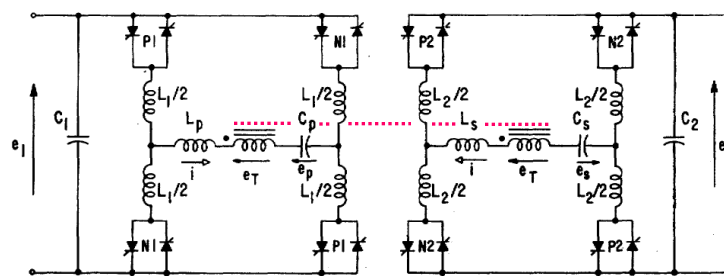


Fig. 5. Double-bridge electronic transformer; arrows define positive polarity of voltages and currents.

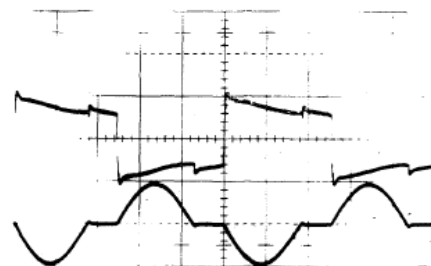


Fig. 8. Transformer waveforms, dc load 10 A; search-coil voltage—72 V/div; primary current—50 A/div; time—20  $\mu$ s/div.



# ► "Solid-State" Transformer

United States Patent [19]

[11] 4,347,474

Brooks et al.

[45] Aug. 31, 1982

[54] SOLID STATE REGULATED POWER TRANSFORMER WITH WAVEFORM CONDITIONING CAPABILITY

[75] Inventors: James L. Brooks, Oxnard; Roger I. Staab, Camarillo, both of Calif.; James C. Bowers; Harry A. Nienhaus, both of Tampa, Fla.

[73] Assignee: The United States of America as represented by the Secretary of the Navy, Washington, D.C.

[21] Appl. No.: 188,419

[22] Filed: Sep. 18 1980 ← 1980 !

- No Isolation (!)
- "Transformer" with Dyn. Adjustable Turns Ratio

## OTHER PUBLICATIONS

Bowers et al, "A Solid State Transformer", PESC '80

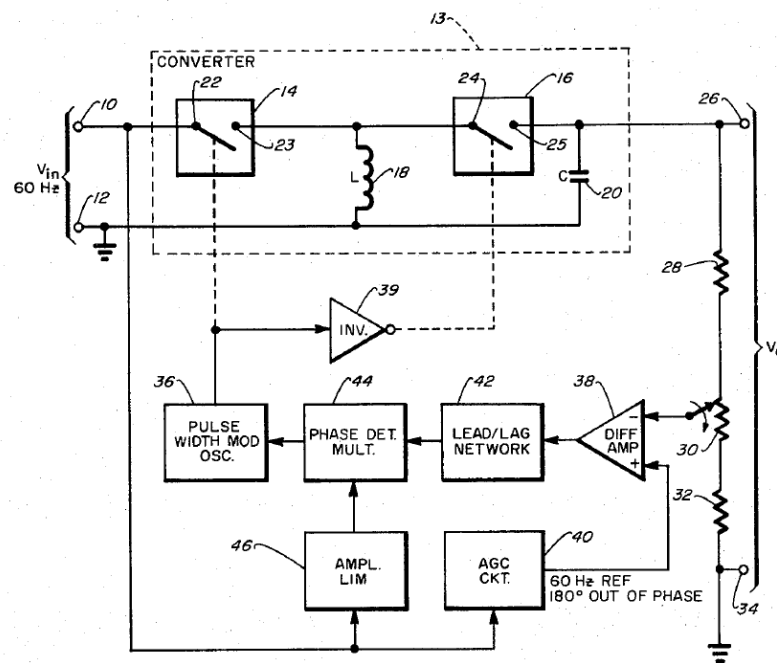


Fig. 1.

# "Solid-State" Transformer (SST)

*XFMR Scaling Laws*  
*SST Application Areas / Concept*

## ► Classical Transformer – Basics (1)

- Magnetic Core Material \* Silicon Steel / Nanocrystalline / Amorphous / Ferrite
- Winding Material \* Copper or Aluminium
- Insulation/Cooling \* Mineral Oil or Dry-Type
  
- Operating Frequency \* 50/60Hz (El. Grid, Traction) or  $16\frac{2}{3}$  Hz (Traction)
- Operating Voltage \* 10kV or 20 kV (6...35kV)
- \* 15kV or 25kV (Traction)
- \* 400V

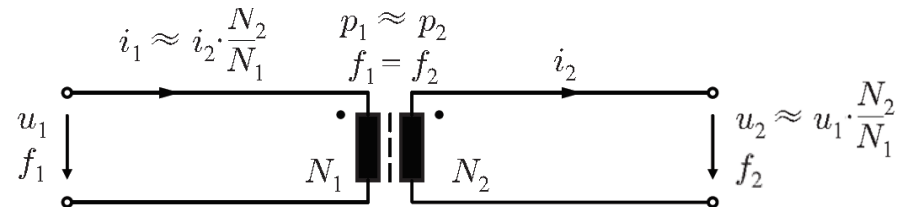
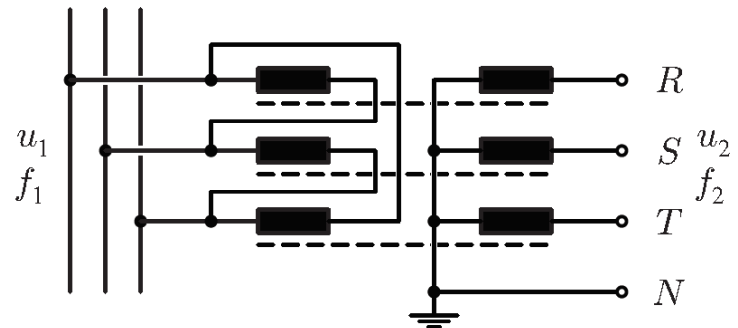
- Voltage Transf. Ratio \* Fixed
- Current Transf. Ratio \* Fixed
- Active Power Transf. \* Fixed ( $P_1 \approx P_2$ )
- React. Power Transf. \* Fixed ( $Q_1 \approx Q_2$ )
- Frequency Ratio \* Fixed ( $f_1 = f_2$ )

- Magnetic Core Cross Section

$$A_{Core} = \frac{1}{\sqrt{2\pi}} \frac{U_1}{\hat{B}_{max} f N_1} \frac{1}{N_1}$$

- Winding Window

$$A_{Wdg} = \frac{2I_1}{k_W J_{rms}} N_1$$



## ► Classical Transformer – Basics (2)

### - Advantages

- Relatively Inexpensive
- Highly Robust / Reliable
- Highly Efficient (98.5%...99.5% Dep. on Power Rating)
- Short Circuit Current Limitation

### - Weaknesses

- Voltage Drop Under Load
- Losses at No Load
- Sensitivity to Harmonics
- Sensitivity to DC Offset Load Imbalances
- Provides No Overload Protection
- Possible Fire Hazard
- Environmental Concerns

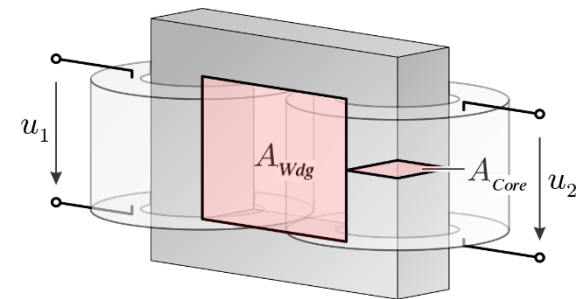
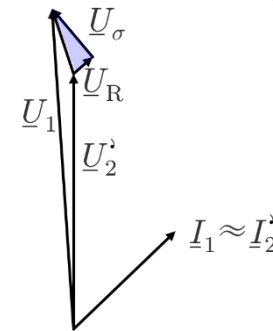
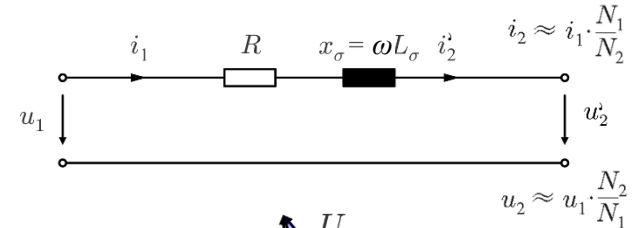
### • Construction Volume

$$A_{Core} A_{Wdg} = \frac{\sqrt{2}}{\pi} \frac{P_t}{k_W J_{rms} \hat{B}_{max} f}$$

$P_t$  .... Rated Power  
 $k_W$  .... Window Utilization Factor (Insulation)  
 $\hat{B}_{max}$  ... Flux Density Amplitude  
 $J_{rms}$  ... Winding Current Density (Cooling)  
 $f$  ..... Frequency

↑ ↑ ↑

- Low Frequency → Large Weight / Volume



## ► Classical Transformer – Basics (2)

### - Advantages

- Relatively Inexpensive
- **Highly Robust / Reliable**
- Highly Efficient (98.5%...99.5% Dep. on Power Rating)
- Short Circuit Current Limitation

