

Circular Economy Compatible Power Electronics

Luc Imperiali, Johann W. Kolar, and Jonas Huber

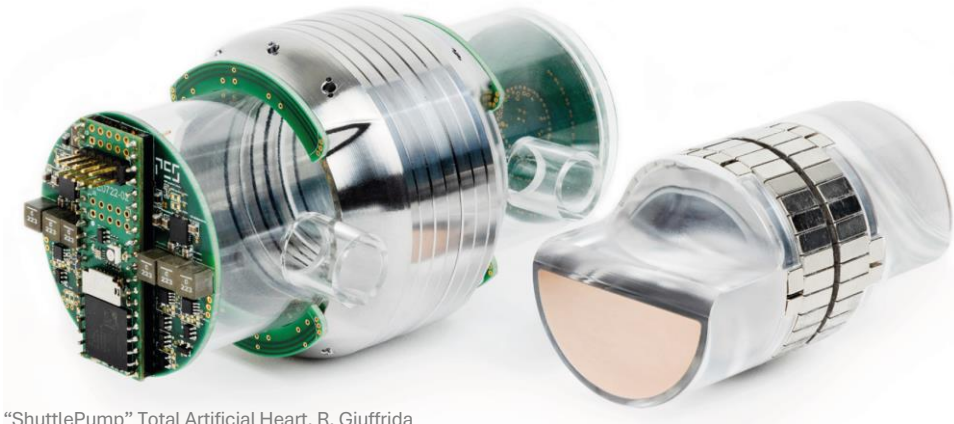
Advanced Mechatronic Systems Group
ETH Zurich, Switzerland

December 5, 2024

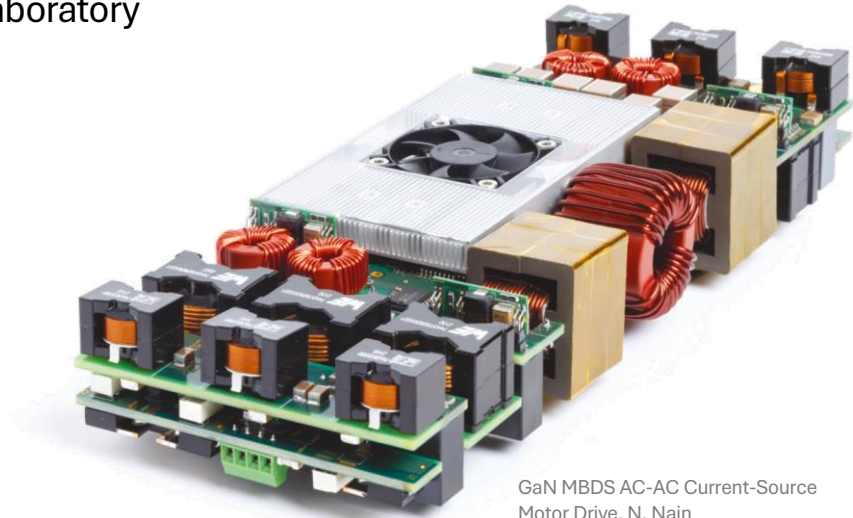


Advanced Mechatronic Systems Group

- Independent research group established in 2024 – Currently 4 PhD students
- Enabled by a donation from Else und Friedrich Hugel Fonds via **ETH Foundation**
- Until 07/2024 part of Prof. Kolar's Power Electronic Systems Laboratory



"ShuttlePump" Total Artificial Heart, R. Giuffrida



GaN MBDS AC-AC Current-Source
Motor Drive, N. Nain

- Research focus: **Sustainable Electric Mobility and Medical Systems**
 - Integration of **electrical machines/actuators, power electronics, sensing, and digital information processing**
 - Examples: Magnetic levitation / Magnetic bearings / Converter-integrated motor drives / New actuator topologies / Ultra-lightweight converters for aviation / EV on-board chargers / Sustainable power electronics
- Research-related multi-disciplinary **education** in advanced mechatronics

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Outline

- Decarbonization
- The Elephant in the Room
- **Multi-Objective Optimization**
- Circular Economy Compatibility

The U.N. SUSTAINABLE DEVELOPMENT GOALS

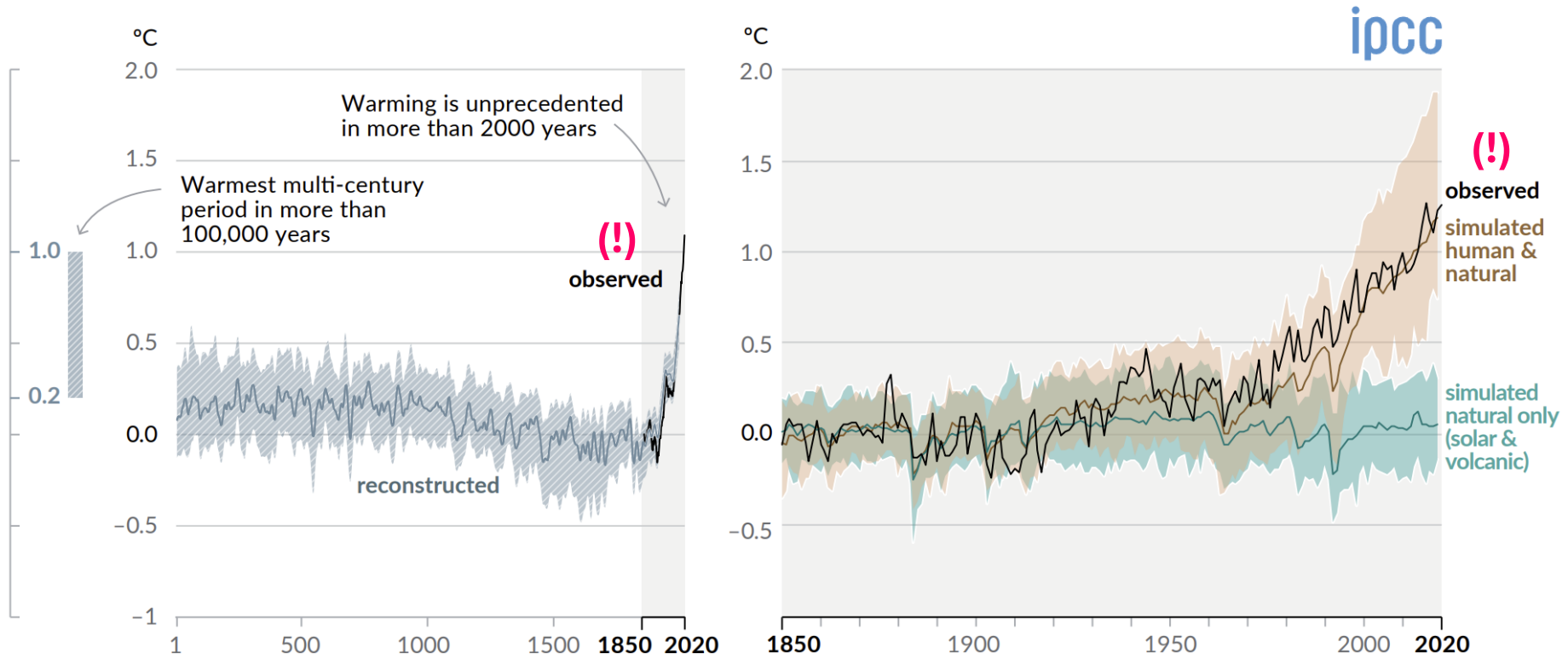


Source: <https://www.un.org/sustainabledevelopment>

- #7 – “Affordable and clean energy” / #12 – “Responsible consumption and production” / ...

The Challenge

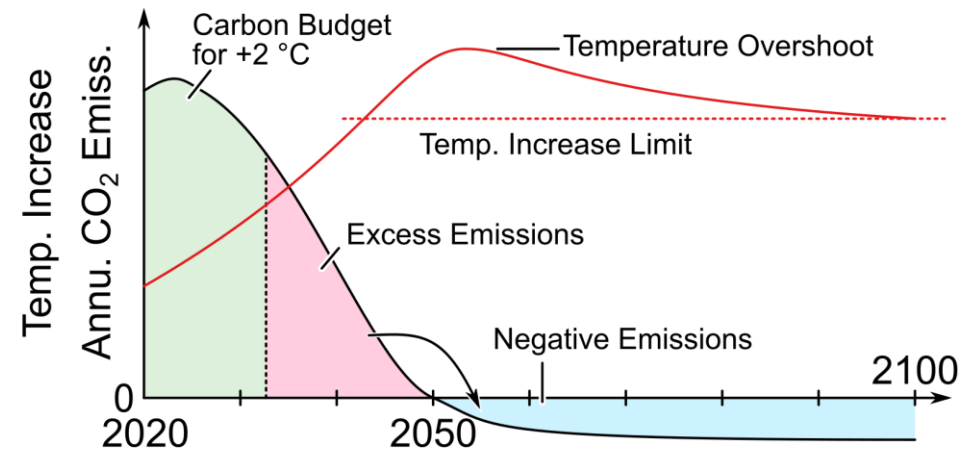
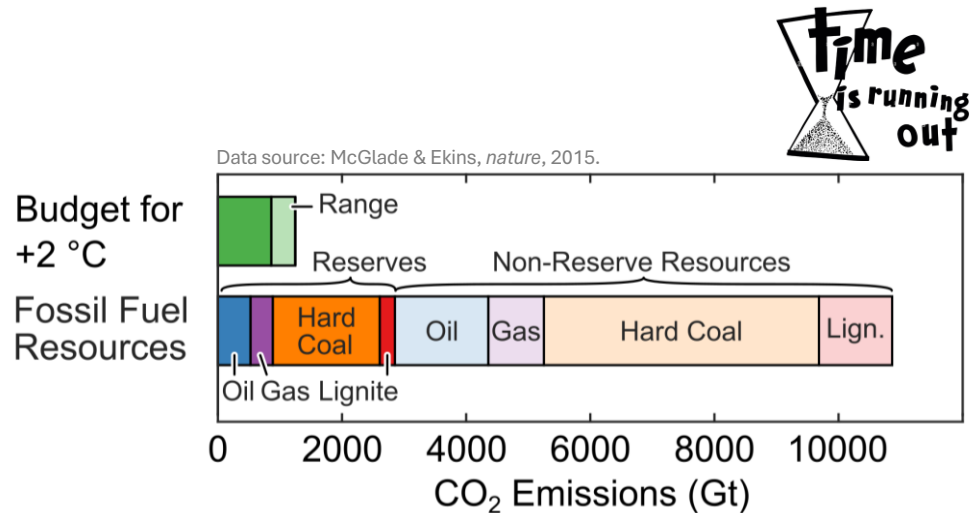
■ Fossil fuels facilitate rapid economic growth and development



■ Anthropogenic greenhouse gas emissions cause climate change / global warming

Decarbonization / Defossilization

- +2 °C target by 2100: Globally, **30% of oil, 50% of gas, and > 80% of coal reserves must remain unused (!)**
- Ambitious pathway to “net-zero CO₂ emissions by 2050” → Temperature overshoot!

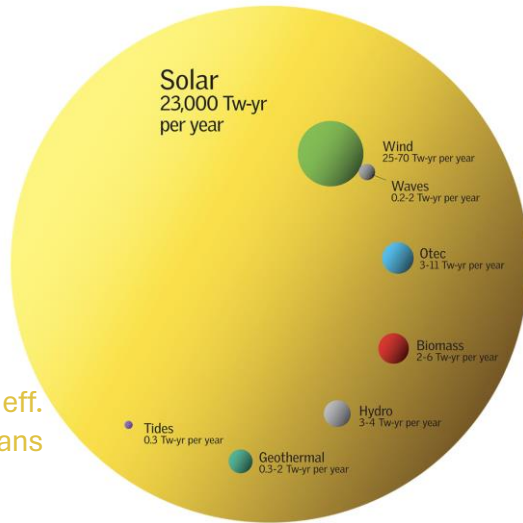


- Human history: **Transition from lower to higher energy density fuel** — Wood → Coal → Oil & Gas
- Challenge of stepping back from oil & gas quickly / **Can't wait for disruptive technologies / panacea!**

The Opportunity

(2009) 16 TW-yr → 16 Tw-yr per year ← 27 TW-yr (2050)

Renewable energy resources per year



100% convers. eff.
Excl. oceans

Note: Graphical representation assumes spheres, not circles

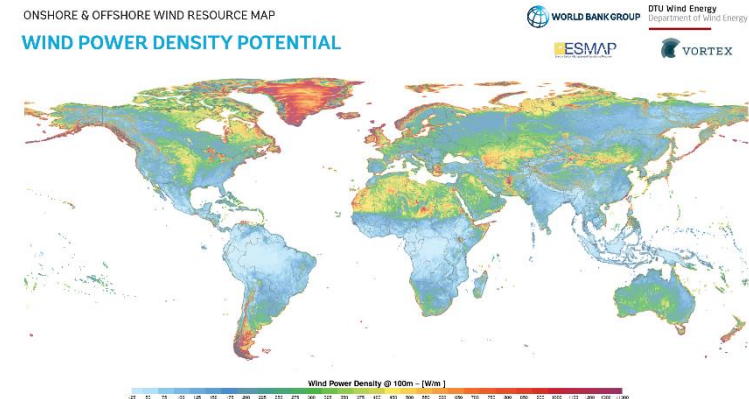
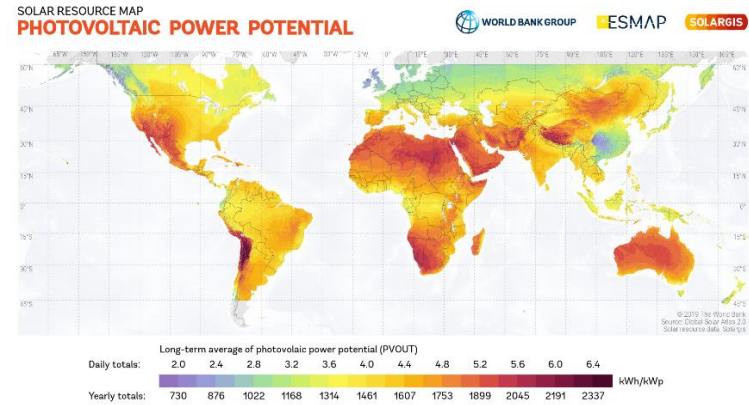
Primary consumption:
16 TWyr → 27 TWyr
Final consumption:
11 TWyr → 15 TWyr

Fossil energy resources - total reserve left on earth



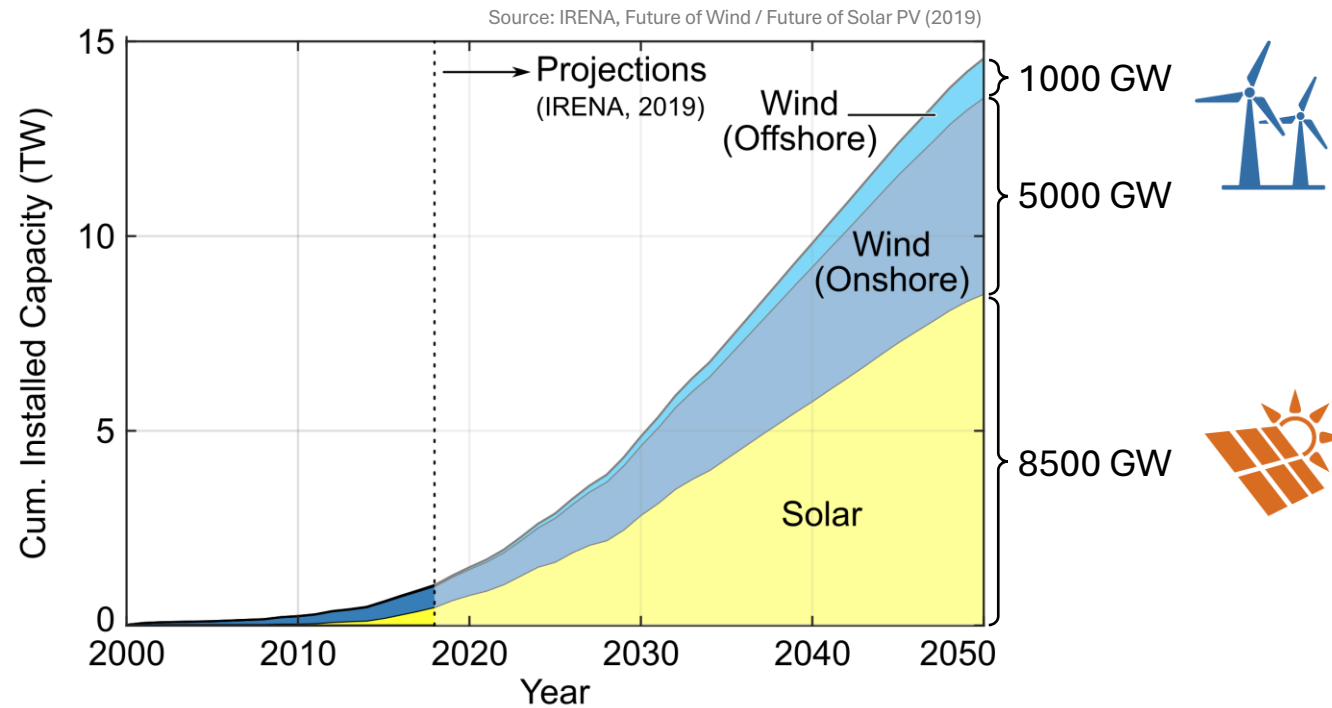
Source: R. Perez et al., IEA SHC Program Solar Update (2009)