Welding Transformer (Zimbabwe) – Source: <http://www.africancrisis.org>

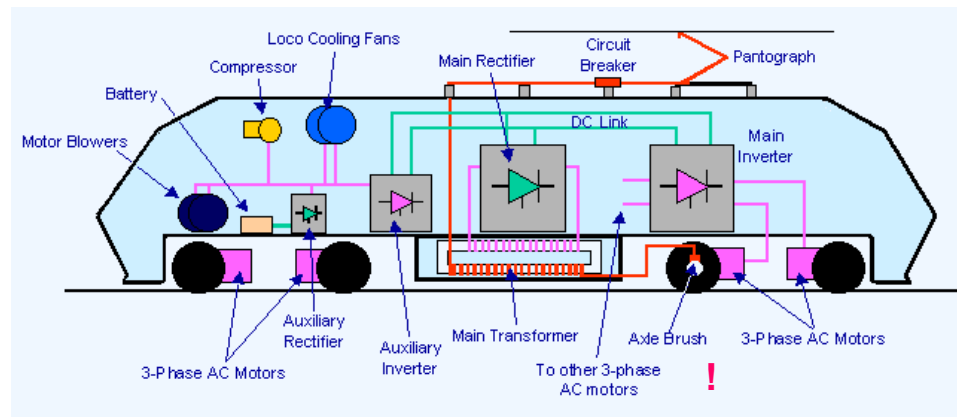
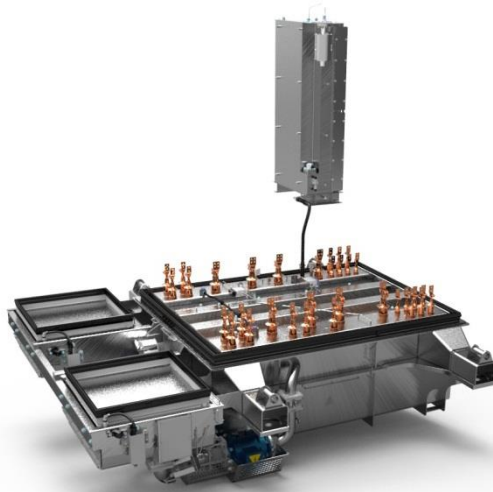
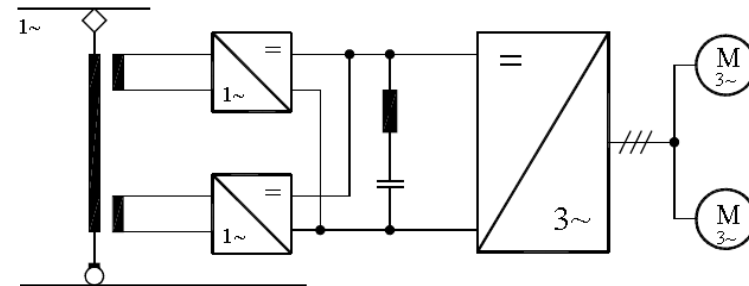


# SST Applications

—— *Traction (Locomotives)* ——

## ► Classical Locomotives

- Catenary Voltage **15kV or 25kV**
- Frequency **16<sup>2</sup>/<sub>3</sub>Hz or 50Hz**
- Power Level **1...10MW typ.**



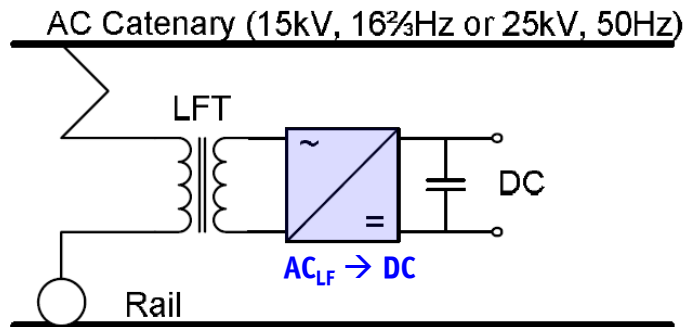
- Transformer:
 

<b>Efficiency</b>	<b>90...95%</b> (due to Restr. Vol., 99% typ. for Distr. Transf.)
<b>Current Density</b>	<b>6 A/mm<sup>2</sup></b> (2A/mm <sup>2</sup> typ. Distribution Transformer)
<b>Power Density</b>	<b>2...4 kg/kVA</b>

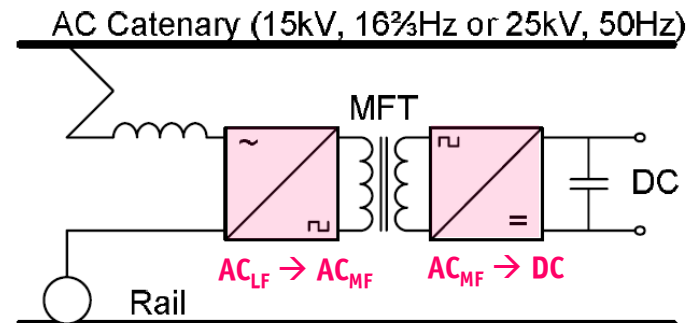
## ► Next Generation Locomotives

- Trends
  - \* Distributed Propulsion System → Volume Reduction (Decreases Efficiency)
  - \* Energy Efficient Rail Vehicles → Loss Reduction (Requires Higher Volume)
  - \* Red. of Mech. Stress on Track → Mass Reduction

Source: ABB



Conventional AC-DC conversion with a line frequency transformer (LFT).



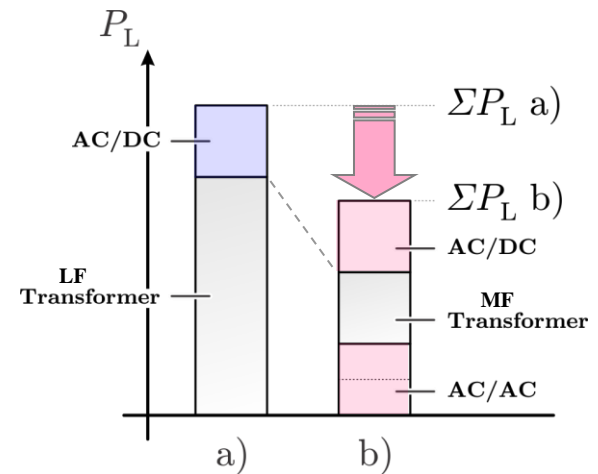
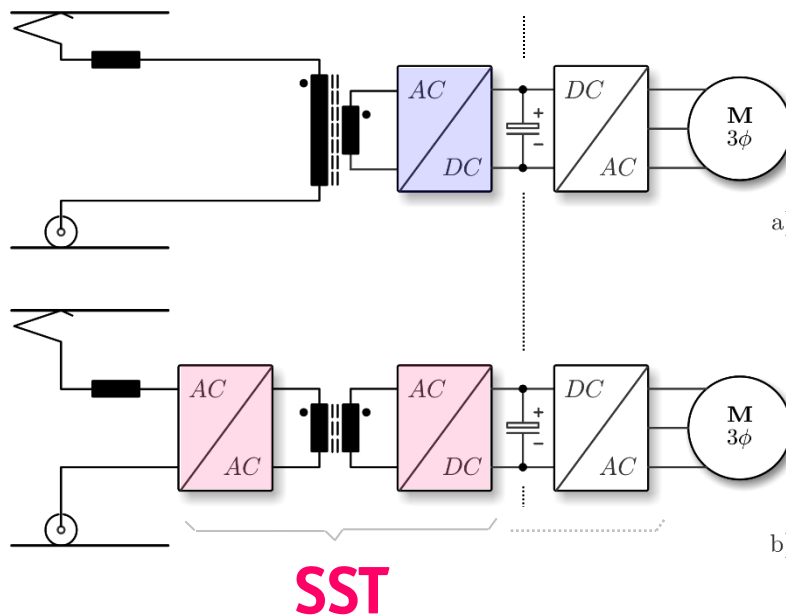
AC-DC conversion with medium frequency transformer (MFT).

- Replace LF Transformer by **Medium Frequency Power Electronics Transformer** → **SST**
- **Medium Frequency Provides Degree of Freedom** → **Allows Loss Reduction AND Volume Reduction**



## ► Next Generation Locomotives

### - Loss Distribution of Conventional & Next Generation Locomotives



- Medium Freq. Provides Degree of Freedom → Allows Loss Reduction AND Volume Reduction

*Future Smart  
EE Distribution*

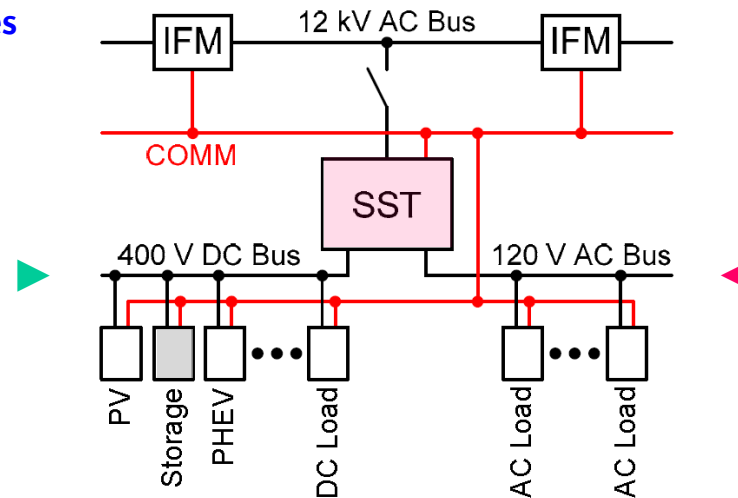
## ► Future Ren. Electric Energy Delivery & Management (FREEDM) Syst.

- Huang et al. (2008)

### ● SST as Enabling Technology for the "Energy Internet"

- Full Control of the Power Flow
- Integr. of DER (Distr. Energy Res.)
- Integr. of DES (Distr. E-Storage) + Intellig. Loads
- Protects Power System From Load Disturbances
- Protects Load from Power Syst. Disturbances
- Enables Distrib. Intellig. through COMM
- Ensure Stability & Opt. Operation
- etc.
- etc.

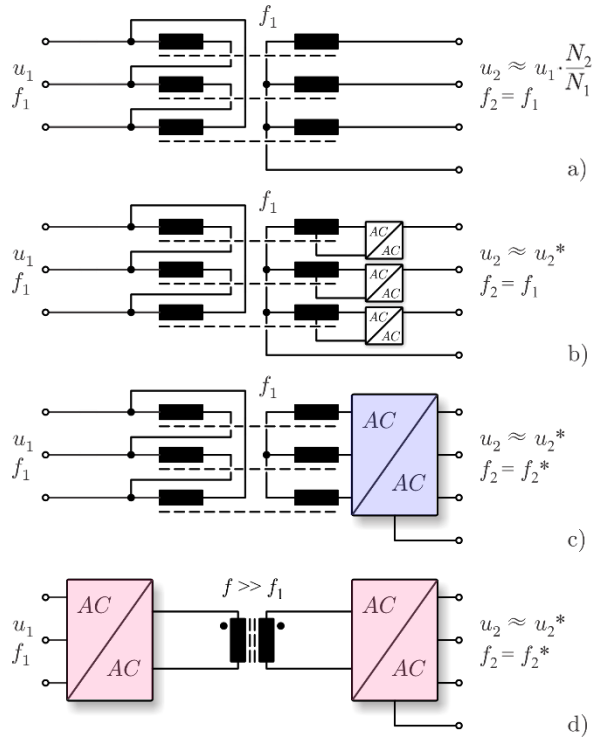
IFM = Intellig. Fault Management



- Bidirectional Flow of Power & Information / High Bandw. Comm. → Distrib. / Local Autonomous Cntrl

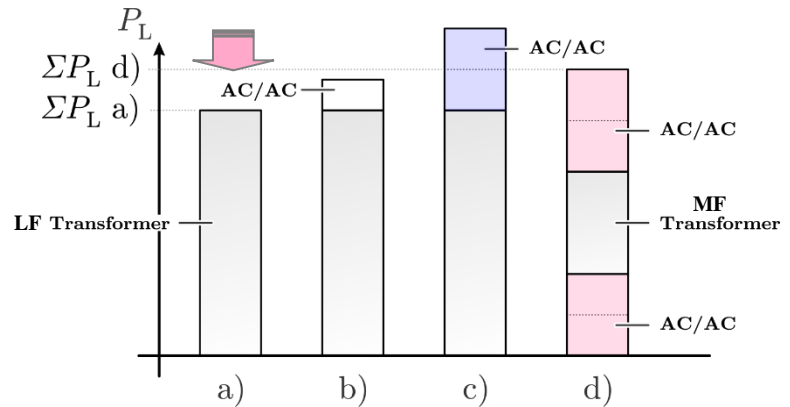
# ► Passive Transformer → SST

## - Efficiency Challenge



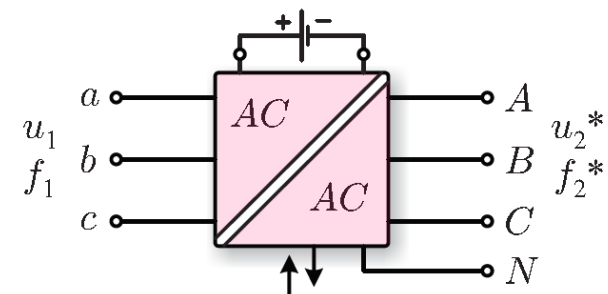
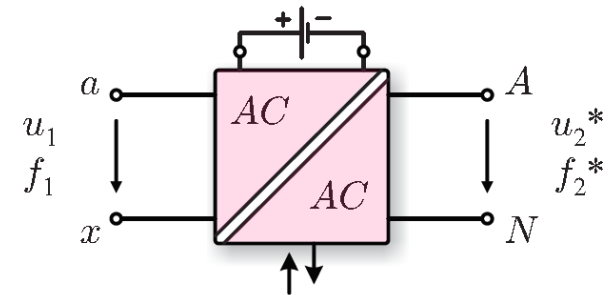
**LF Isolation**  
 Purely Passive (a)  
 Series Voltage Comp. (b)  
 Series AC Chopper (c)

**MF Isolation**  
 Active Input & Output Stage (d)



- Medium Freq. → Higher Transf. Efficiency Partly Compensates Converter Stage Losses
- Medium Freq. → Low Volume, High Control Dynamics

## ► Terminology



McMurray  
Brooks  
EPRI  
ABB  
Borojevic  
Wang  
etc.

Electronic Transformer (1968)  
Solid-State Transformer (SST, 1980)  
Intelligent Universal Transformer (IUT™)  
Power Electronics Transformer (PET)  
Energy Control Center (ECC)  
Energy Router

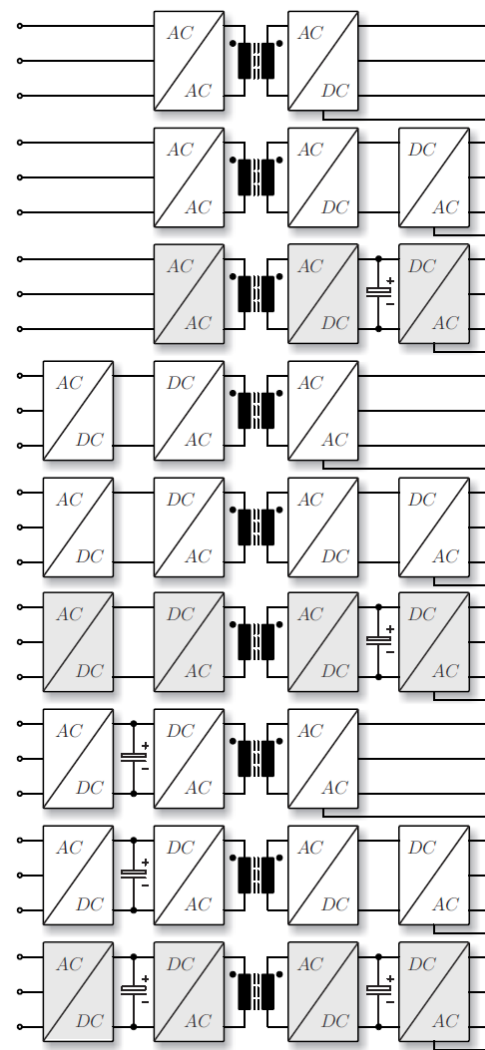
*Classification of  
SST Topologies*

## ► Basic SST Structures (1)

### ■ 1<sup>st</sup> Degree of Freedom of Topology Selection → Partitioning of the AC/AC Power Conversion

- \* DC-Link Based Topologies
- \* Direct/Indirect Matrix Converters
- \* Hybrid Combinations

- 1-Stage Matrix-Type Topologies
- 2-Stage with LV DC Link (Connection of Energy Storage)
- 2-Stage with MV DC Link (Connection to HVDC System)
- 3-Stage Power Conversion with MV and LV DC Link

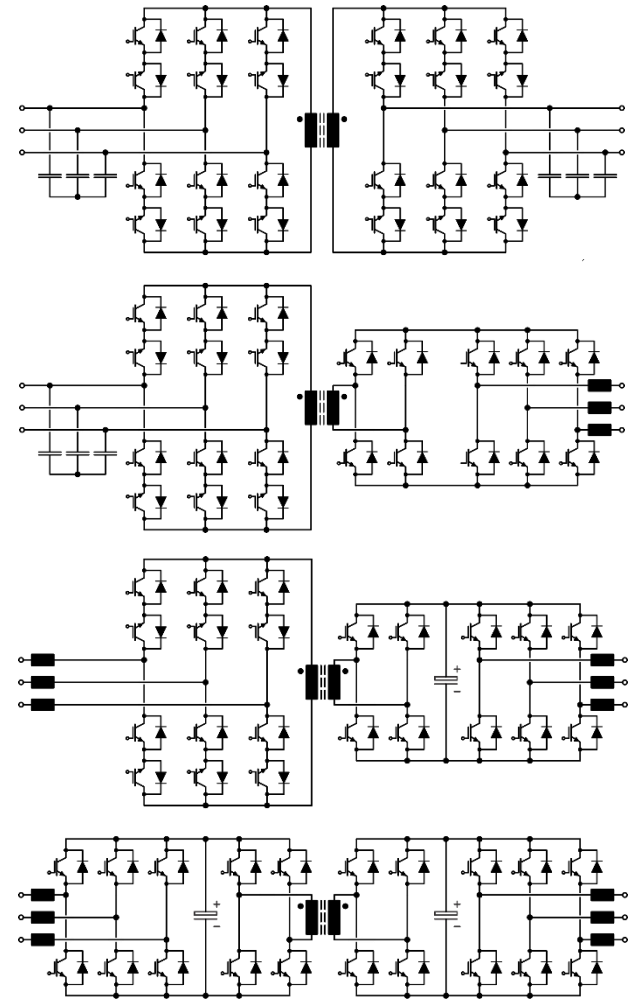


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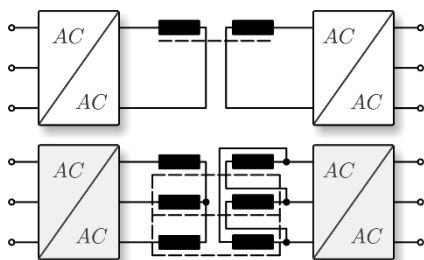


## ► Basic SST Structures (2)

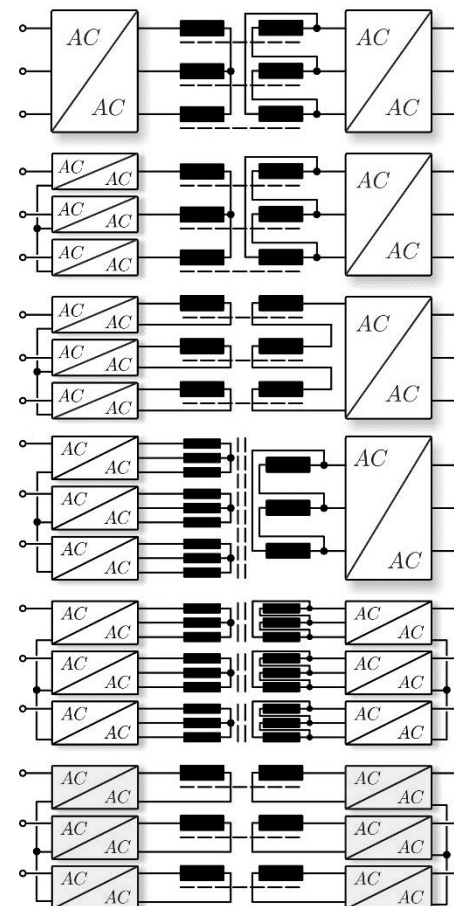
■ **2<sup>nd</sup> Degree of Freedom** of Topology Selection →  
Partial or Full Phase Modularity

- \* Phase-Modularity of Electric Circuit
- \* Phase-Modularity of Magnetic Circuit

\* Phase-Integrated SST



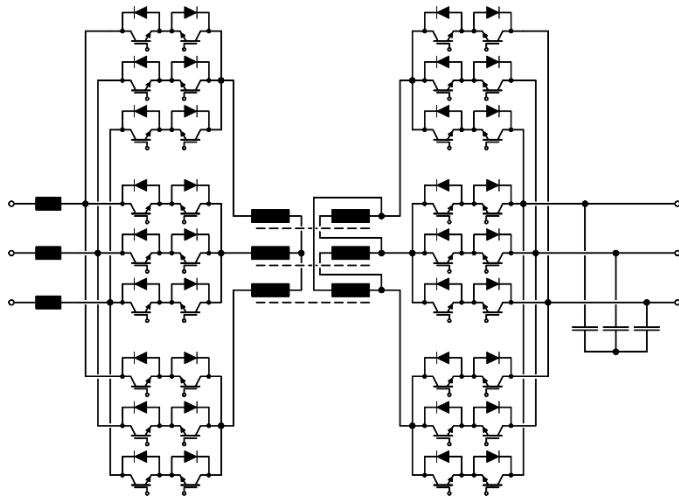
\* Possibility of Cross-Coupling of Input and Output Phases (UNIFLEX)