Global distribution of solar & wind resources



The Approach

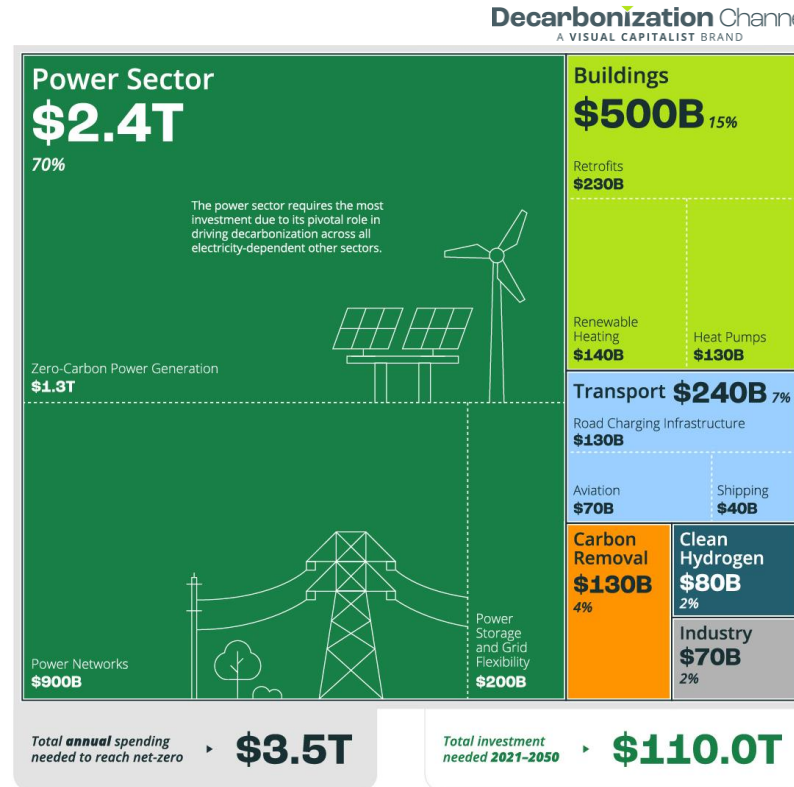
- Outlook of global cumulative installations until 2050
- In 2050 deployment of **370 GW/yr (PV)** and **200 GW/yr (onshore wind)** incl. replacements



- Dominant share of electric energy — **Power electronics as key enabling technology (!)**

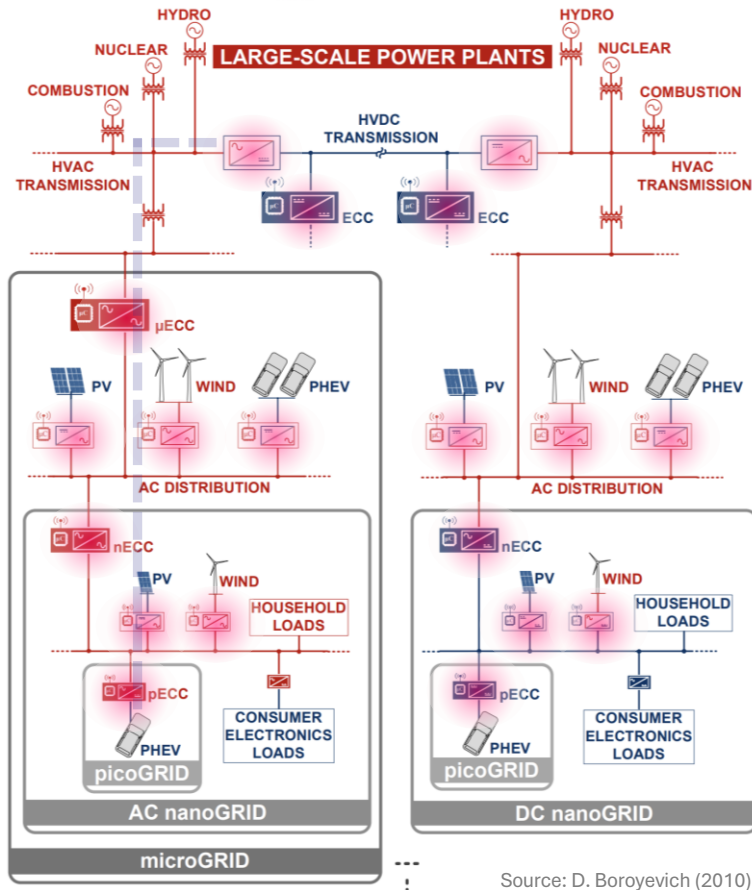
Remark: Cost of the Clean Energy Transition

- Total **annual spending** for net-zero until 2050: **3.5 TUSD** ($3.5 \cdot 10^{12}$ USD) / Total 110 TUSD until 2050

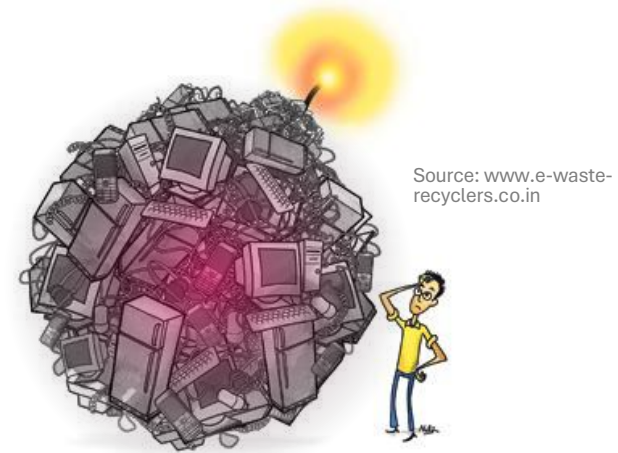


- **Perspectives:**
 - 3.5 TUSD are 12% of the U.S. GDP (2024) or **3% of the world GDP**
 - World defense expenditures 2023 were 2.4 TUSD

The in the Room



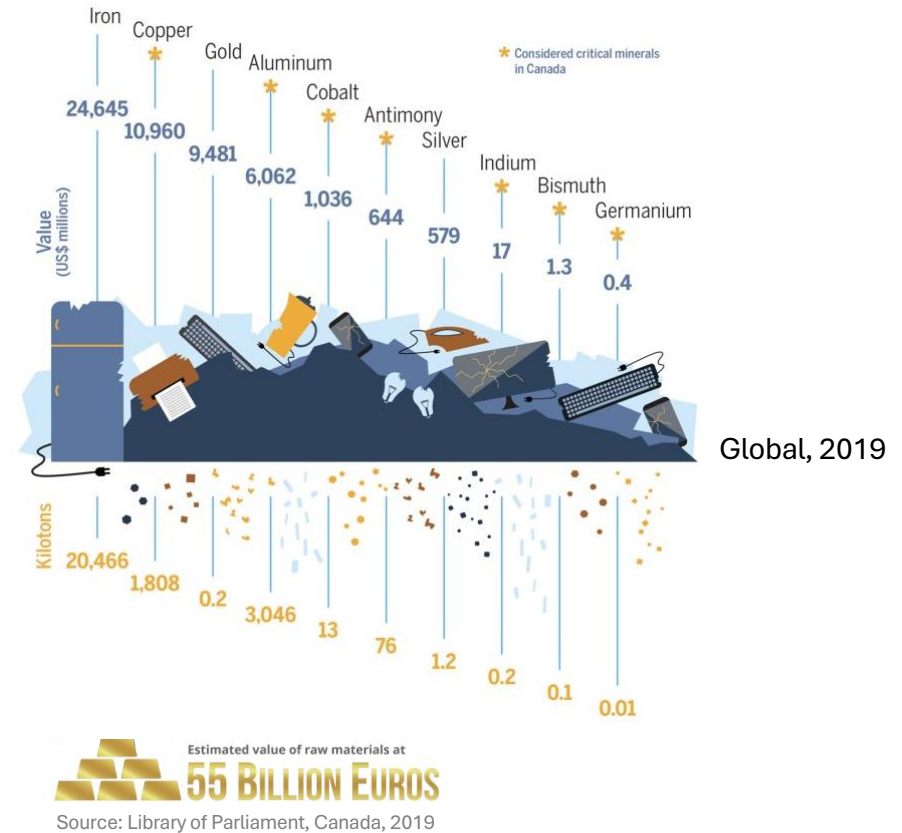
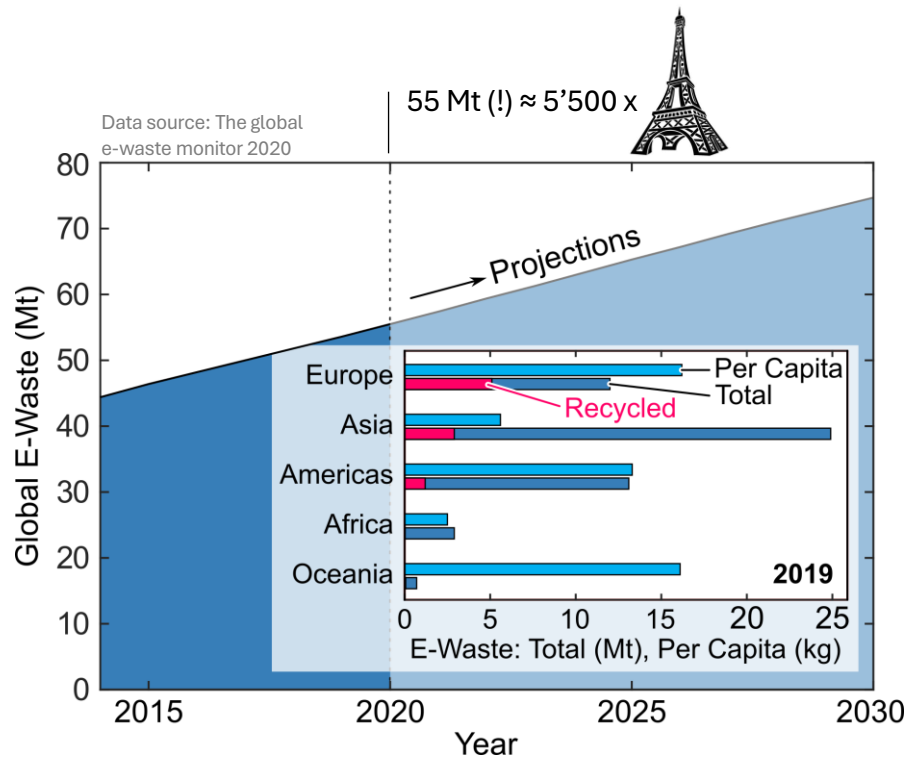
- 25'000 GW installed renewable generation in 2050
- 15'000 GWh installed battery storage
- 4 x power electronic conversion btw. generation & load
- 100'000 GW of installed converter power
- 20 years of useful life



- 5'000 GW_{eq} = 5'000'000'000 kW_{eq} of e-waste per year (!)
- 10'000'000'000 \$ of potential value

Growth of Global E-Waste (1)

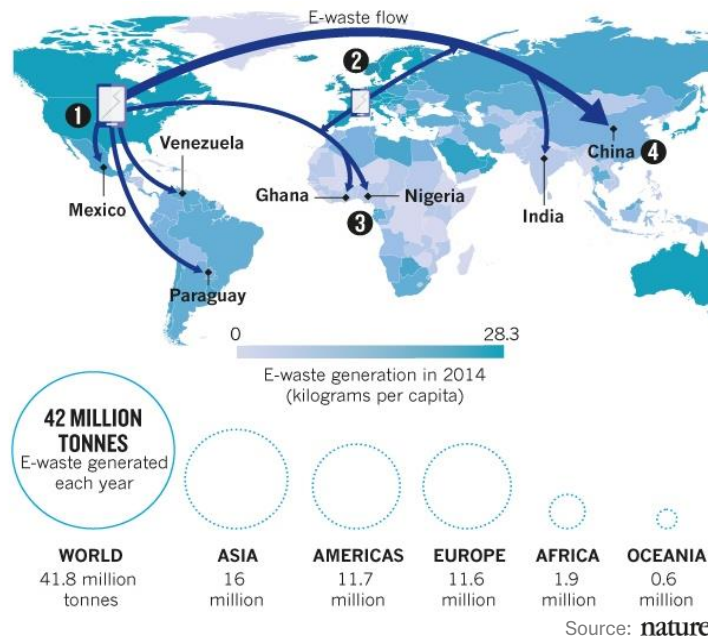
- Growing global e-waste streams / < 20% recycling!
- 120'000'000 tons of global e-waste in 2050



- E-waste represents an “urban mine” with great economic potential

Growth of Global E-Waste (2)

- Growing global e-waste streams → 120'000'000 tons of global e-waste in 2050
- Increasingly complex constructions → Little repair or recycling



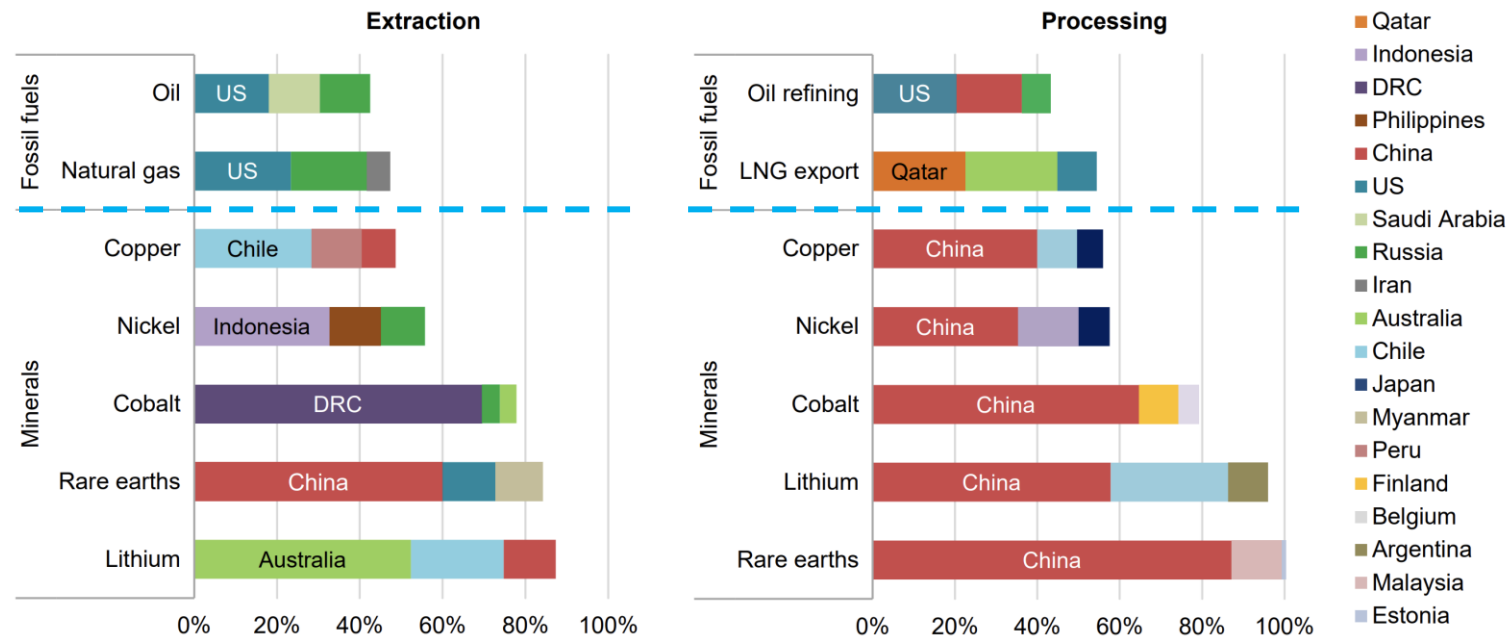
Source: Green IT Solution



- Growing global e-waste streams → Regulations mandatory (!)

Remark: Critical Minerals

■ Production of selected minerals critical for the clean energy transition

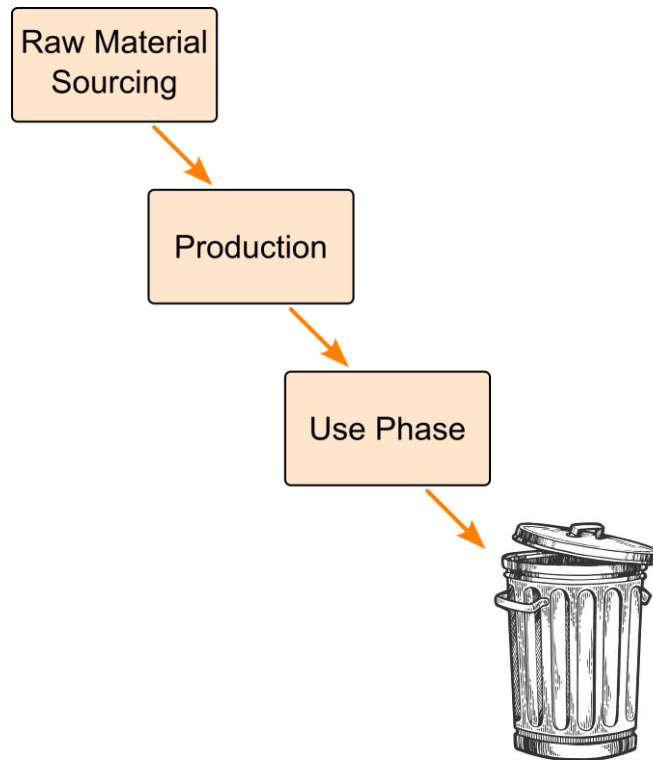


■ Extraction & processing more geographically concentrated than for oil & gas (!)