## ► Basic SST Structures (2)

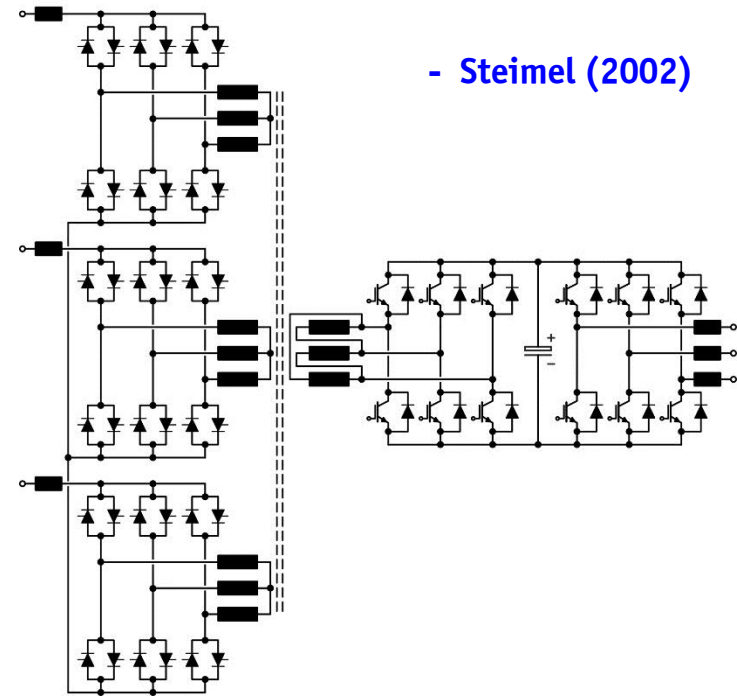
- 2<sup>nd</sup> Degree of Freedom of Topology Selection → Partial or Full Phase Modularity

- Enjeti (1997)



- Example of Three-Phase Integrated (Matrix) Converter & Magn. Phase-Modular Transf.

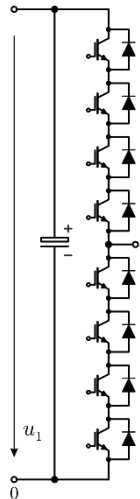
- Steimel (2002)



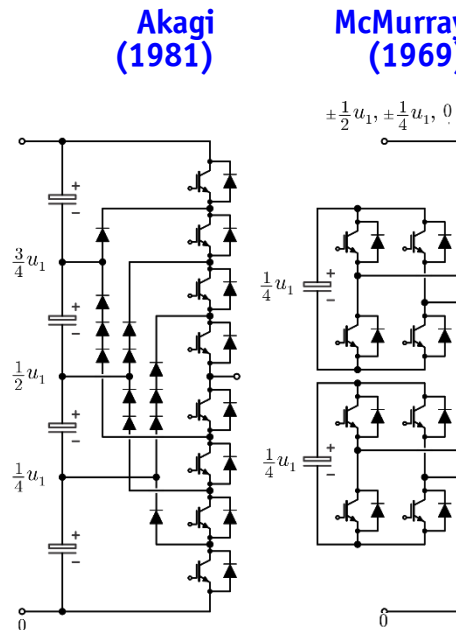
- Example of Partly Phase-Modular SST

## ► Basic SST Structures (3)

- 3<sup>rd</sup> Degree of Freedom of Topology Selection → Partitioning of Medium Voltage
- Multi-Cell and Multi-Level Approaches



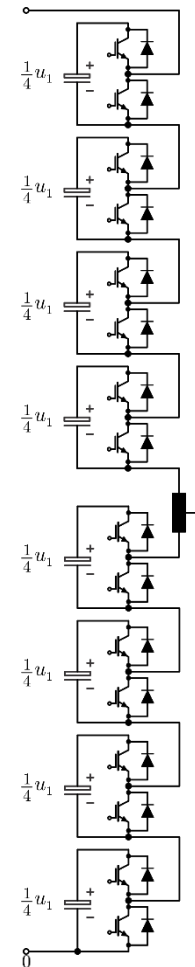
\* Two-Level Topology



Akagi  
(1981)

McMurray  
(1969)

$$\pm \frac{1}{2} u_1, \pm \frac{1}{4} u_1, 0$$



Alesina/  
Venturini  
(1981)

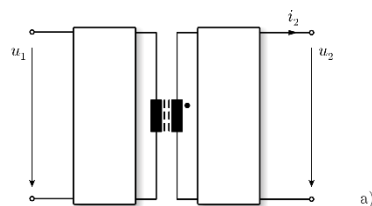
\* Multi-Level/  
Multi-Cell  
Topologies

## ► Basic SST Structures (3)

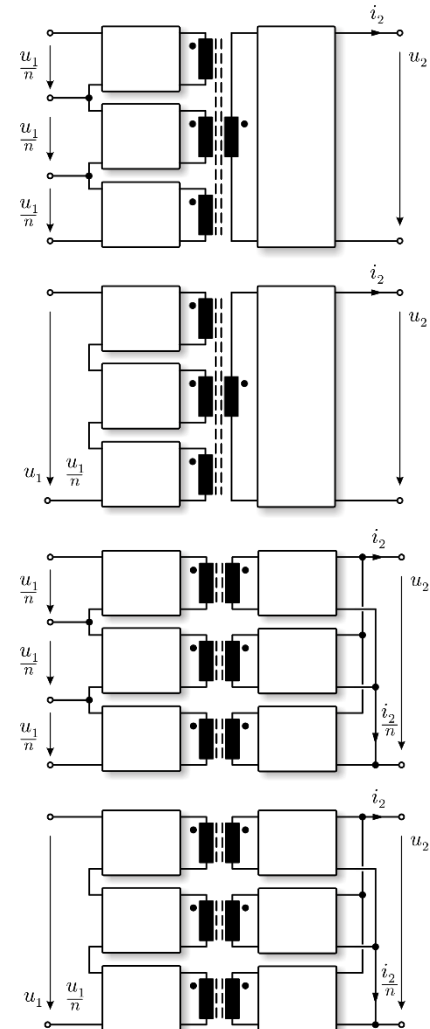
■ 3<sup>rd</sup> Degree of Freedom of Topology Selection →  
 Partitioning of Medium Voltage

- Multi-Cell and Multi-Level Approaches
- Low Blocking Voltage Requirement
- Low Input Voltage / Output Current Harmonics
- Low Input/Output Filter Requirement

\* Single-Cell / Two-Level Topology

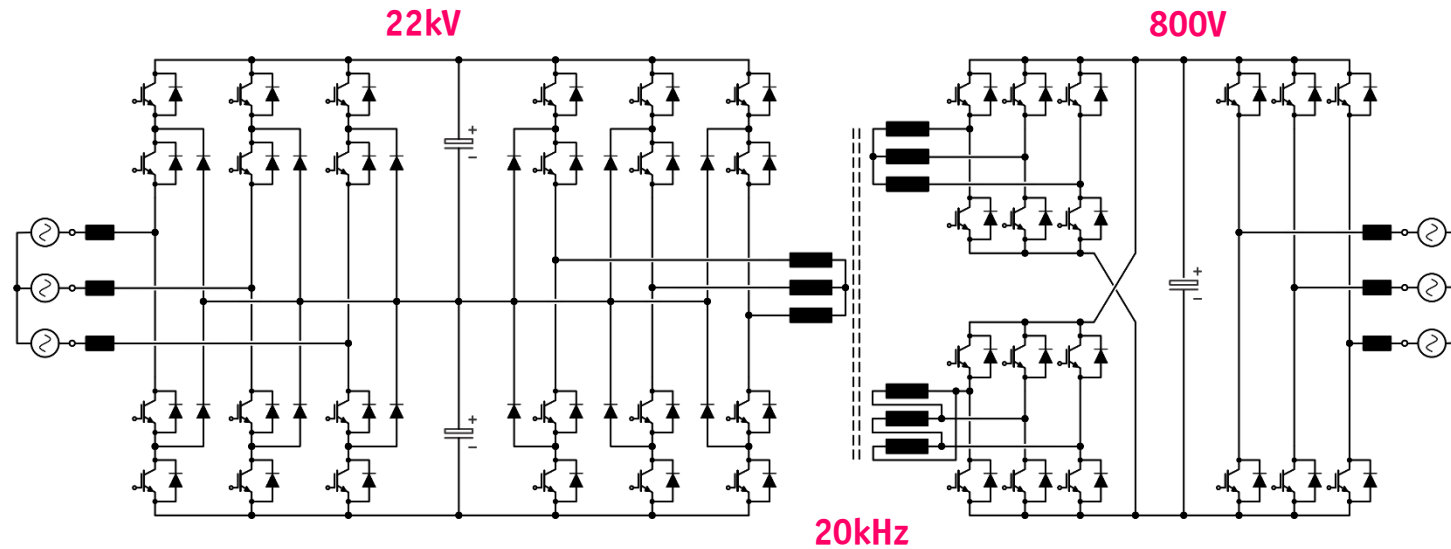


ISOP = Input Series /  
 Output Parallel  
 Topologies



## ► Basic SST Structures (3)

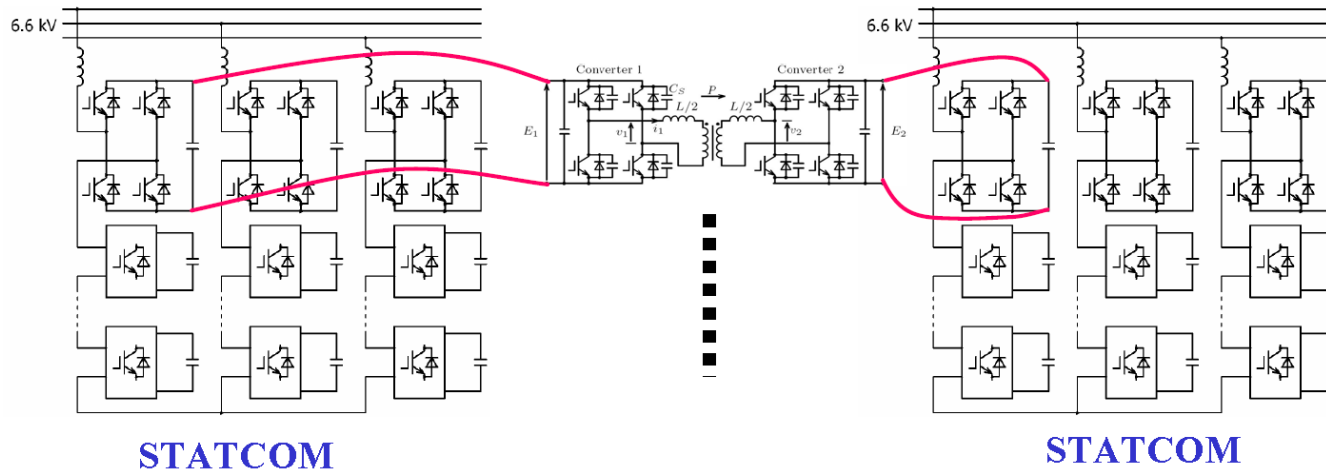
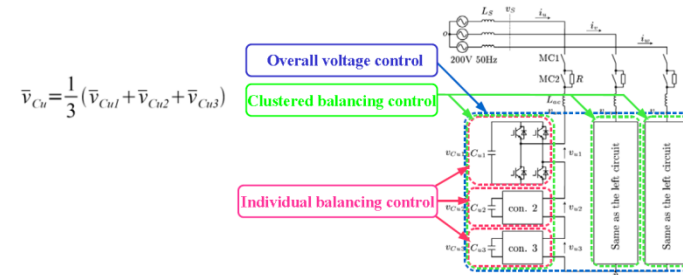
- Bhattacharya (2012)



- 13.8kV → 480V
- 15kV Si-IGBTs, 1200V SiC MOSFETs
- Scaled Prototype

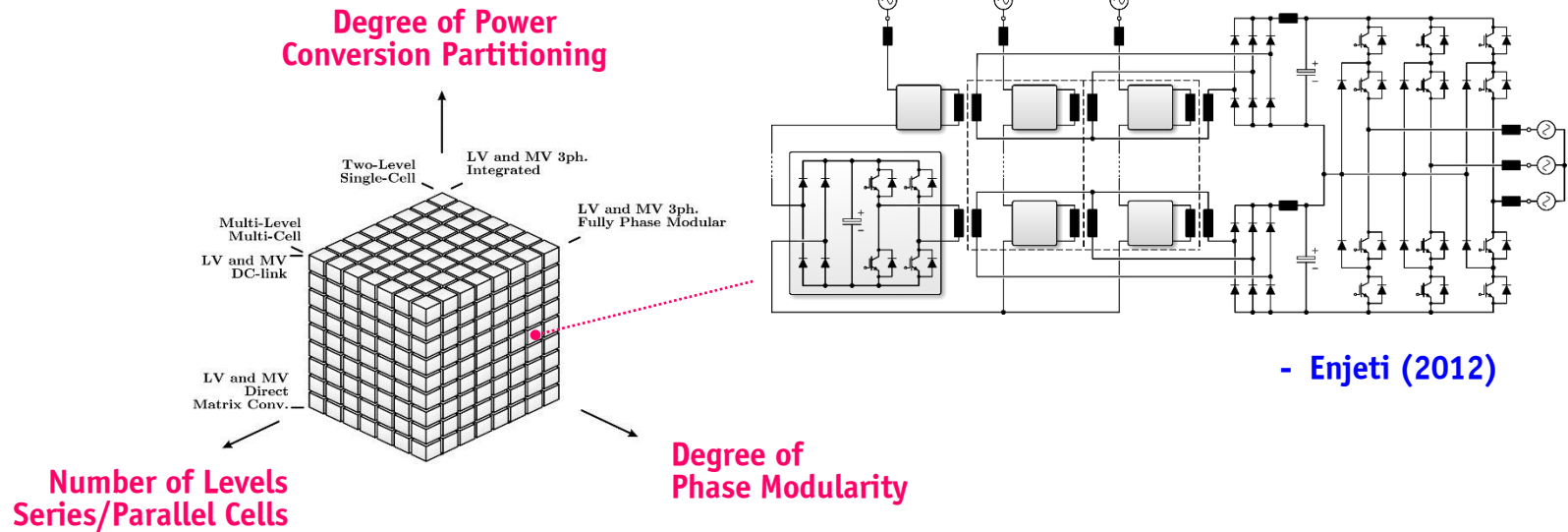
## Basic SST Structures (3)

- Akagi (2005)



- Back-to-Back Connection of MV Mains by MF Coupling of STATCOMs
- Combination of Clustered Balancing Control with Individual Balancing Control

## ► Classification of SST Topologies Summary



- Very (!) Large Number of Possible Topologies

- \* Partitioning of Power Conversion
  - \* Splitting of 3ph. System into Individual Phases
  - \* Splitting of Medium Operating Voltage into Lower Partial Voltages
- Matrix & DC-Link Topologies
  - Phase Modularity
  - Multi-Level/Cell Approaches

# Industry Demonstrator System

- *Future Locomotives*
- *Future Smart Grid Applications* →



# ► 1ph. AC/DC Power Electronic Transformer - PET



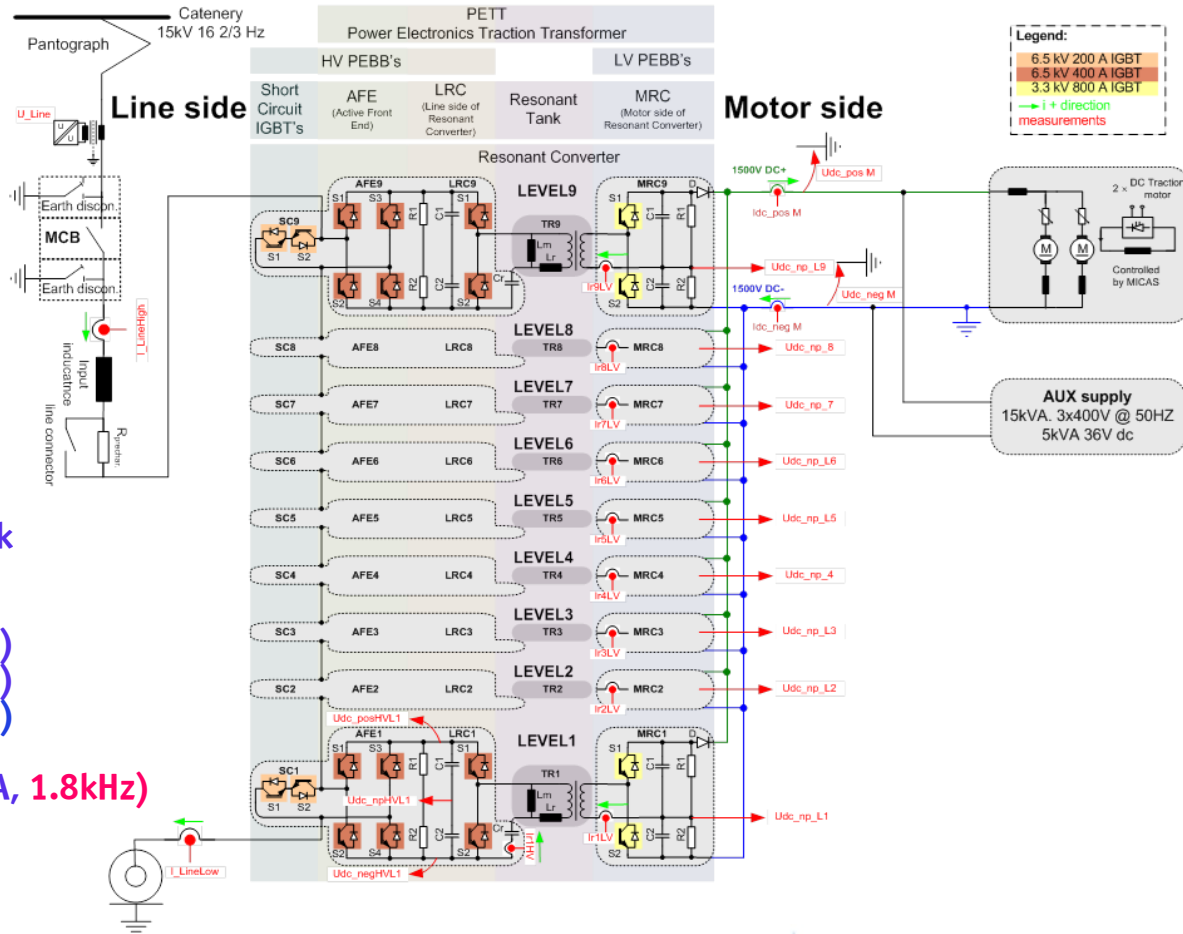
- Dujic et al. (2011)

- Rufer (1996)
- Steiner (1997)
- Heinemann (2002)

$P = 1.2\text{MVA}, 1.8\text{MVA}$  pk  
9 Cells (Modular)

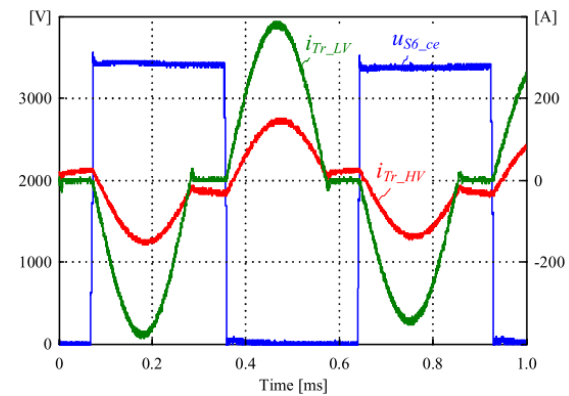
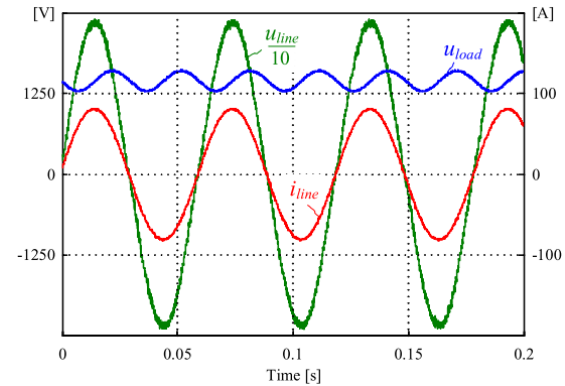
54 x (6.5kV, 400A IGBTs)  
18 x (6.5kV, 200A IGBTs)  
18 x (3.3kV, 800A IGBTs)