The Paradigm Shift

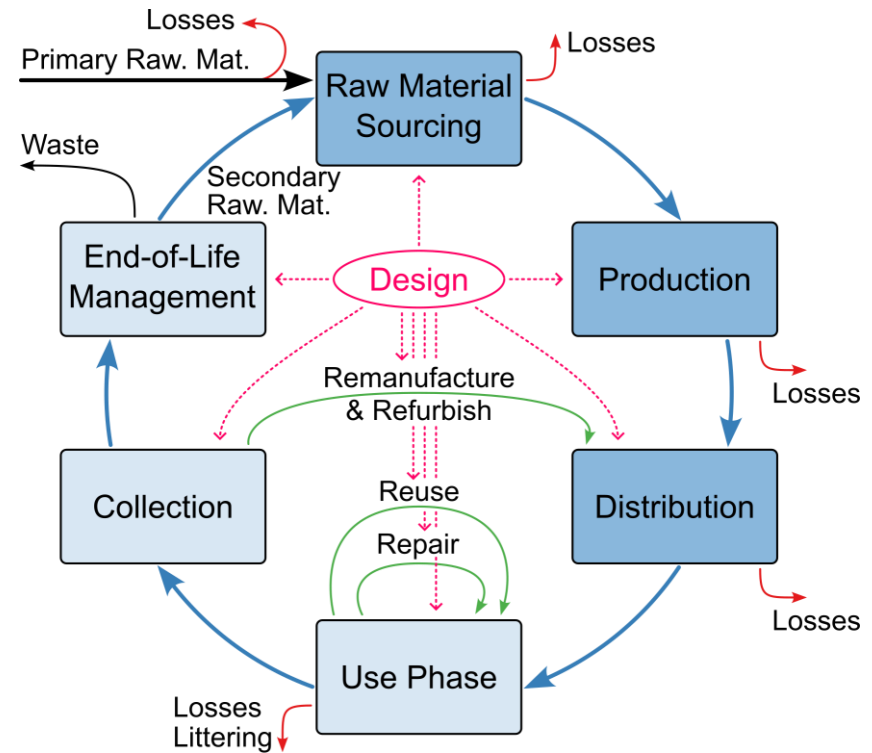
■ Linear Economy

- Take – make – dispose



■ Circular Economy

- Perpetual flow of resources



- Resources returned into the product cycle at end of life

Remark: Policymaking / Regulations / Standardization



■ European Green Deal

- Circular Economy Action Plan
- Net-Zero Industry Act
- Critical Raw Materials Act
- Environmental Footprint Methods
- Right to Repair
- Ecodesign for Sustainable Products Regulation
- ...

■ Standardization (Examples)



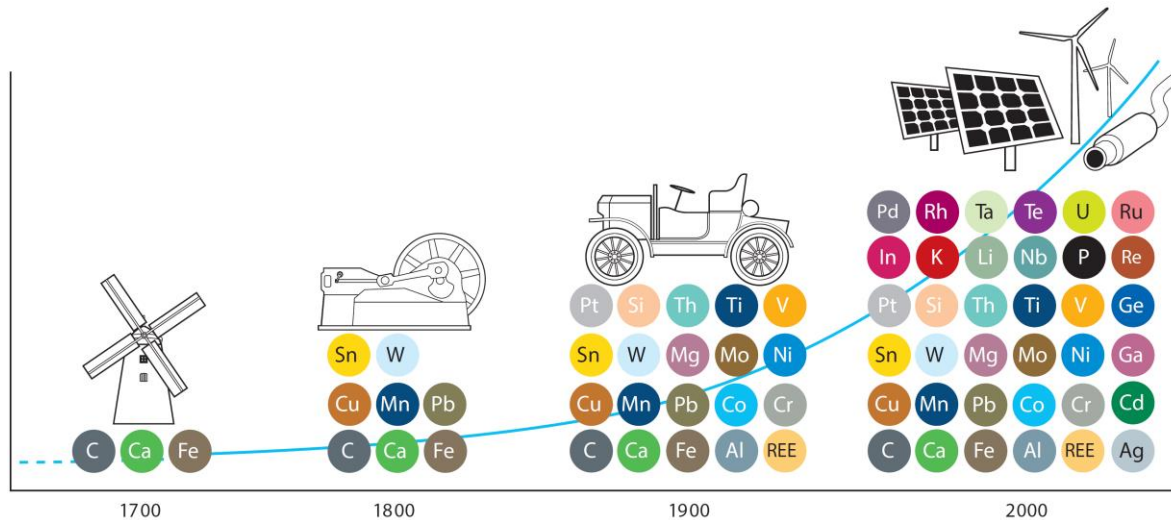
- ISO 14040/14044 Life-cycle assessment
- ISO 14067 Carbon footprint of products
- ISO 4555x Ecodesign and material efficiency



- IEC 62430 **Environmentally conscious design** for el. & electron. products
- IEC 61800-9-1/2 Ecodesign for drive systems
- ...

The Complexity Challenge

- Technological **Innovation** — Increasing level of complexity & diversity of modern products
- Exponentially accelerating technological advancement (R. Kurzweil)



Source: Materials Critical to the Energy Industry An introduction

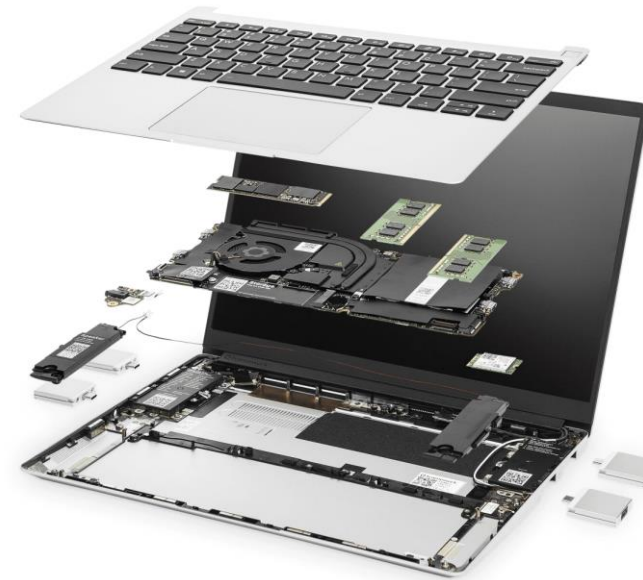
- More than 60 Metallic Elements Involved in Pathways for Substitution of Conv. Energy Systems
- **Ultra-compact systems / functional integration** — Major obstacle for material separation!?

Design for Repairability & Circularity

- **Eco-design** — Reduce environmental impact of products, incl. life-cycle energy consumption
- **Re-pair / Re-use / Re-cycle** / disassembly / sorting & max. material recovery, etc. considered
- EU eco-design directive (!)



Source: <https://de.ifixit.com/>



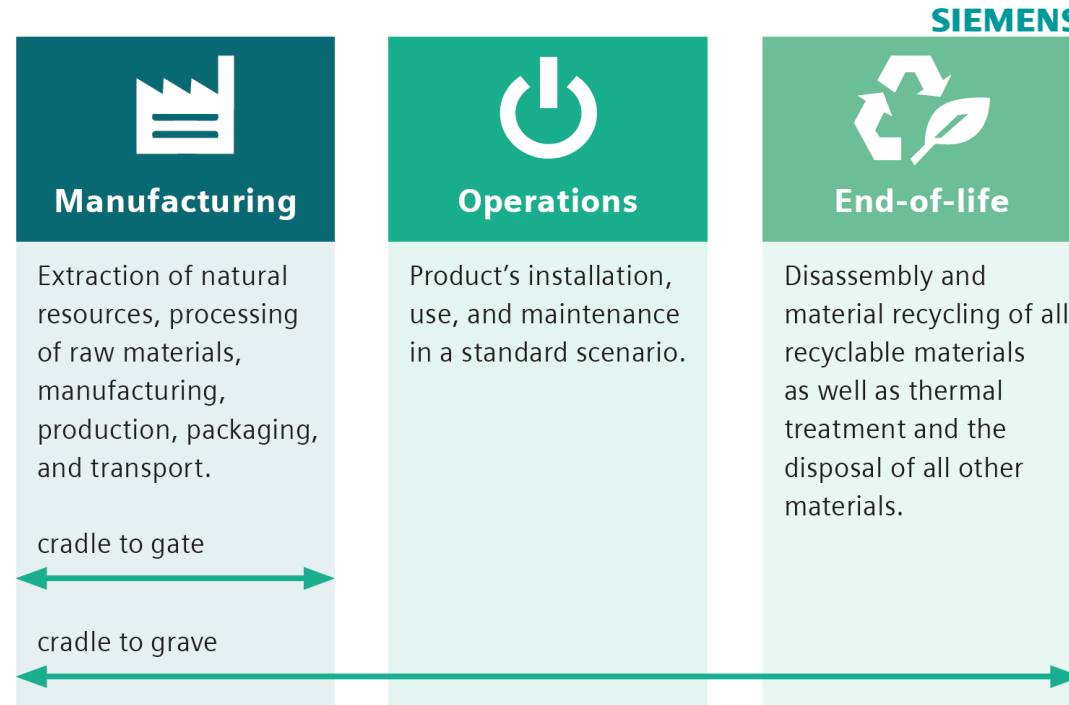
Source: Life Cycle Assessment of the Framework Laptop 2022, Fraunhofer IZM

- **FAIRPHONE** — Modular design / man. replaceable parts / 100% recycl. of sold products / fairtrade materials
- Framework laptop **“You should be able to fix your stuff.”** — Modular design / man. replaceable parts
- **“80% of environmental impact of products are locked-in at the design stage”** —

J. Thackara, *In the bubble: Designing in a complex world*. Cambridge, MA, USA: The MIT Press, 2006.

LCA: Life Cycle Assessment (1)

- Quantification / benchmarking of eco-design & circular economy approaches

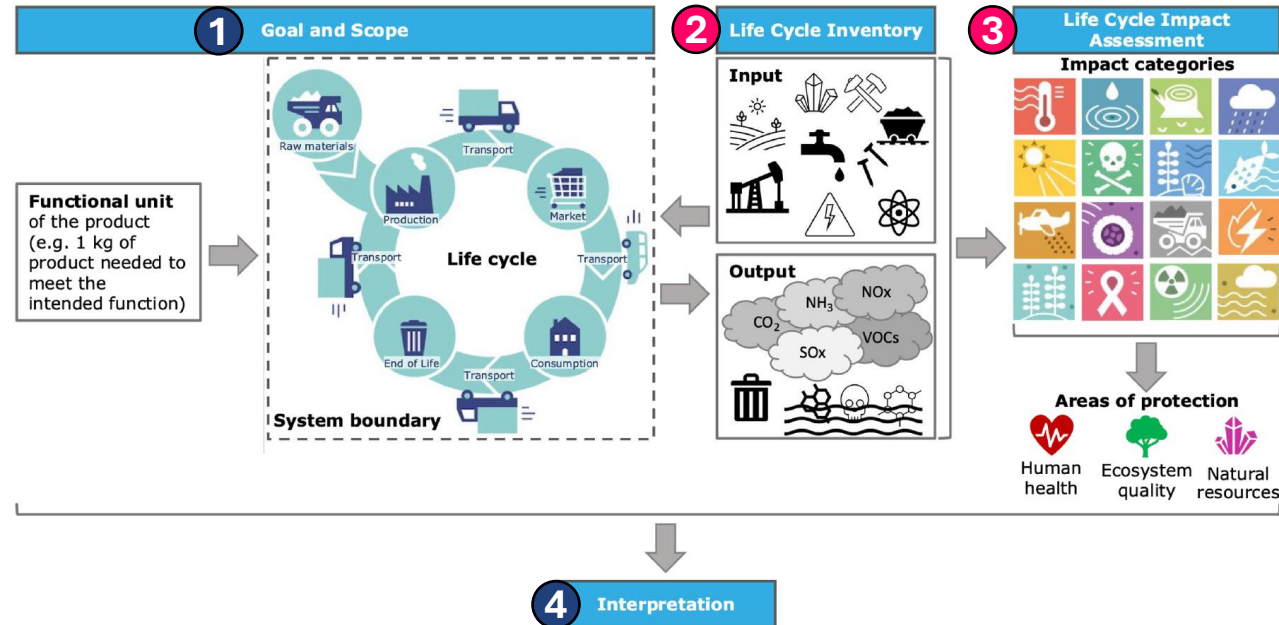


- **Scope of LCA can include**

- All life-cycle phases (**cradle to grave**) or
- **Individual** life-cycle phases (**cradle to gate** or gate to gate)

LCA: Life Cycle Assessment (2)

- Quantification / benchmarking of eco-design & circular economy approaches



2 LCI – Life Cycle Inventory
 Compilation & quantification of inputs and outputs for a product throughout its life cycle

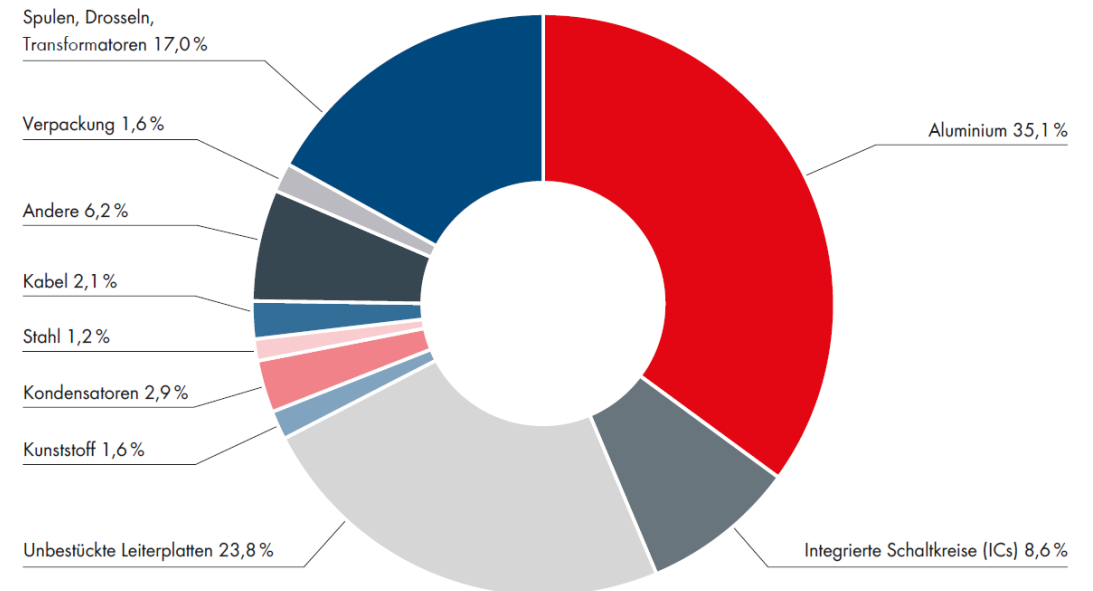
3 LCIA – Life Cycle Impact Assessment
 Assignment of LCI results to (environmental) impact categories / Aggregation involves weighting factors & value choices

LCA Example: Carbon Footprint of a 150-kW PV Inverter

- **Production phase** / embodied carbon footprint of **903 kg CO₂eq** (15...20% of life-cycle carbon footprint)
- **Use phase** contributes **>80%** to life-cycle carbon footprint (conversion losses & standby/night consumption)



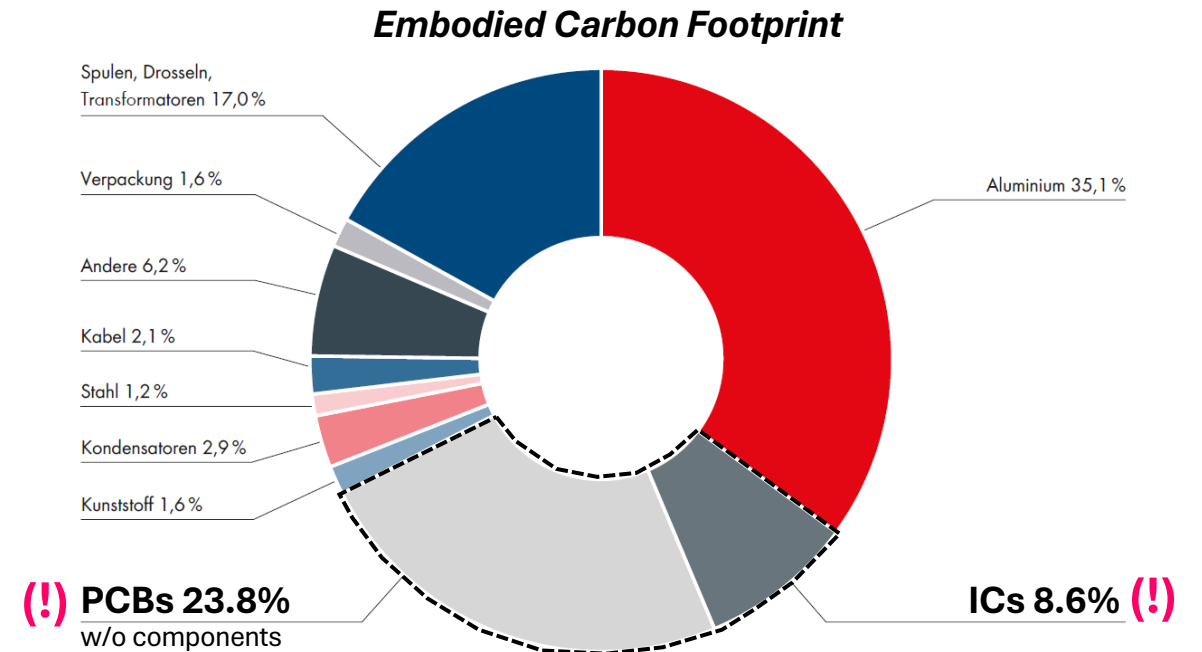
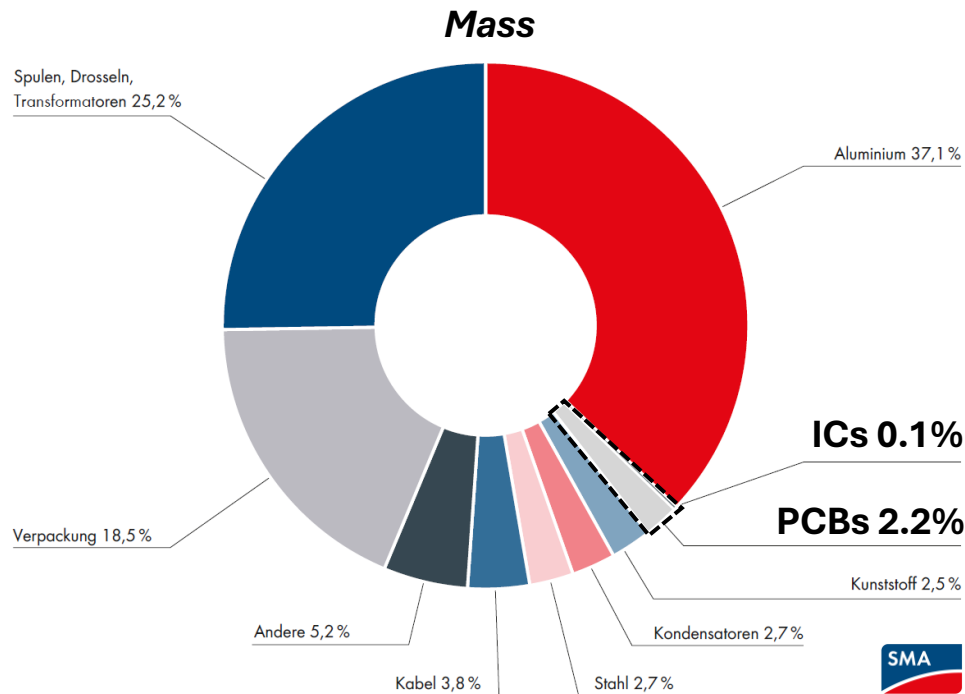
Embodied Carbon Footprint



- **150 kW** rated power for typ. **225 kW_p** PV system

LCA Example: Carbon Footprint of a 150-kW PV Inverter

- **Production phase / embodied carbon footprint of 903 kg CO₂eq** (15...20% of life-cycle carbon footprint)
- **Use phase contributes >80% to life-cycle carbon footprint** (conversion losses & standby/night consumption)



- **Small / lightweight components with large contributions to carbon footprint (!)**

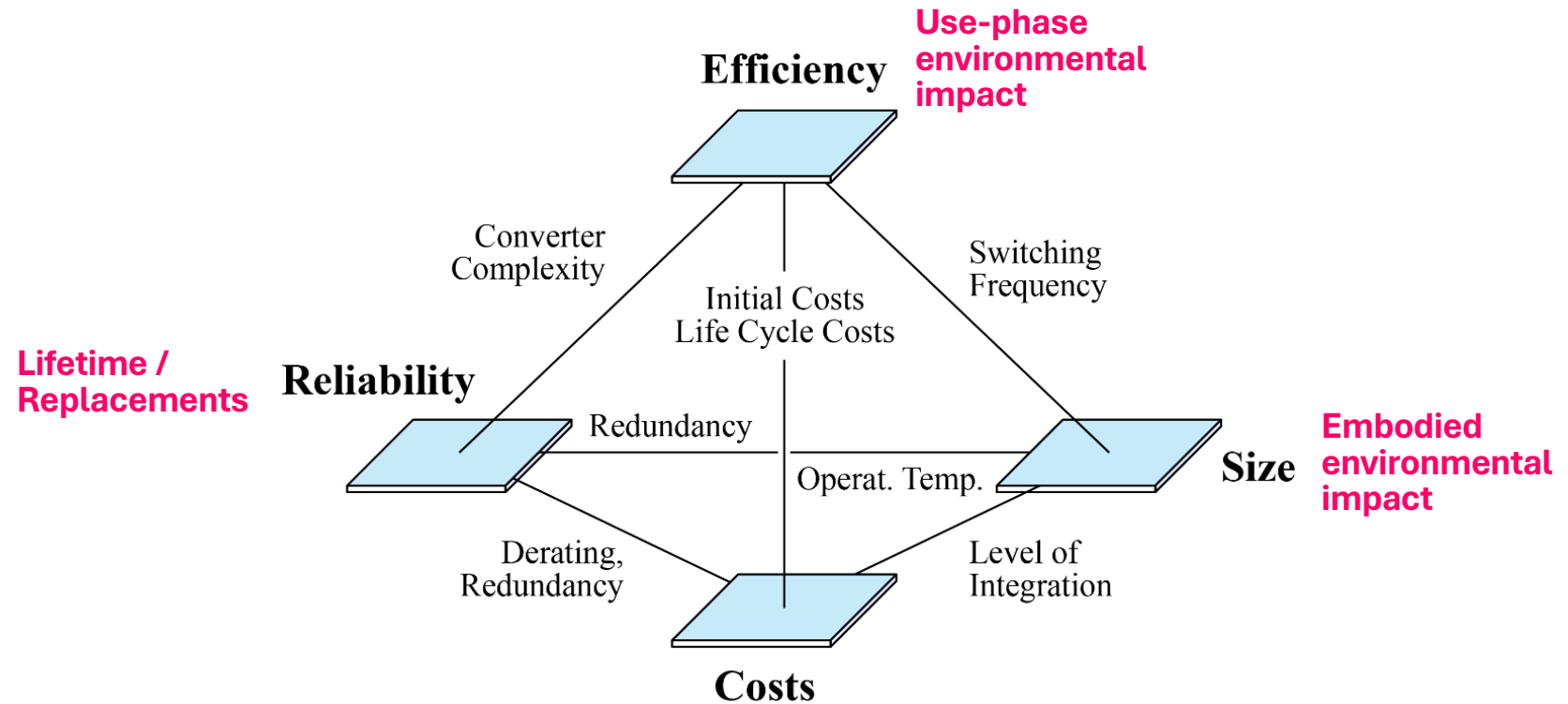
New Holistic Design Procedure



Multi-Objective Optimization with Environmental Impacts as New Performance Indicators

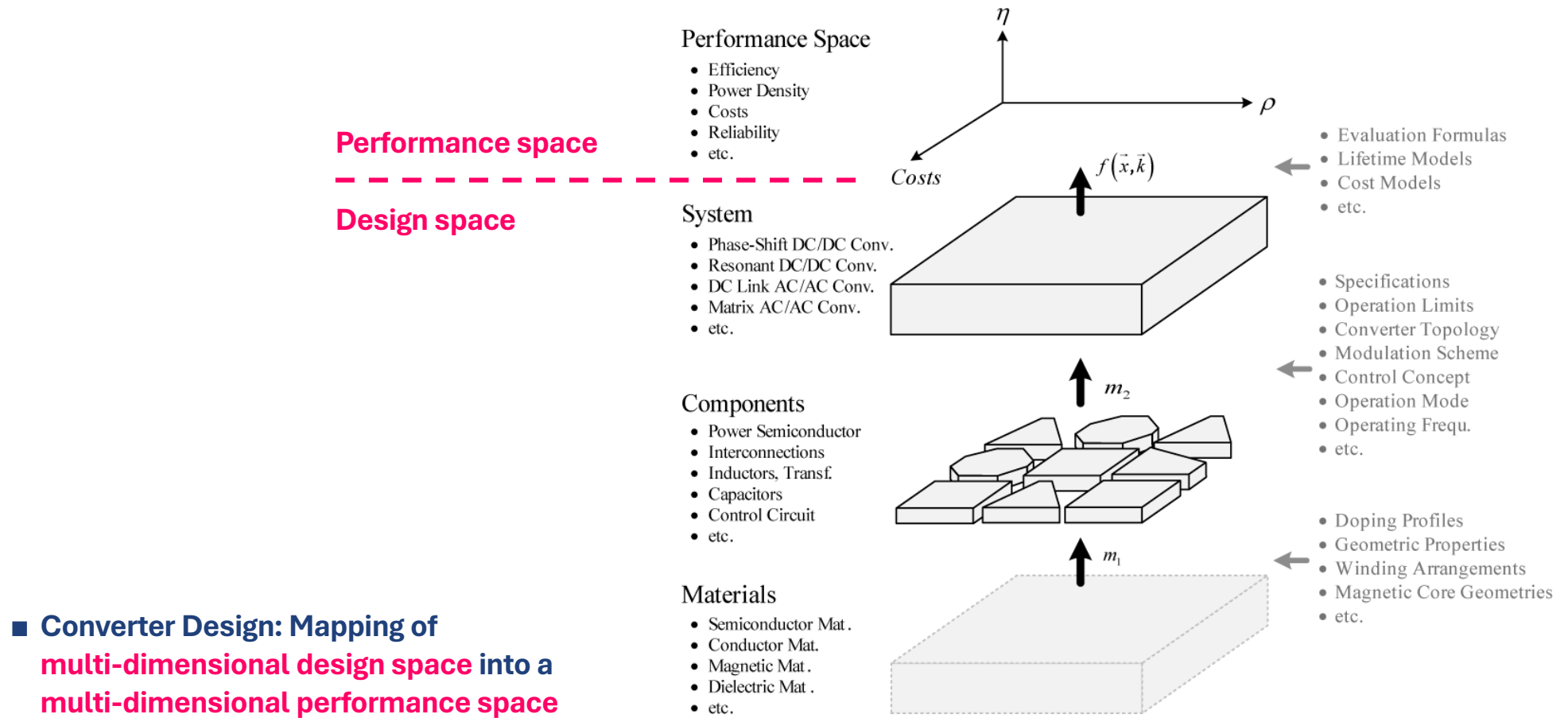
System Design Challenge

- Mutual coupling of performance indicators → Trade-off analysis!



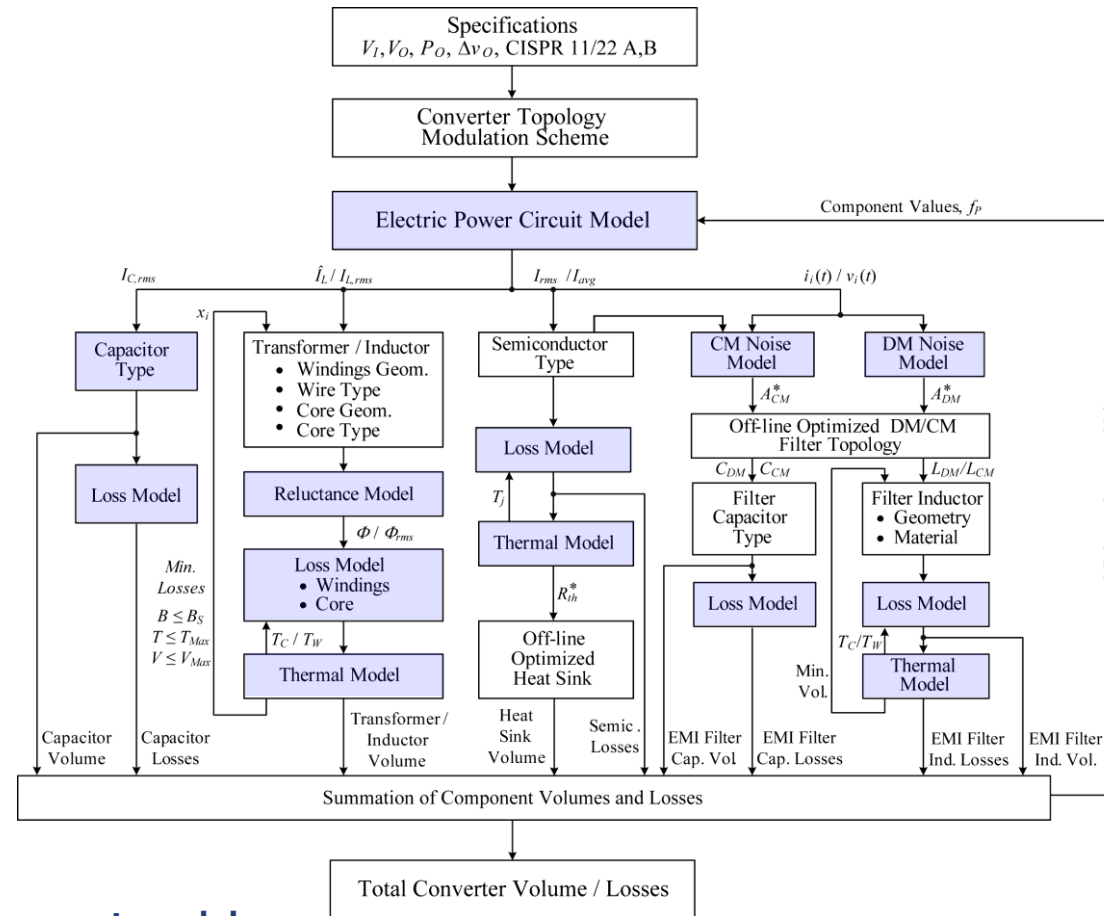
- For optimized systems, it is not possible to improve several perf. indicators *simultaneously*

Abstraction of Power Converter Design



Source: J. W. Kolar, J. Biela, S. Waffler, T. Friedli, and U. Badstuebner, "Performance trends and limitations of power electronic systems," in *Proc. 6th Int. Integr. Power Electron. Systems Conf. (CIPS)*, Nuremberg, Mar. 2010.

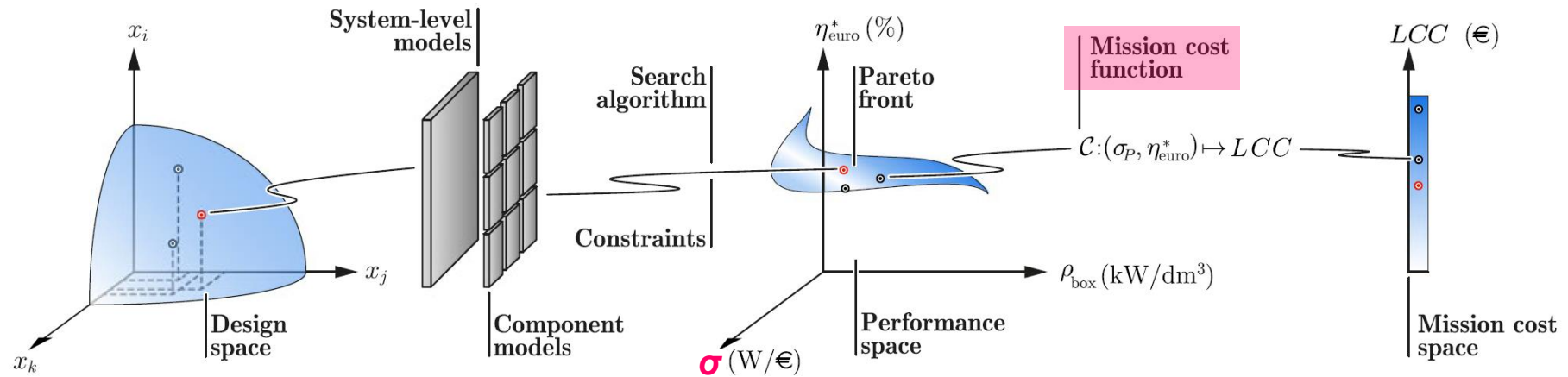
Modeling of Converter Designs



- System/circuit & component models
- Iteration over all combinations of design degrees of freedom

Multi-Objective Optimization of Converter Designs

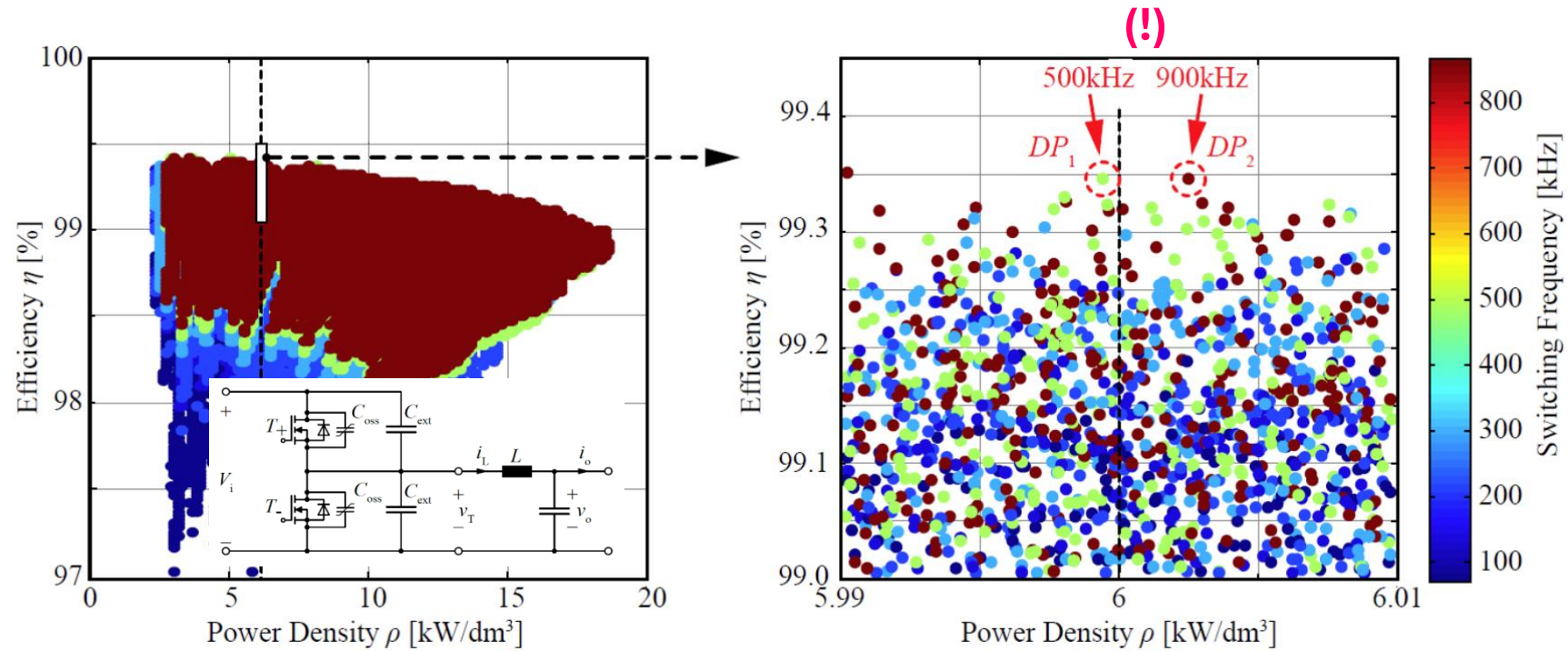
- **Pareto front:** Boundary of the feasible performance space
- **Mission profiles:** Power loss \rightarrow Energy loss / **Life-cycle cost (!)**