9 x MF Transf. (150kVA, 1.8kHz)  
1 x Input Choke



## ► 1.2 MVA 1ph. AC/DC Power Electronic Transformer

- Cascaded H-Bridges – 9 Cells
- Resonant LLC DC/DC Converter Stages

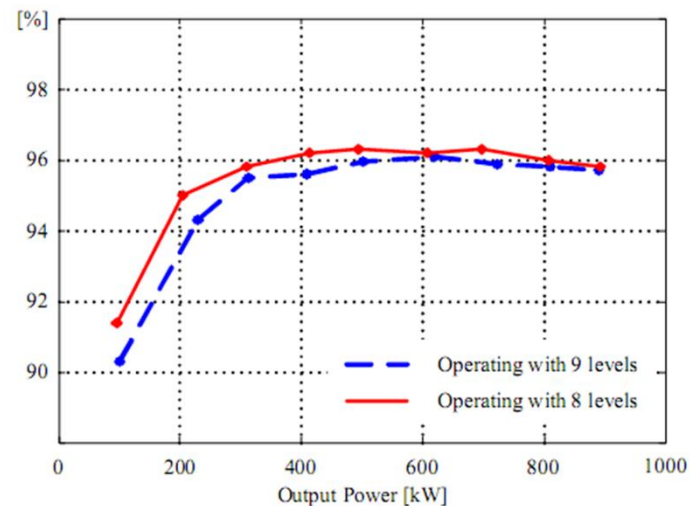


## ► 1.2 MVA 1ph. AC/DC Power Electronic Transformer

- Cascaded H-Bridges – 9 Cells
- Resonant LLC DC/DC Converter Stages



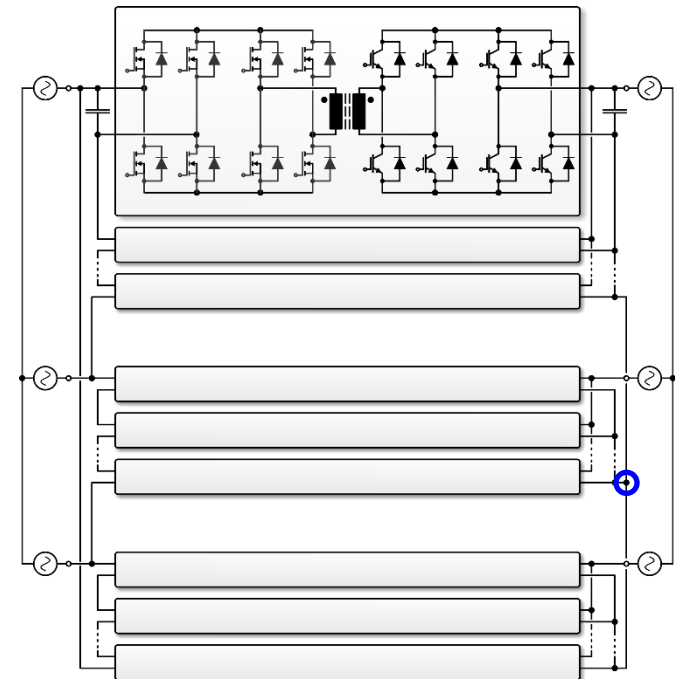
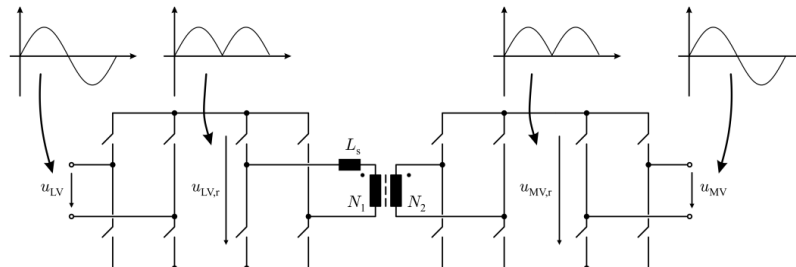
Efficiency



## ► SiC-Enabled Solid-State Power Substation



- Das et al. (2011)
- Lipo (2010)
- Weiss (1985 for Traction Appl.)
- Fully Phase Modular System
- Indirect Matrix Converter Modules ( $f_1 = f_2$ )
- MV  $\Delta$ -Connection (13.8kV<sub>L-L</sub>, 4 Modules in Series)
- LV Y-Connection (465V/ $\sqrt{3}$ , Modules in Parallel)

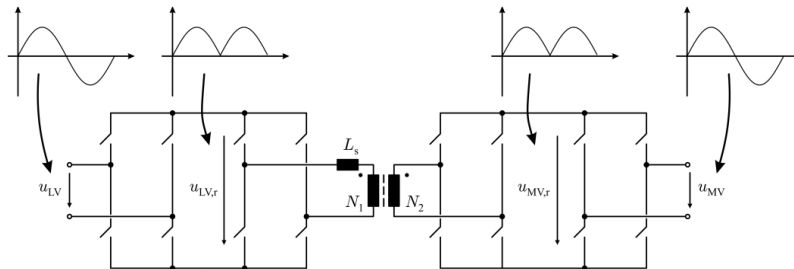


- SiC Enabled 20kHz/1MVA "Solid State Power Substation"
- 97% Efficiency / 25% Weight / 50% Volume Reduction (Comp. to 60Hz)

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# SST Research @ ETH Zurich

*MEGA Link*

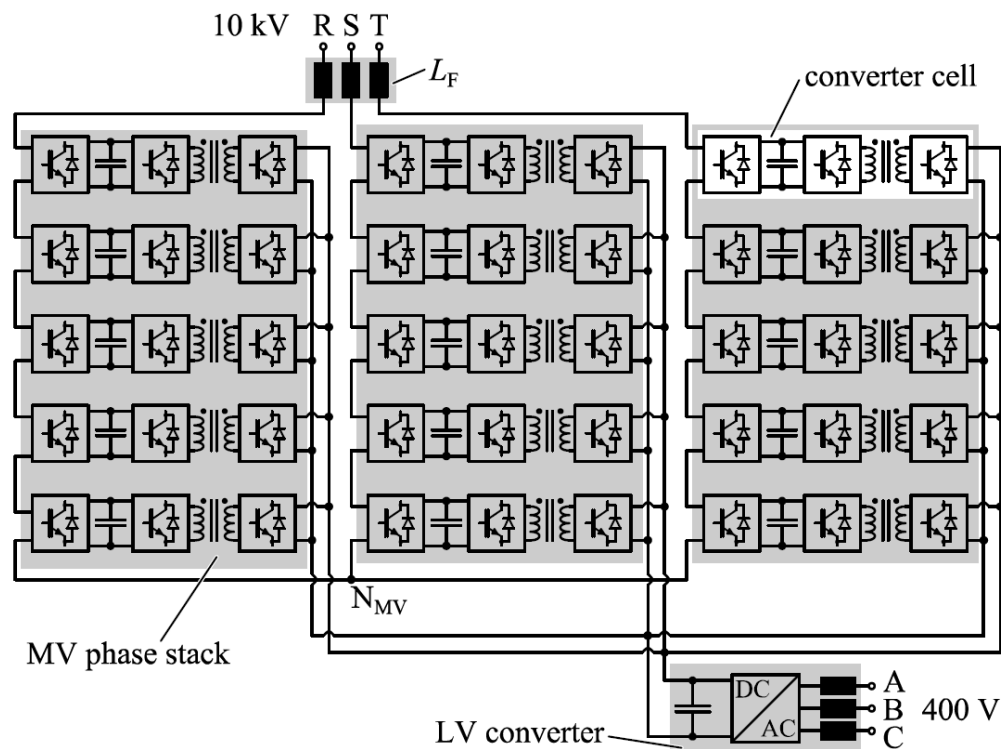
*MEGA Cube  
Unidirectional SSTs*

Ch. Gammeter  
Th. Guillod  
J. Huber  
M. Leibl  
D. Rothmund

G. Ortiz

## ► MEGALink @ ETH Zürich

$S_N = 630\text{kVA}$   
 $U_{LV} = 400\text{V}$   
 $U_{MV} = 10\text{kV}$

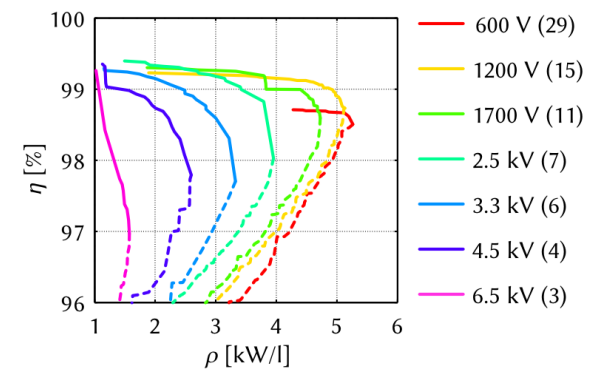
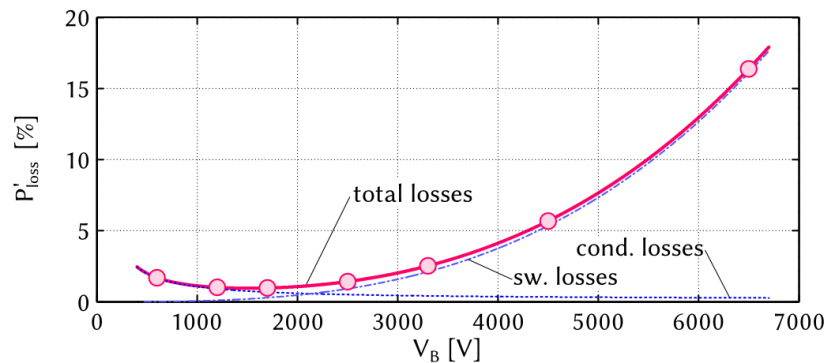
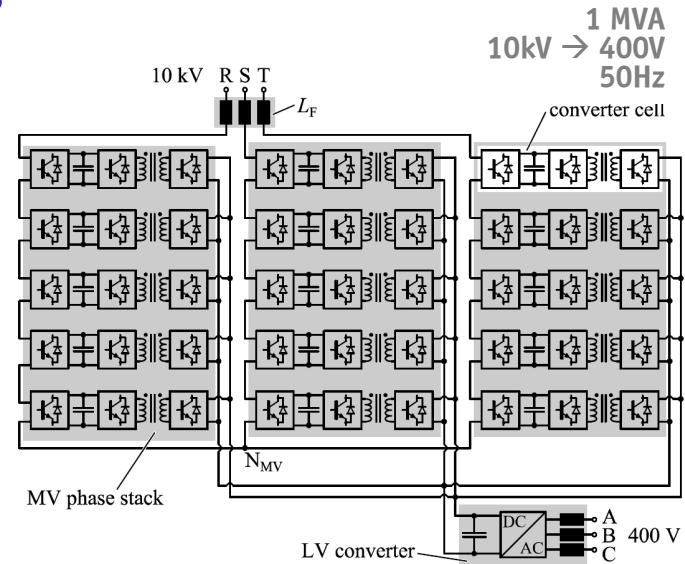