- **Typically considered performance indices:**
 - η Efficiency in %
 - ρ Volumetric power density in kW/dm³
 - γ Gravimetric power density in kW/kg
 - σ **Cost density in W/€**

Design Space Diversity

- Very different design space coordinates map to very similar performance space coordinates

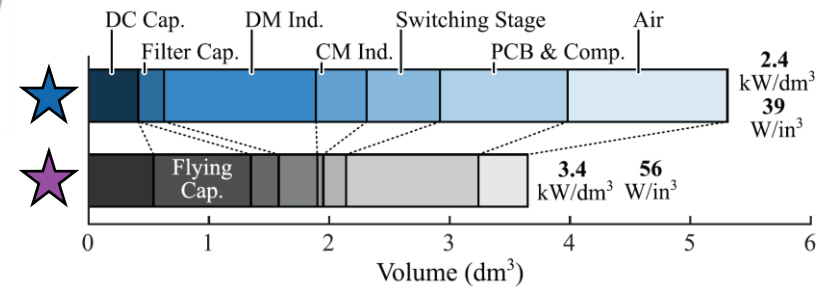
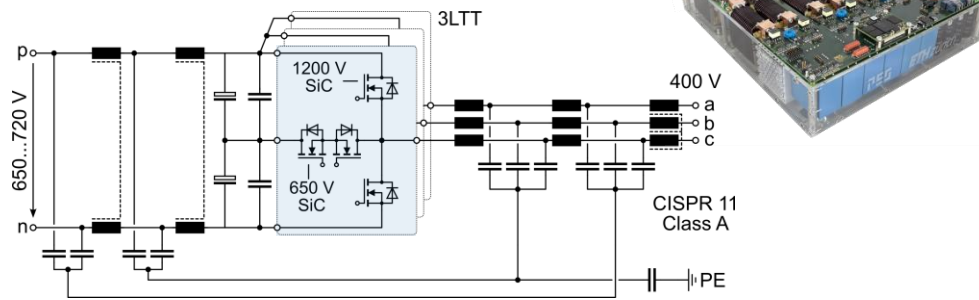


- Example: Google Littlebox design optimization w. PWM operation / Mutual comp. of HF and LF loss contrib.

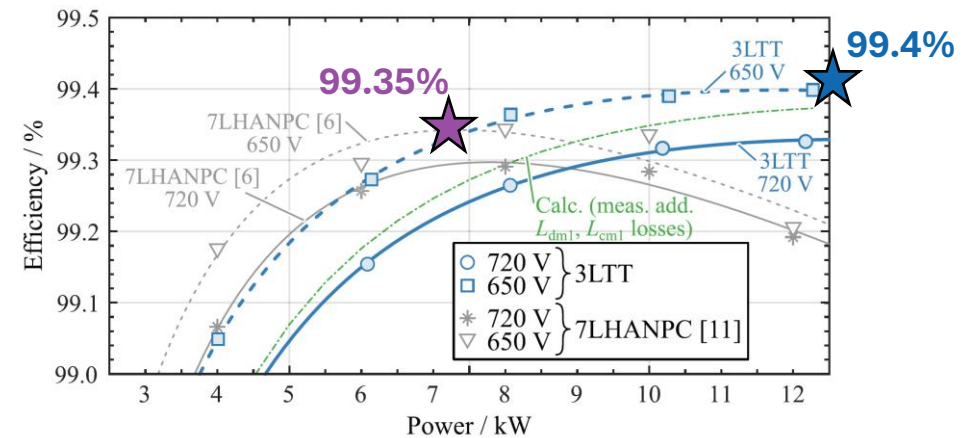
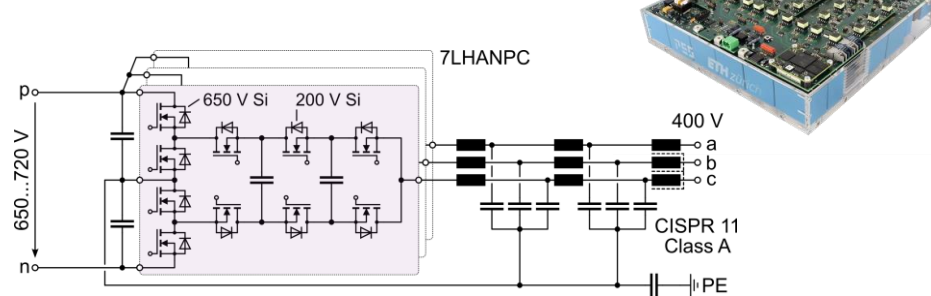
Design Space Diversity: 3L & 7L PV Inverters

■ Two concepts / similar specs — 12.5 kW, 650...720 V DC, CISPR 11 Class A — Similar perf. ($\eta_{CEC} = 99.1\%$)

3-Level All-SiC T-Type PV Inverter ★
99.4%, 2.4 kW/dm³



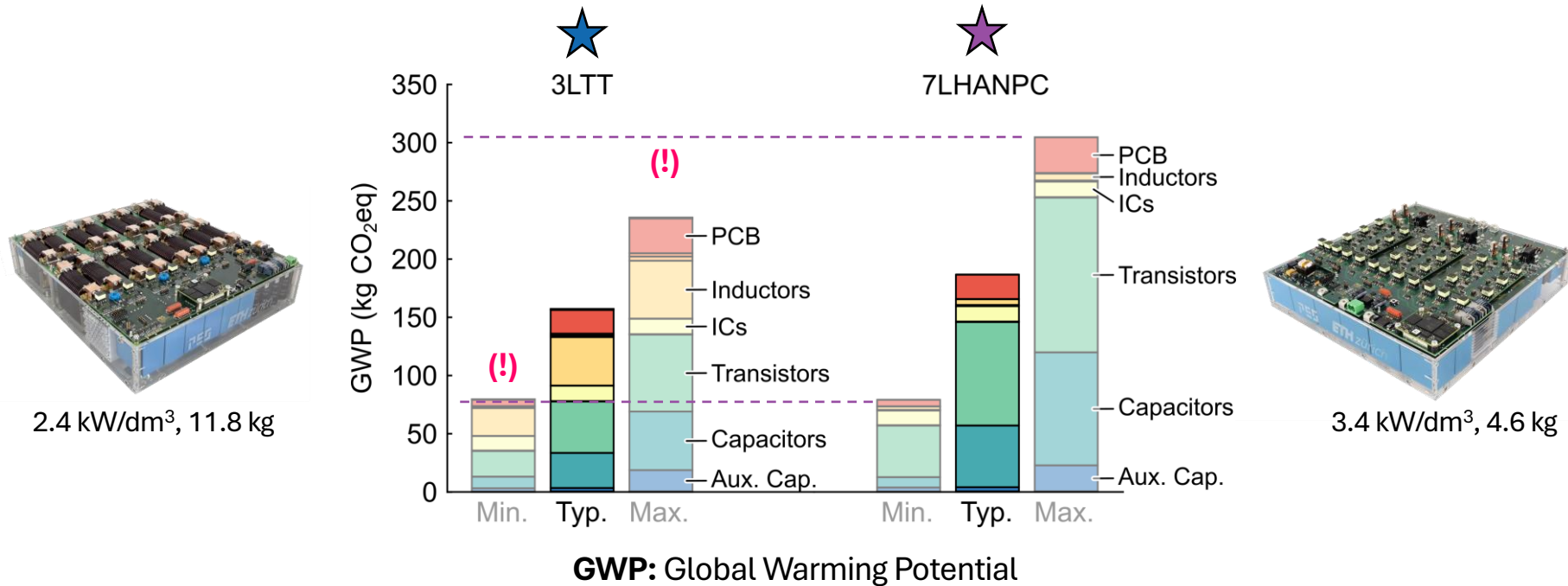
7-Level All-Si HANPC PV Inverter ★
99.35%, 3.4 kW/dm³



■ Differences in environmental impact?

A Posteriori LCA of 3L & 7L PV Inverters (1)

■ Two concepts / similar specs — 12.5 kW, 650...720 V DC, CISPR 11 Class A — Similar perf. ($\eta_{CEC} = 99.1\%$)



- Generic comp. models / ecoinvent database & lit. → Widely varying embodied carbon footprint (GWP) res. (!)
- Data availability / quality as key challenge!

Carbon Footprint is Not Enough!

■ Life cycle **impact** assessment (LCIA) phase of LCA — **Environmental profile w. wide range of perf. indicators**

■ **Example: ReCiPe 2016**
Three areas of protection / endpoint categories

● **Human Health**

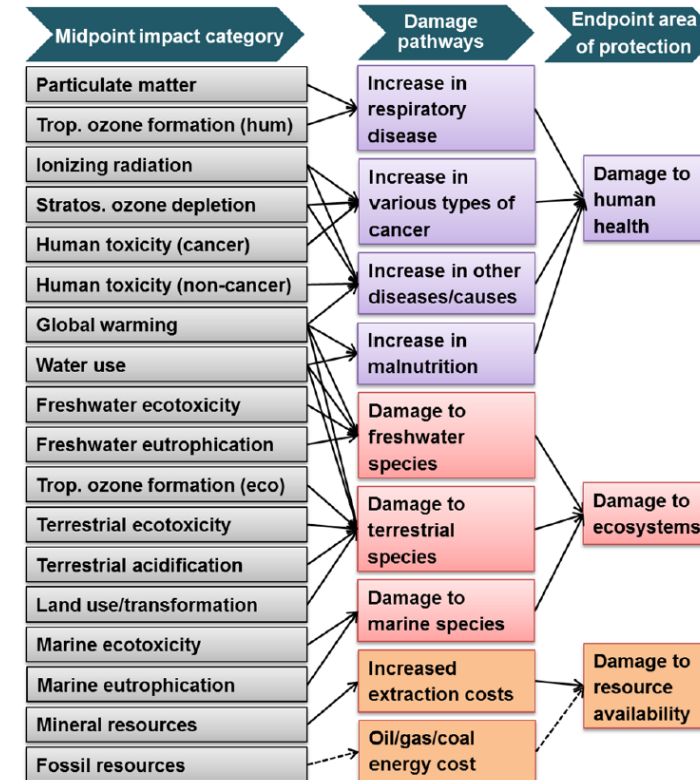
Damage to Human Health (DHH)
in Disability-Adjusted Loss of Life Years (DALY)

● **Ecosystem Quality**

Damage to ecosystem quality (DESQ)
in Time-Integrated Species Loss (species · yr)

● **Resource Scarcity**

Damage to resource availability (DRA)
in surplus cost / dollars (\$)



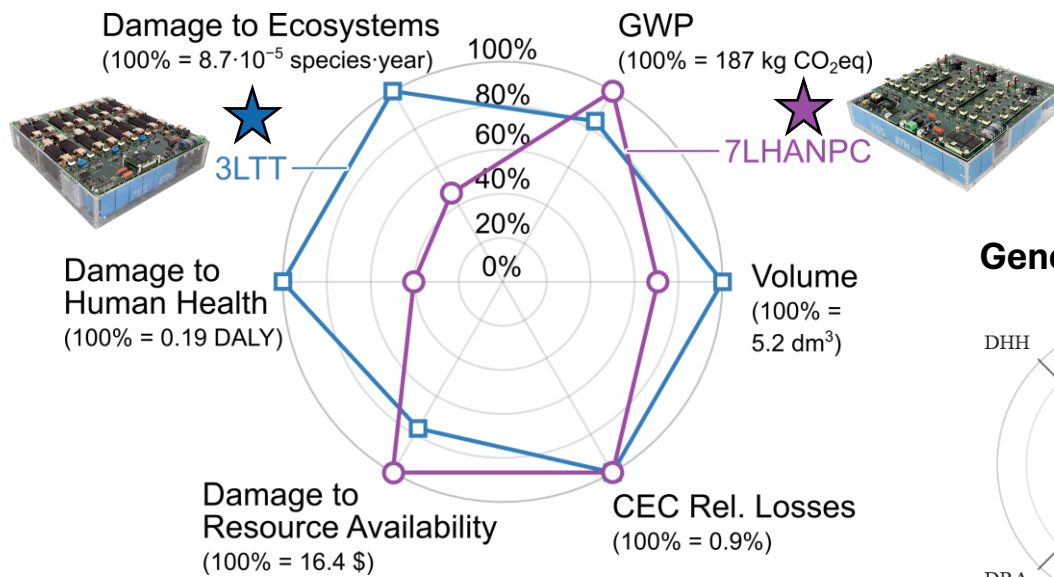
Source: Huijbregts et al., ReCiPe 2016 v1.1 Report

■ Value choices (**individualist / hierarchist / egalitarian**) affect time horizon, included effects, etc.

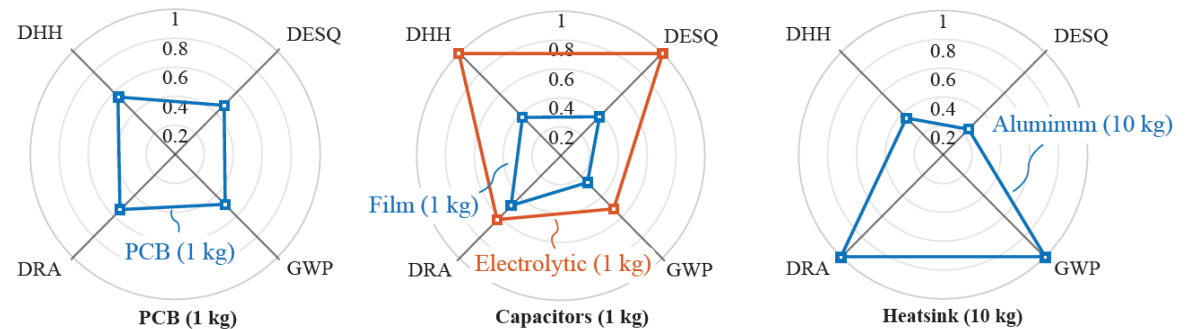
■ Alternative frameworks like **EU Environmental Footprint (EF 3.1)** exist

A Posteriori LCA of 3L & 7L PV Inverters (2)

- **Two concepts / similar specs** — 12.5 kW, 650...720 V DC, CISPR 11 Class A — **Similar perf.** ($\eta_{CEC} = 99.1\%$)
- **Life Cycle Impact Assessment (LCIA) w. ReCiPe framework:**
 - Damage to ecosystems (DESQ) | Damage to human health (DHH) | Damage to resource availability (DRA)



Generic Comp. Mod.

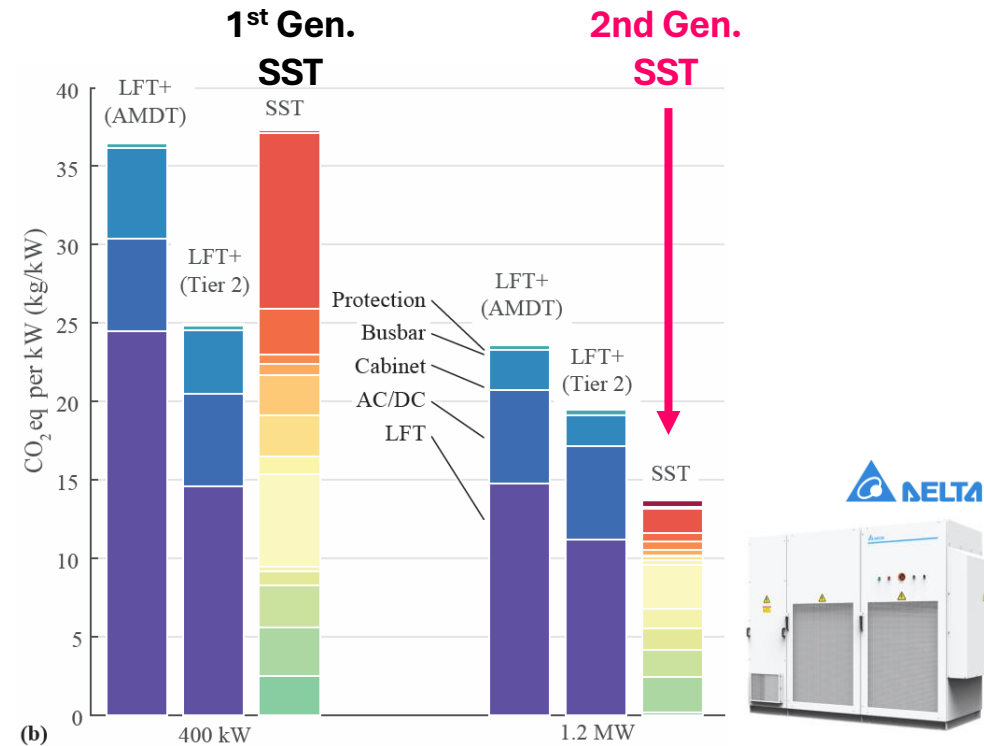
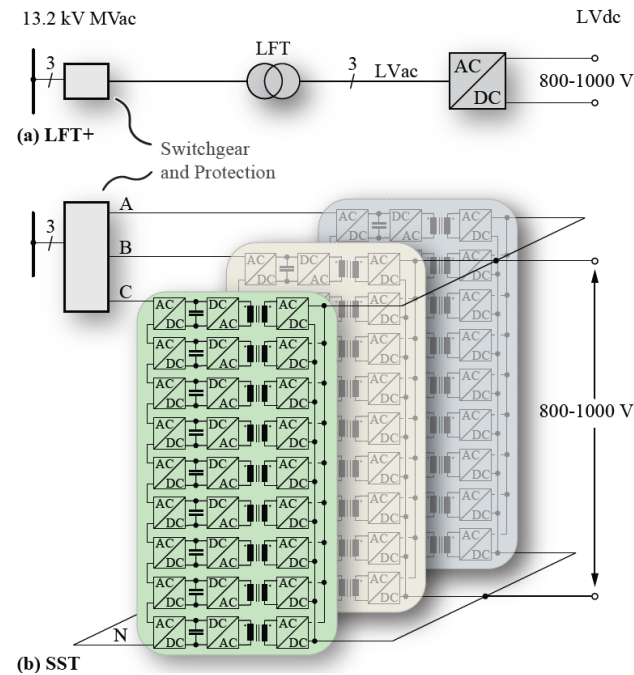


Normalized scales due to ecoinvent licensing restrictions.

- **Environmental footprint** of converter as aggregate of components' environmental footprints

Design Space Diversity: MVac-LVdc with LFT or SST

- **Identical specifications** — 13.8 kV MVac to 800 V LVdc / 400 kW or 1200 kW
- **Similar efficiencies and use-phase emissions**

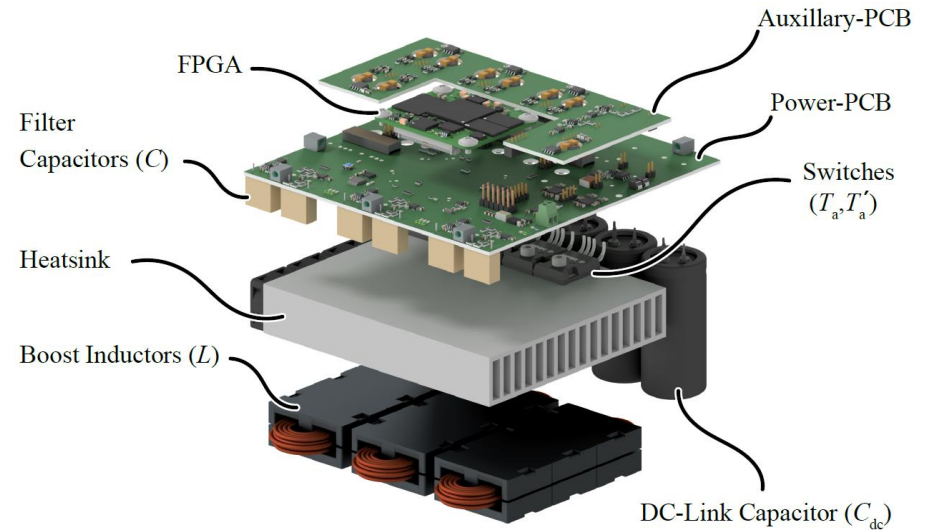
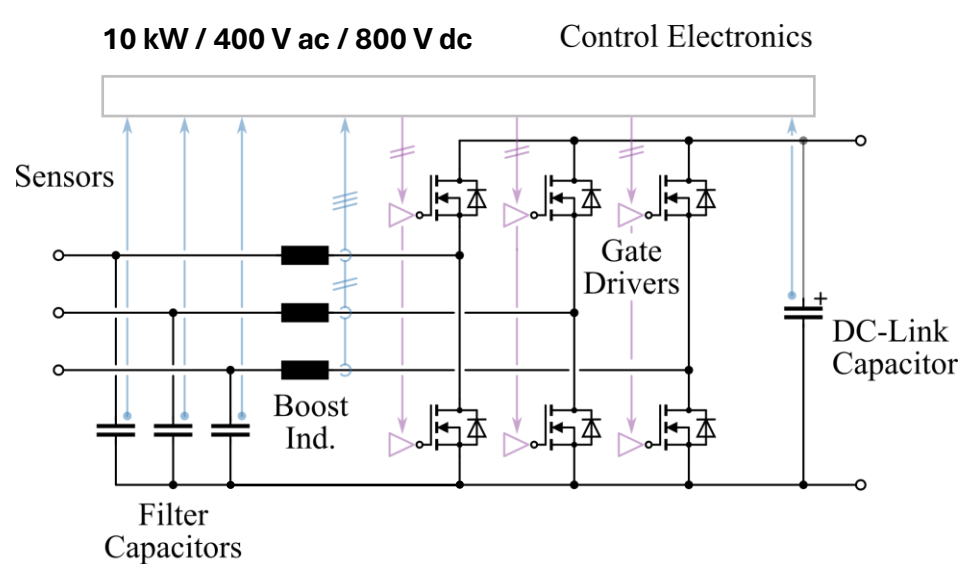


- **Embodied carbon footprint** aggregate of components' carbon footprints
- **Significant improvement from Gen. 1 → Gen. 2 SST: Optimization is key** for leveraging PE potential!

A Priori Consideration of Environmental Impacts in the Design Process? 

A Priori LCA Example: 10-kW Three-Phase AC-DC PEBB

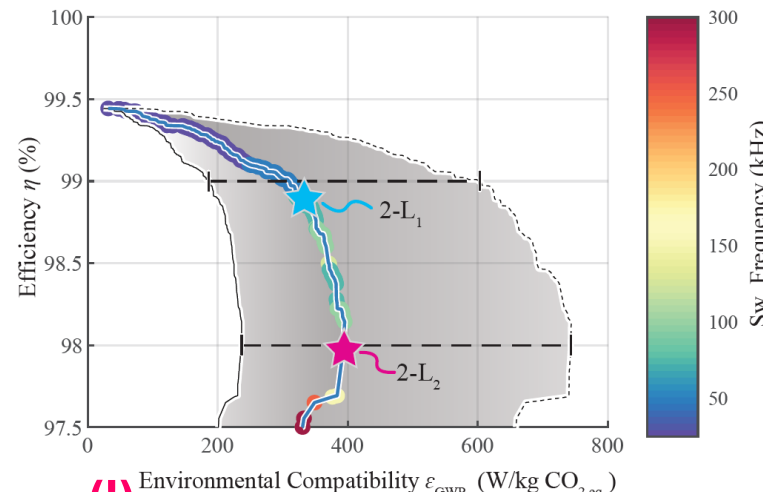
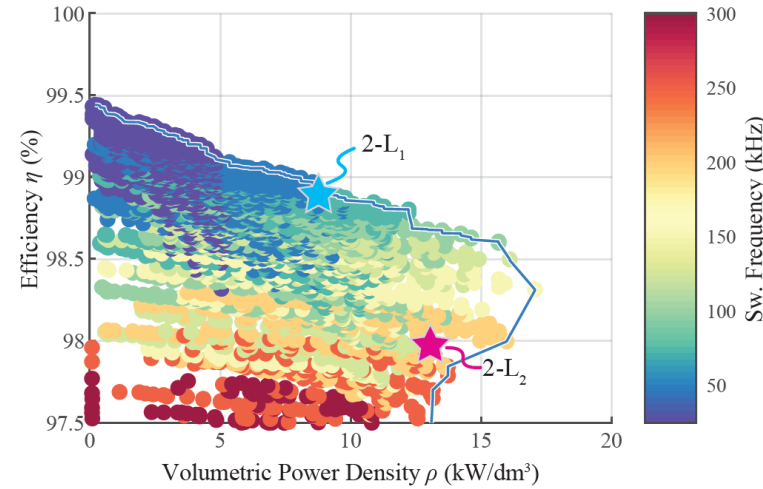
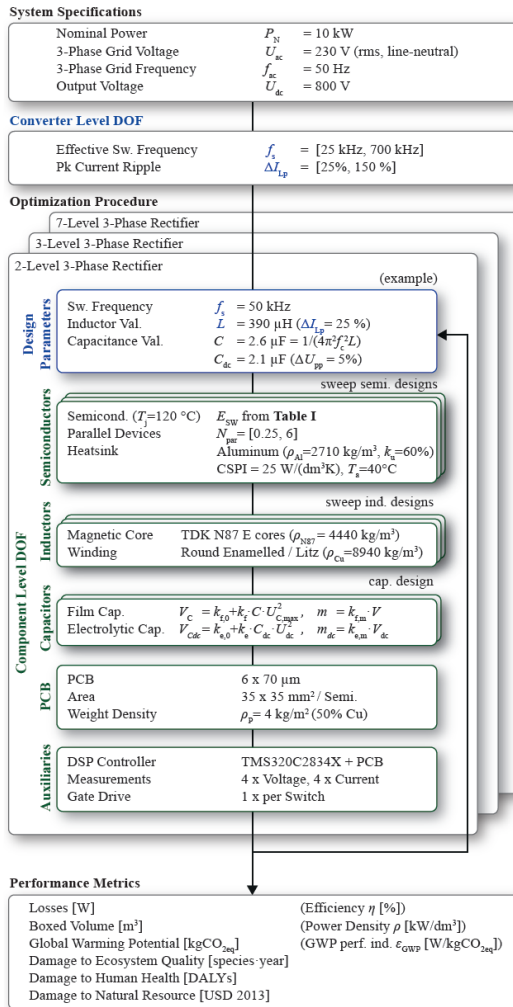
- Key power electronic building block (PEBB) for three-phase PFC rectifiers & inverters



- **Degrees of freedom:**
 - Switching freq. [25...700 kHz]
 - Rel. Ind. Peak cur. ripple [0.25...1.5]
 - Var. transistor chip area
 - Variable ind. size (N87; solid/litz)

- **Assumptions:**
 - Junction temp. @ 120 °C
 - Ambient temp. 40 °C
 - Necessary heat sink vol. via CSPI = 25 W/(K dm³)

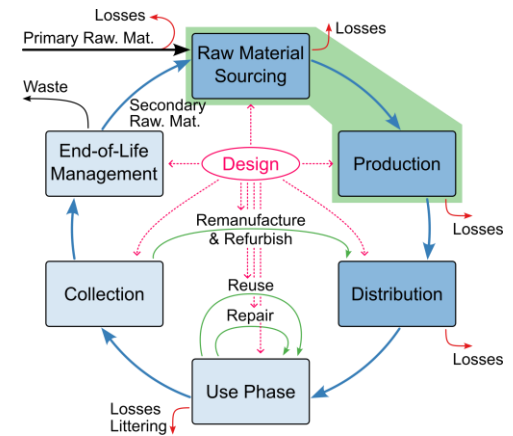
Multi-Objective Optimization Including Env. Impacts (1)



Trade-Offs

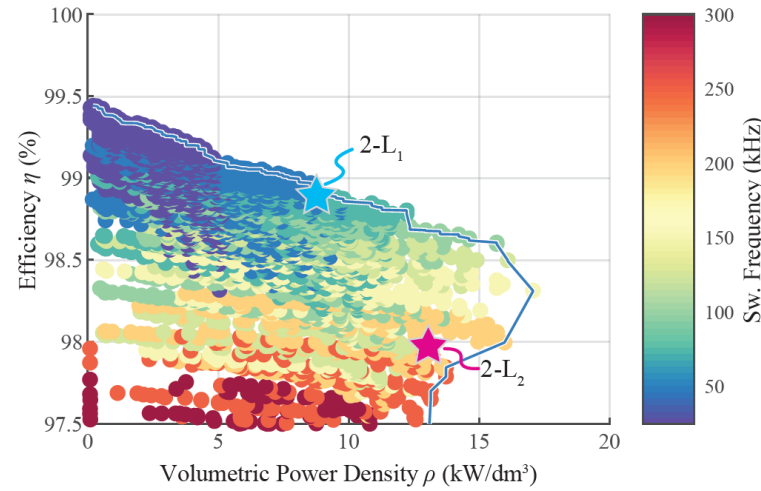
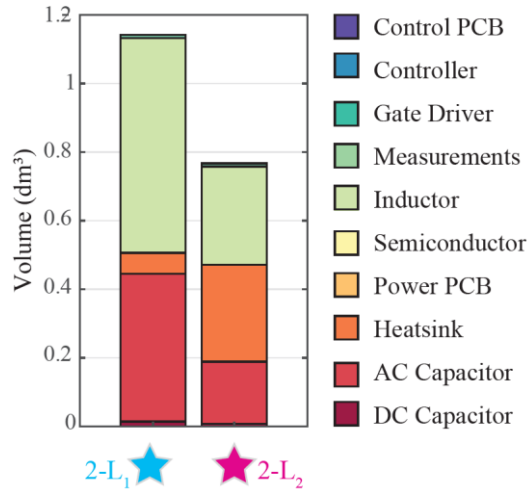
- Efficiency vs. power density
- Efficiency vs. environmental compatibility regarding embodied GWP (carbon footprint)

Env. Impacts with high uncertainties due to data availability/quality



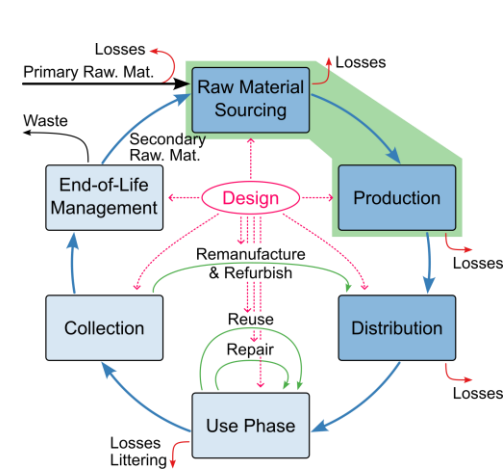
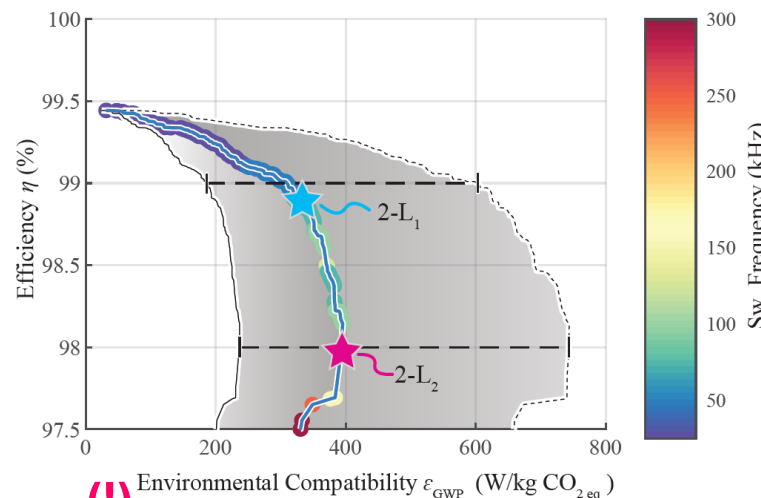
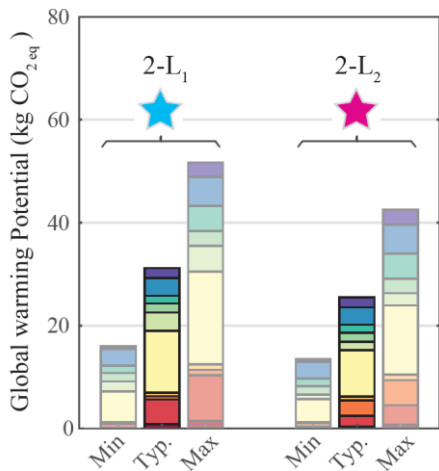
(!) Environmental Compatibility ϵ_{GWP} (W/kg CO_{2eq})

Multi-Objective Optimization Including Env. Impacts (2)



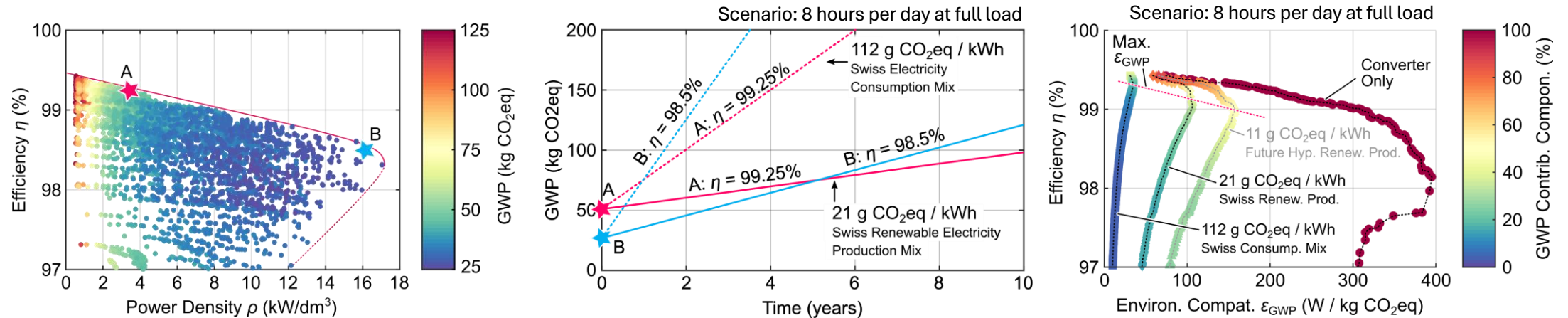
- Trade-Offs
 - Efficiency vs. power density
 - Efficiency vs. environmental compatibility regarding embodied GWP (carbon footprint)