- 2-Level Inverter on LV Side / HC-DCM-SRC DC-DC Conversion / Cascaded H-Bridge MV Structure

## ► Optimum Number of Converter Cells

- **Trade-Off**    **High Number of Levels** →  
High Conduction Losses/  
 Low Cell Switchng Freq./Losses  
 (also because of Device Char.)

- **Opt. Device Voltage Rating for Given MV Level**
- **$\eta$ -Pareto Opt. (Compliance to IEEE 519)**

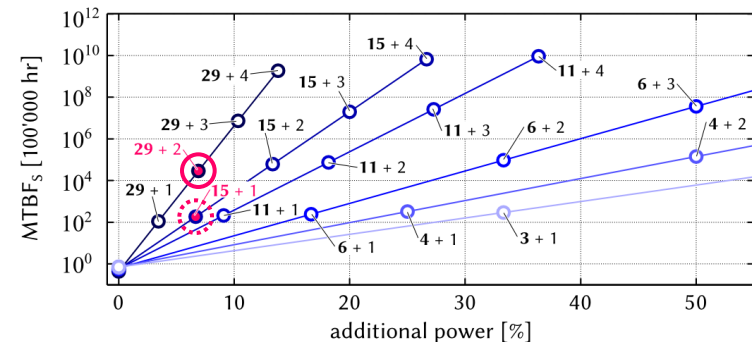
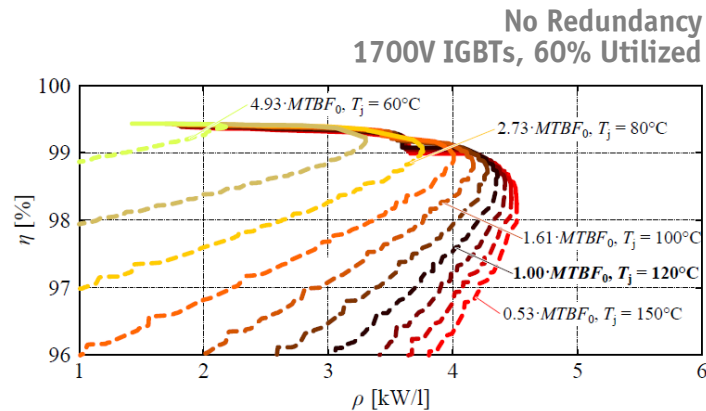
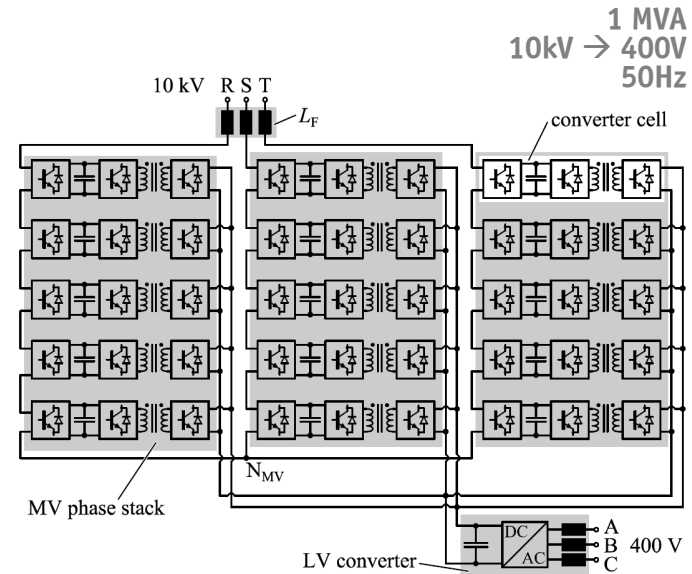


- **1200V ... 1700V Power Semiconductors best suited for 10kV Mains → No Advantage of SiC (!)**



## ▶ Optimum Number of Converter Cells

- Trade-Off → Mean-Time-to-Failure vs. Efficiency / Power Density
- Influence of
  - \* FIT Rate (Voltage Utilization)
  - \* Junction Temperature
  - \* Number of Redundant Cells

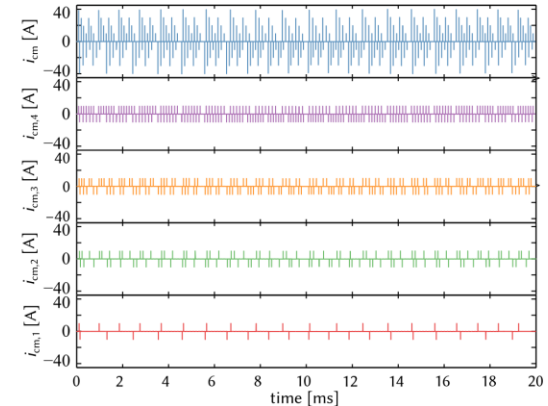
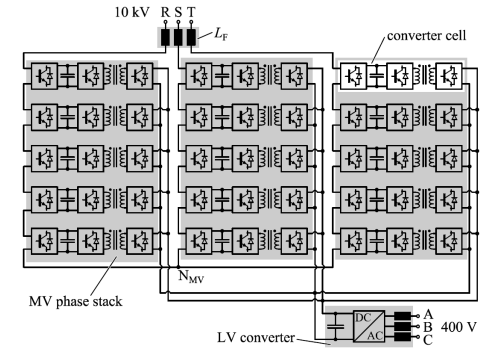
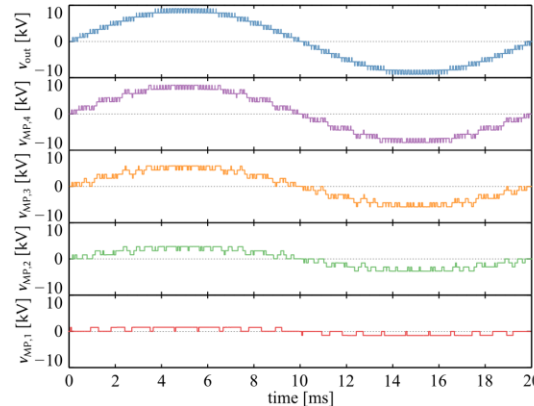
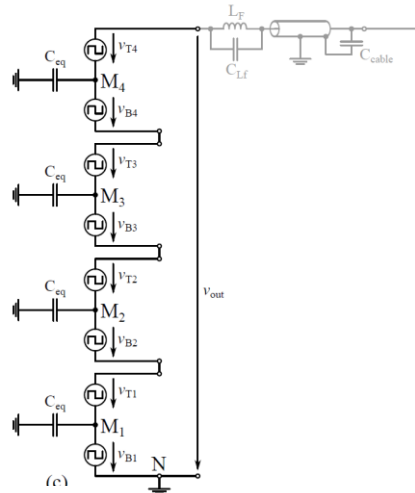


- High MTBF also for Large Number of Cells (Repairable) / Lower Total Spare Cell Power Rating

## ► Common-Mode Currents of Cascaded H-Bridge SSTs

- Switching Actions of a Cell  $i$  Changes the Ground Potential of Cells  $i, i+1, \dots, N$
- CM Currents through Ground Capacitances

- Example 1MVA  
10kV Input  
400V Output  
1kHz/Cell  
 $C_{eq} \approx 650\text{pF}$



- 6.2mH at the Input of Each Cell for Limiting  $i_{CM}$

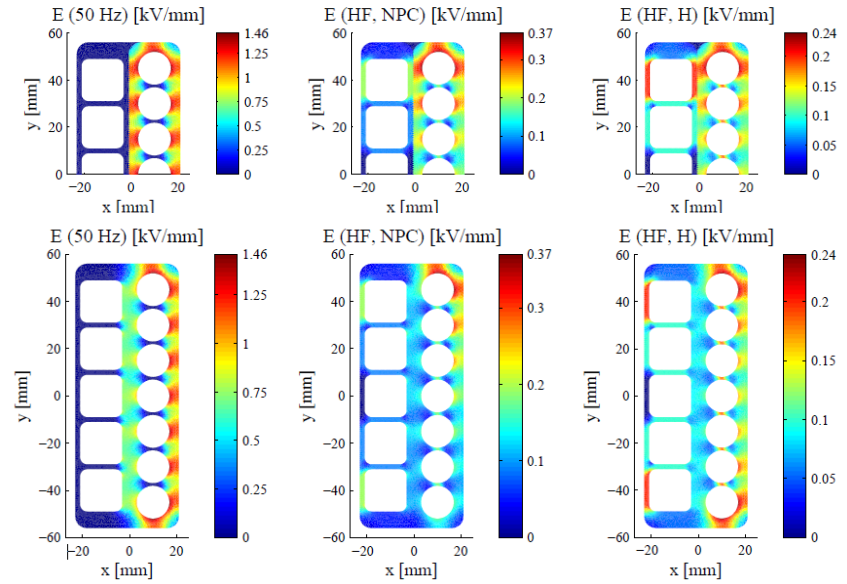
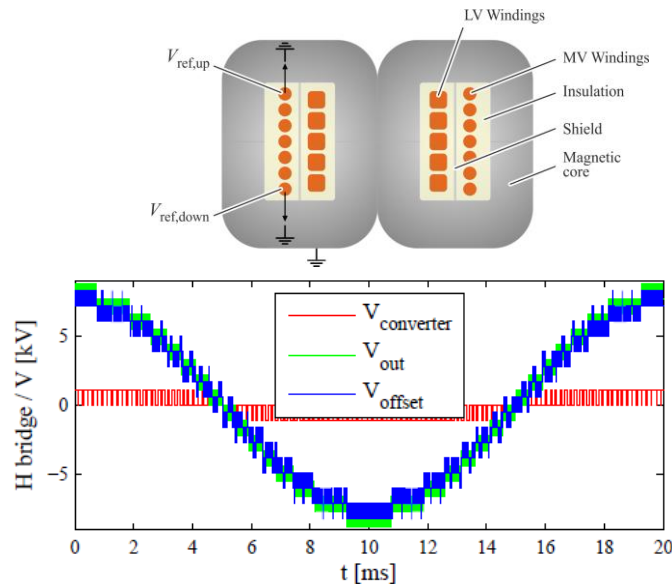


$dv/dt = 15\text{kV}/\mu\text{s}$

## ► Voltage and E-Field Stresses in SSTs

- Mixed-Frequency (LF + Switching Frequency) Voltage Stress on Isolation
- Unequal Dynamic Voltage Distribution
- Potentially Accelerated Aging (!)