- Env. Impacts with high uncertainties due to data availability/quality



Multi-Objective Optimization Including the Use Phase

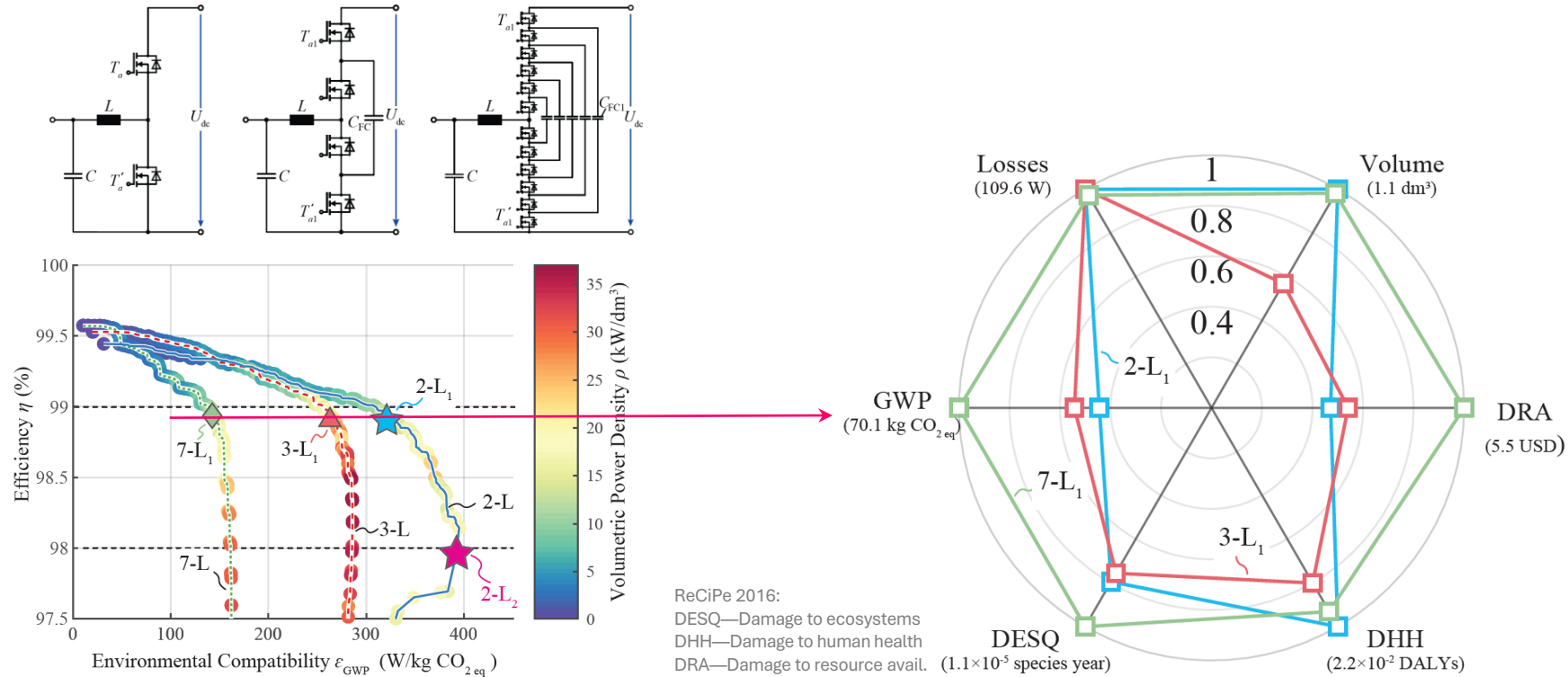
- Life-cycle carbon footprint strongly depends on electricity mix and mission profile / usage intensity



- Design should consider use phase for best life-cycle performance
- Analogy to total cost of ownership (TCO) perspective

Comprehensive Environmental Impact Profiles

- Different bridge-leg topologies — 2-Level (1200-V SiC) | 3-Level (650-V SiC) | 7-Level (200-V Si)



ReCiPe 2016:
 DESQ—Damage to ecosystems
 DHH—Damage to human health
 DRA—Damage to resource avail. (1.1 × 10⁻⁵ species year)

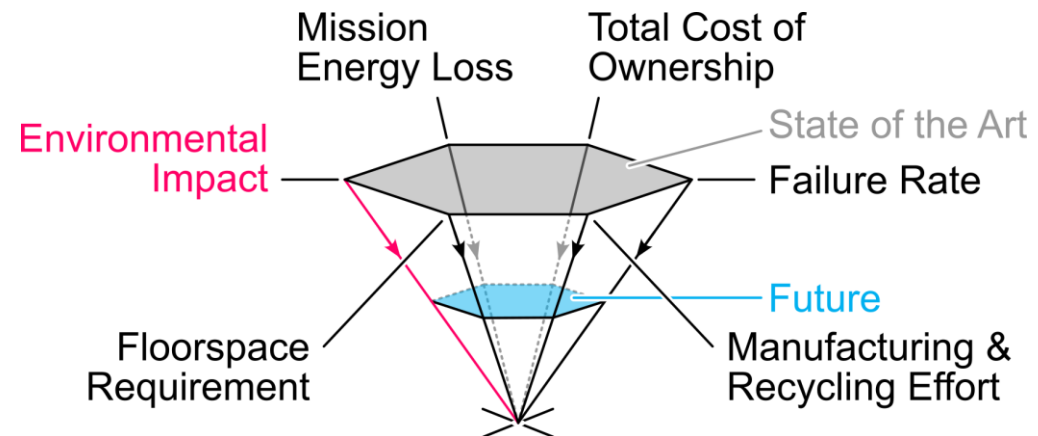
- Embod. env. footprint of 2L/3L/7L-designs with $\eta \approx 99\%$ and max. env. compat. ϵ_{GWP} in W / kg CO₂eq
- Same efficiency via different usage of act./pass. components — Different environmental impact profile!

Future Performance Indicators

- Assuming 20+ years lifetime → **Systems installed today reach end-of-life by 2050 (!)**
- Life cycle assessment (**LCA**) mandatory for all future system designs

■ Complete set of new performance indicators

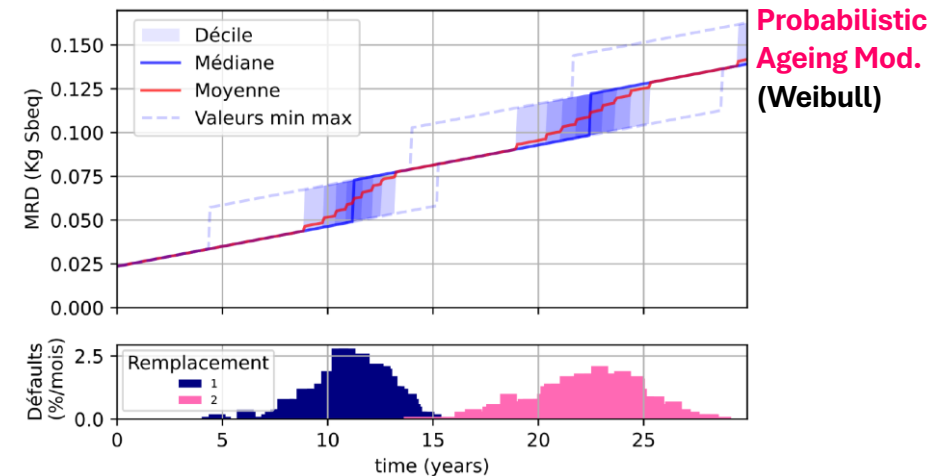
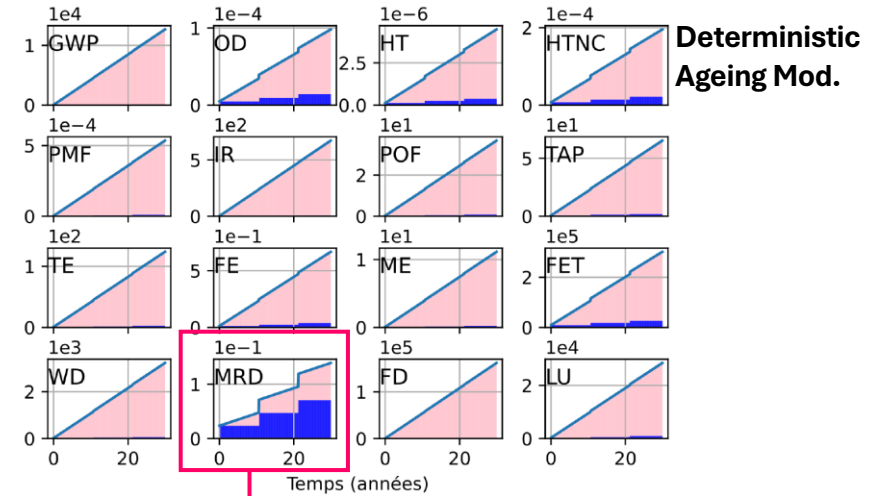
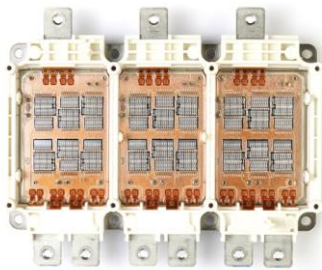
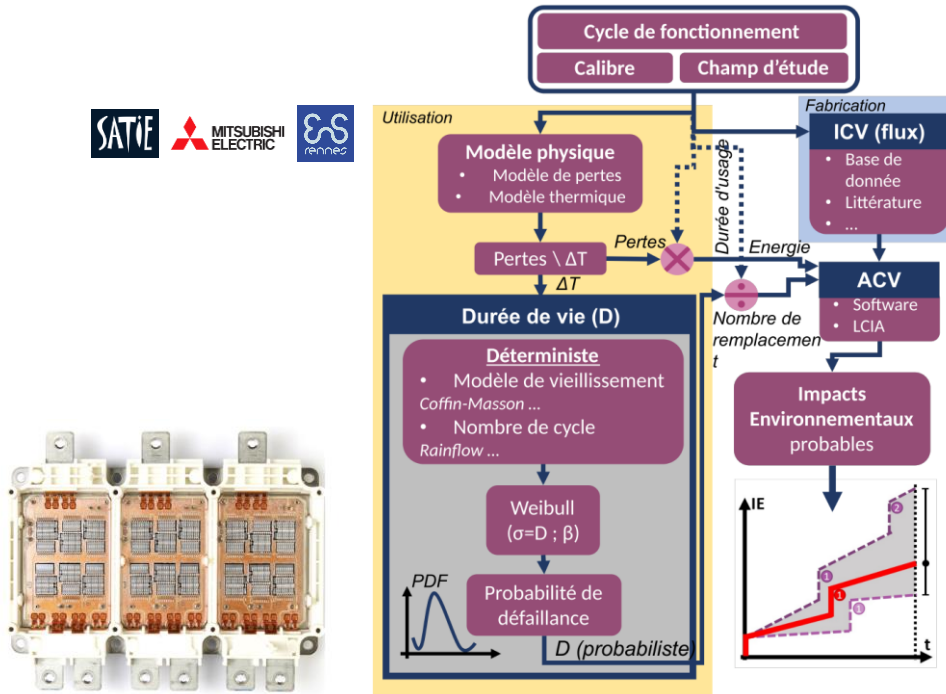
- Environmental impact [kg CO₂eq / kW, ...]
- Resource efficiency [kg_{xx} / kW]
- Embodied energy [kWh / kW]
- TCO [\$ / kW]
- Power density [kW/dm³, kW/dm²]
- Mission efficiency [%]
- Failure rate [h⁻¹]



- Mission/location-specific **trade-off embod. vs. life-cycle environ. impact** — Losses / Reliability / Lifetime
- Compatibility with a circular economy (!) — **Repairability / Reusability / Recyclability**

Remark: Ageing Modeling and Environmental Impacts (1)

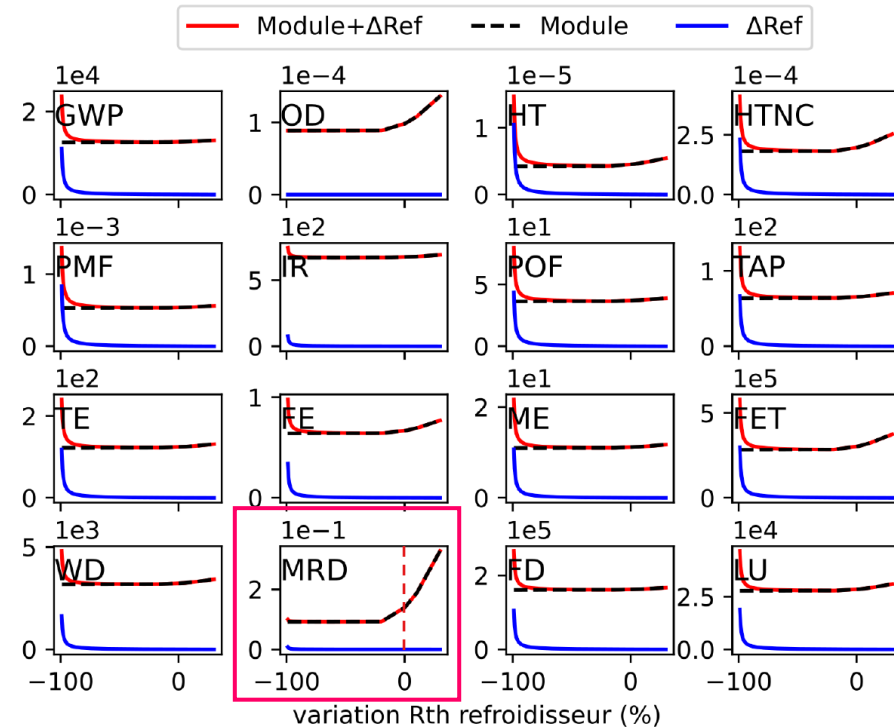
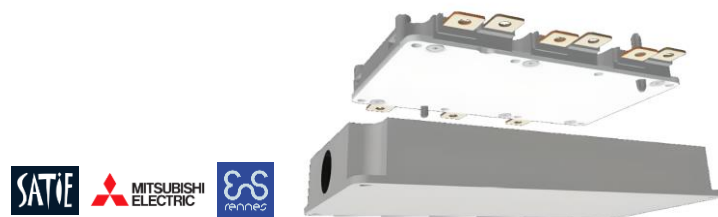
- IGBT module / 30 yr / 20'000 op. hours WLTP cycle
- Life-cycle environmental impacts with (probabilistic) ageing models (Coffin-Manson) & replacement
- Focus on MRD — Resource use, minerals and metals



Source: B. Baudais, H. Ben Ahmed, G. Jodin, N. Degrenne, and S. Lefebvre, "Influence des modèles de vieillissement sur les impacts environnementaux pour les composants d'électronique de puissance," in Symposium de Génie Electrique (SGE2023), Lille, France, Jul. 2023. <https://hal.science/hal-04189193>

Remark: Ageing Modeling and Environmental Impacts (2)

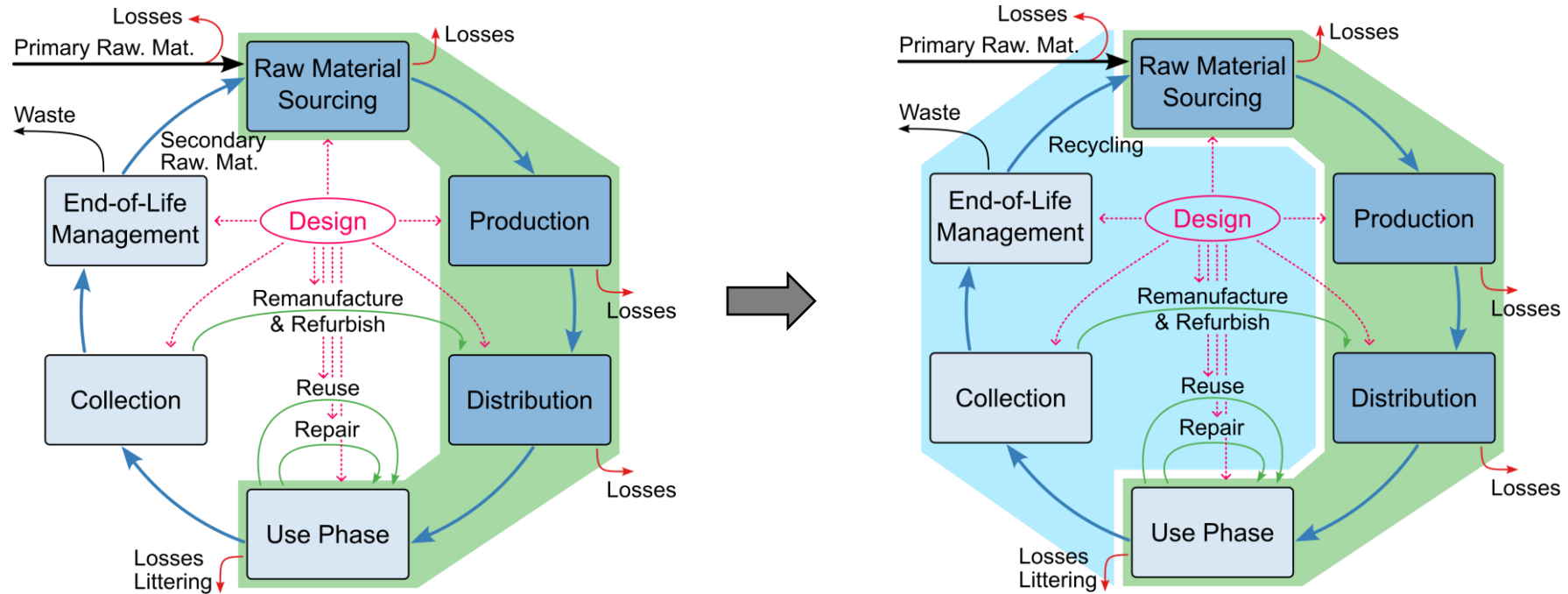
- Larger heat sink: **Higher realization effort** ↔ Lower temperatures and **slower ageing**
- IGBT module / 30 yr / 20'000 op. hours WLTP cycle



- Optimum thermal resistance R_{th} (heat sink size) exists!