**RMS Electric Field**  
 LF, NPC-Cells, H-Cells  
 Bottom: w/o Shield  
 Top: With Shield

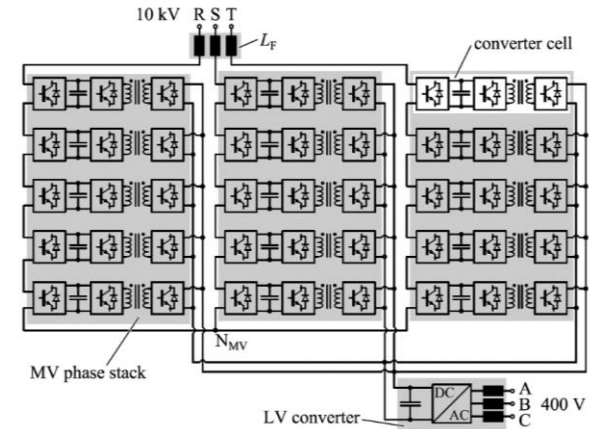
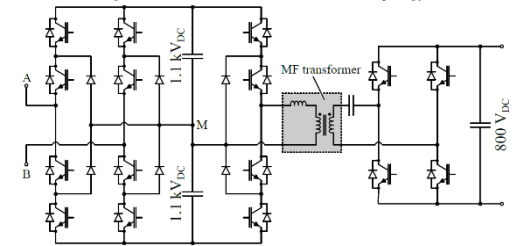
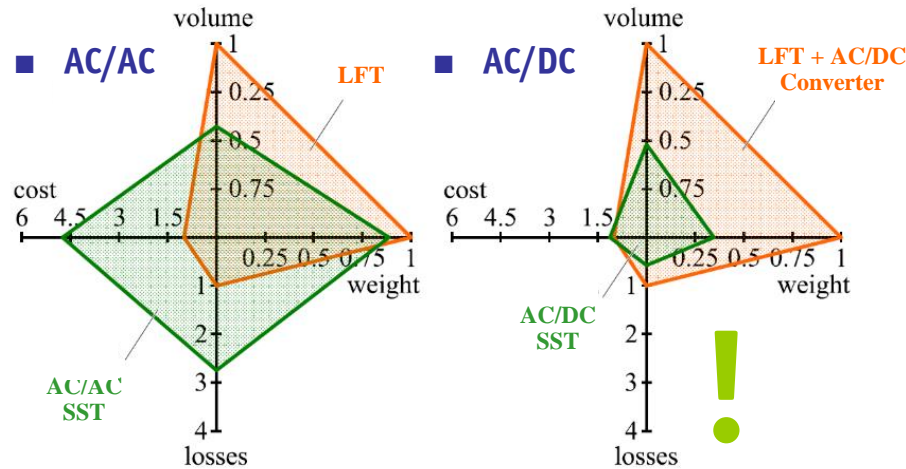


- Neglectable Dielectric Losses
- Specific Test Setup Required for Insulation Material Testing

## ► SST vs. LF Transformer + AC/DC Converter

- Specifications
  - 1MVA
  - 10kV Input
  - 400V Output
  - 1700V IGBTs (1kHz/8kHz/4kHz)

- LF Transformer
  - 98.7 %
  - 16.2 kUSD
  - 2600kg (5700lb)

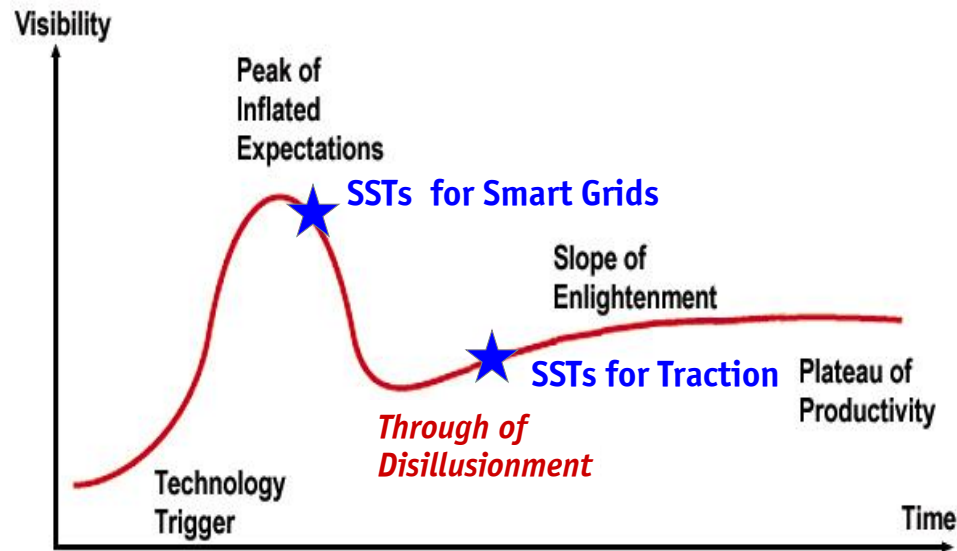


- Clear Efficiency/Volume/Weight Advantage of SST for DC Output (98.2%)
- Weakness of AC/AC SST vs. Simple LF Transformer (98.7%) - 5 x Costs, 2.5 x Losses

## Conclusions

*SST Evaluation / Application Areas*  
*Future Research Areas*

## ► Technology Hype Cycle

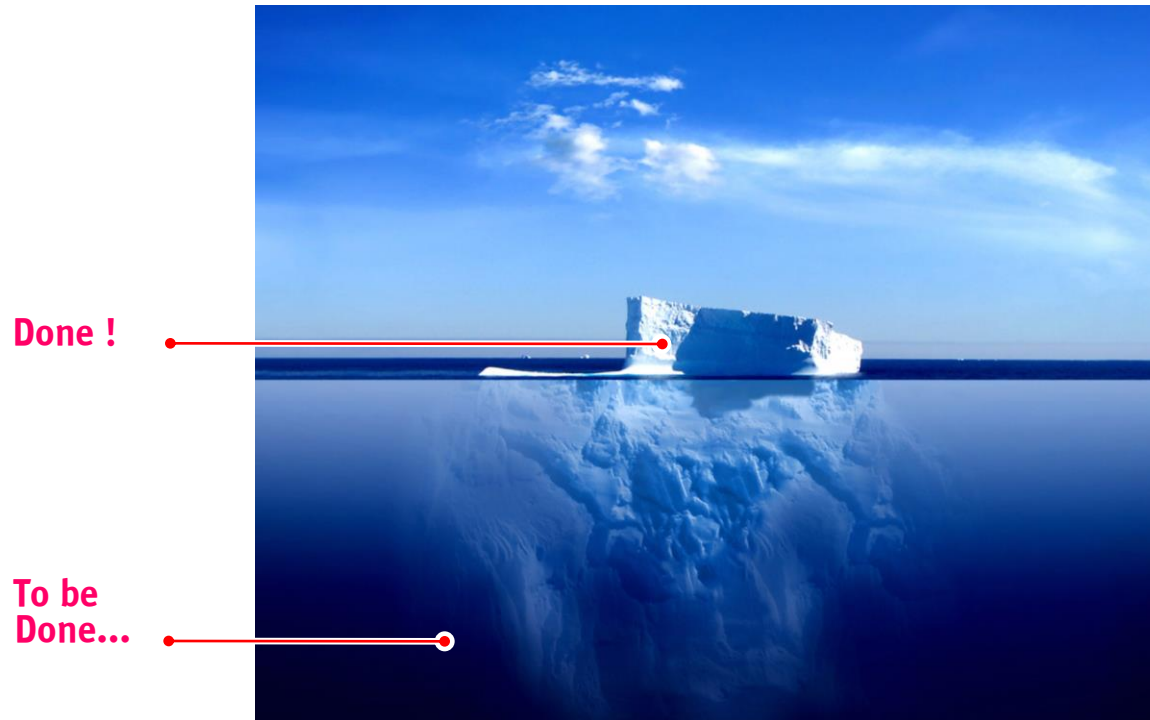


- **Different States of Development of SSTs for Smart Grid & Traction Applications**

## ► Overall Summary

- SST is NOT a 1:1 Replacement for Conv. Distribution Transformers
  - SST will NOT Replace All Conv. Distribution Transformers (even in Mid Term Future)
  - SST Offers High Functionality BUT shows also Several Weaknesses / Limitations
- SST Requires a Certain Application Environment (until Smart Grid is Fully Realized)  
→ SST Preferably Used in LOCAL Fully SMART EEnergy Systems
- @ Generation End (e.g. Nacelle of Windmills)
  - @ Load End - Micro- or Nanogrids (incl. Locomotives, Ships etc.)
- Environments with Pervasive Power Electronics for Energy Flow Control (No Protection Relays etc.) →
  - Environments which Could be Designed for SST Application
  - (Unidirectional) Medium Voltage Coupling of DC Distribution Systems

## ► Future SST Research Topics



- Huge *Multi-Disciplinary* Challenges / Opportunities (!) are Still Ahead



**Thank You!**

# Questions ?