“Closing the Loop”

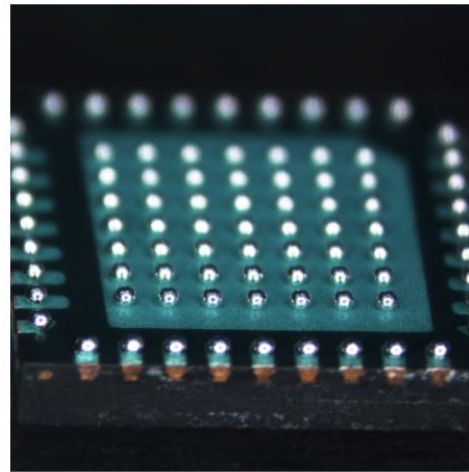
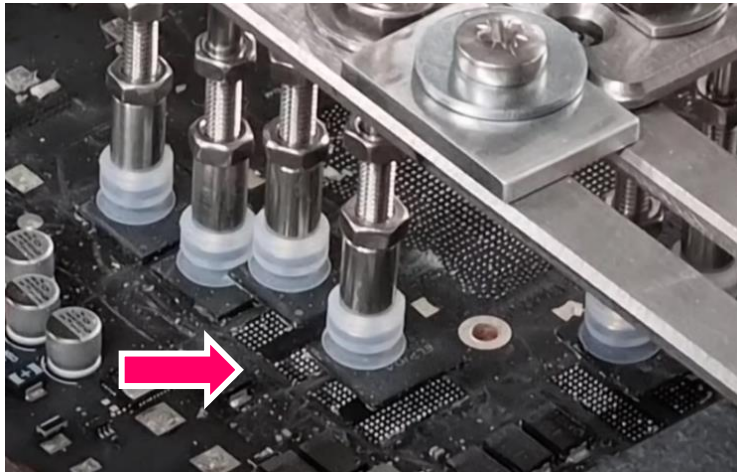
- Including **4R** into the design process — **Repair / Reuse / Refurbish / Recycle**



- **How to quantify** repairability / reusability / ...?
- Value proposition through life-cycle cost perspective (suppliers *and* customers)?

Remark: Electronic Component Reclaim / Reuse

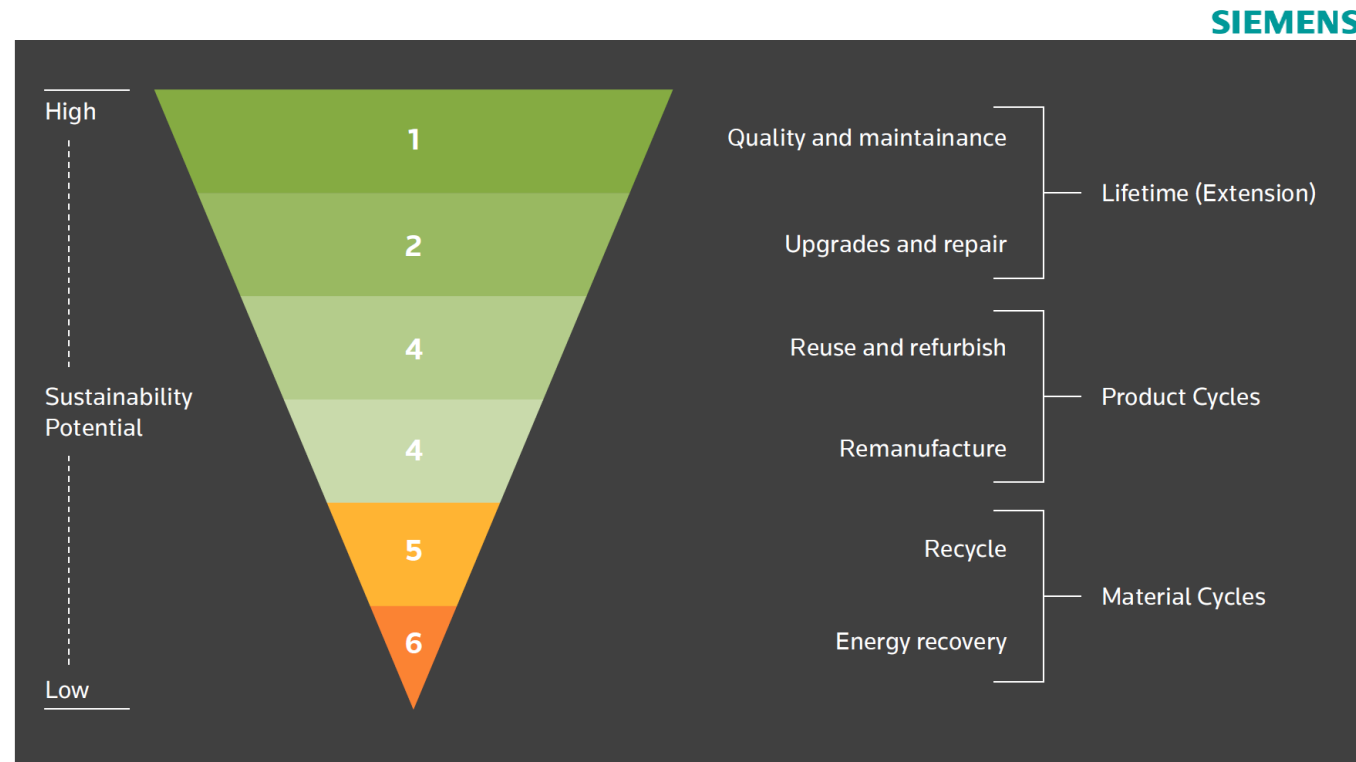
- Electronic waste recycling today: Shred / incinerate / extract most valuable resources — if at all!
- Alternative: **Reclaim & refurbish** / Desolder & re-ball



- Challenging logistics etc. for reclaiming PCBs from customers / Circular economy thinking needed
- Business case today especially for **scarce / valuable components**

Sustainability Potential

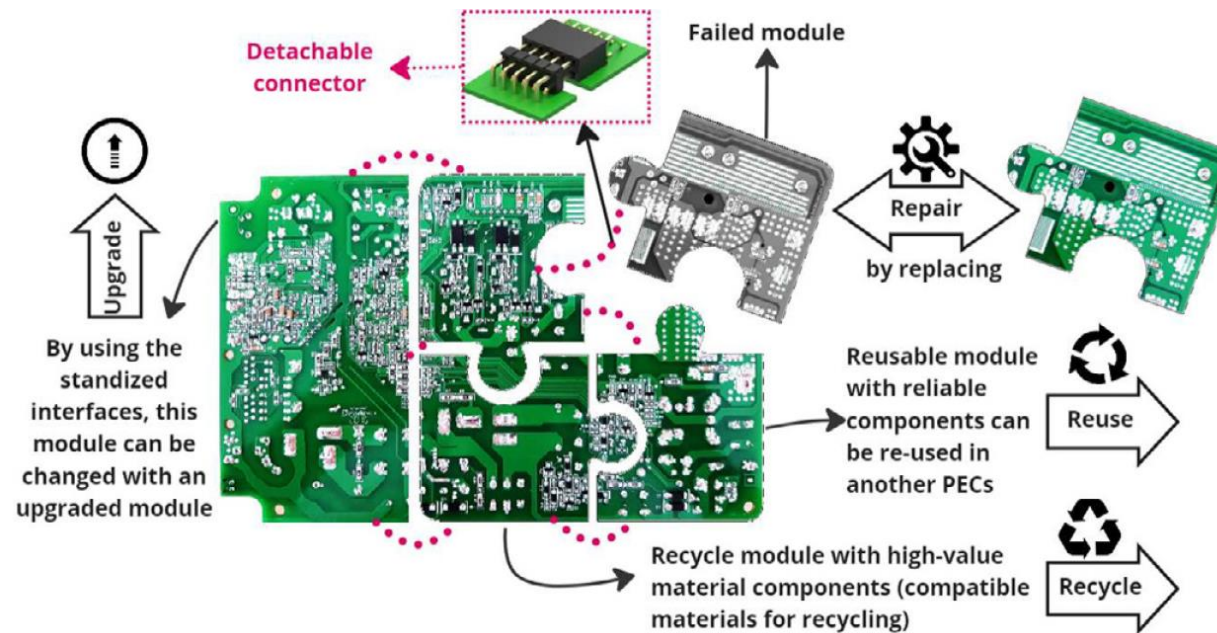
■ 2nd ELLEN MACARTHUR FOUNDATION circular economy principle: Circulate products and materials at their highest values



■ High reliability / lifetime extension → Lifetime / aging modeling

Modularity: Upgrade, Reuse, Repair, ...

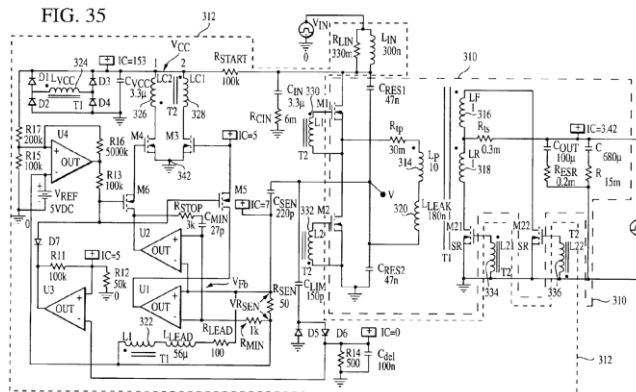
- Module design for ease of disassembly: **Maintainability, upgradability, repairability, reusability, recyclability**



- **Grouping of components** according to reliability level and expected lifetime / level of reusability or recyclability / ...
- Standardized interfaces / **Mechanically loose connections** ↔ **Electrical characteristics**
- Potential for leveraging economies of scale to compensate interface costs

Integration: Minimize Size / Initial Resource Usage

- **Maximum integration facilitates extreme power densities (10...100 x conv.)**
- Example: **30 kW** non-isolated fixed-ratio conversion (**400 V to 800 V**)
in $92 \times 80 \times 7.4 \text{ mm}^3$ — **550 kW/dm³** and 130 kW/kg
- **Low initial material usage** ↔ **Difficult material separation**
- **Importance of recyclability?**

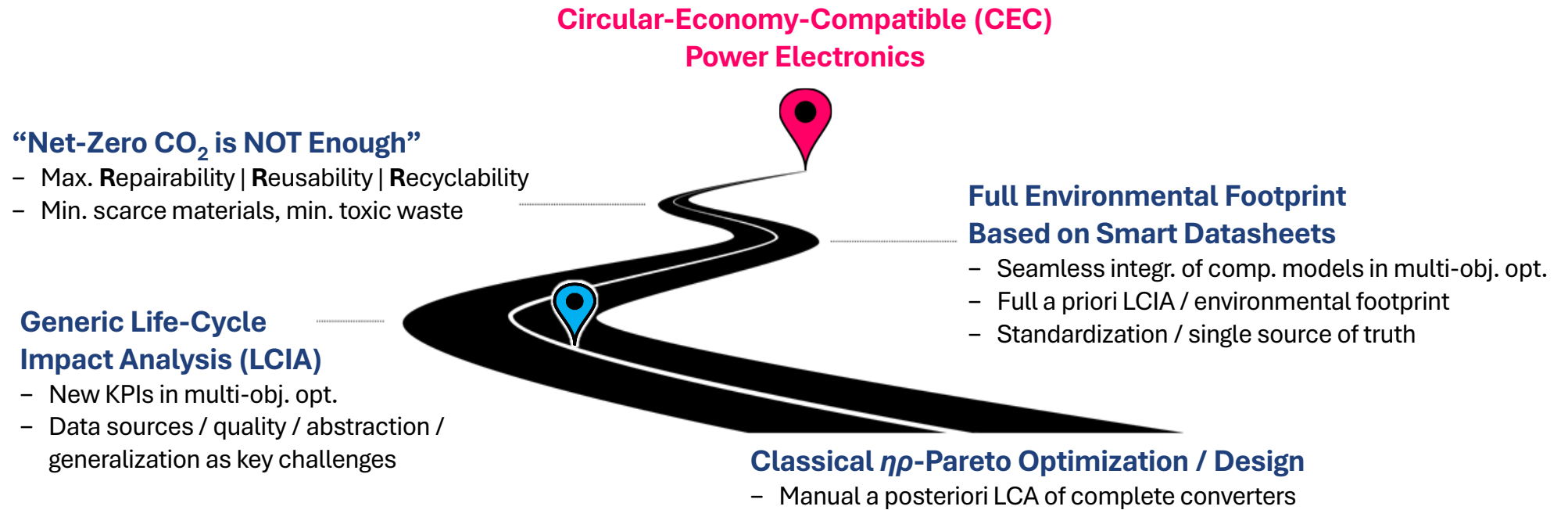


Example: Isolated dc-dc

1	2	3	4	5
Bare panel	Surface mounting	Overmolding	Plating	CHIP modules
The process begins with a bare panel, ready for multiple instances of the same high-performance module, analogous to a silicon wafer	High-quality power components, including magnetics, are mounted and soldered via state-of-the-art pick-and-place tools	A plastic compound encases the panel, protecting the components and creating a flat surface that makes the final product easier to handle	Heat conducting metals are plated onto the panel to enable a thermally efficient and reliable finished product	The panels are singulated into individual modules and tested for conformance to data sheet specifications

CEC Power Electronics Roadmap

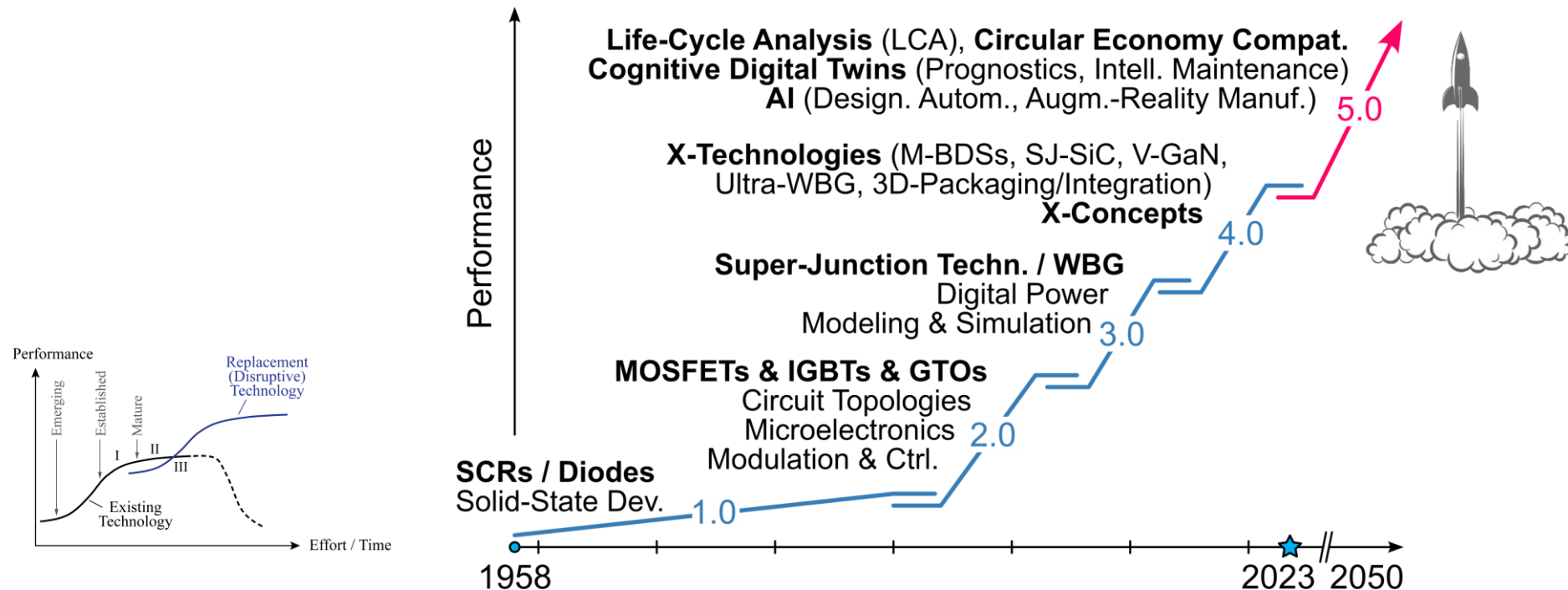
- **Environmental awareness** as integral part of **environmentally conscious power electronics design**



- **Automated design** | **On-line monitoring** | **Preventive maintenance** | **Digital product passport**

Power Electronics 5.0

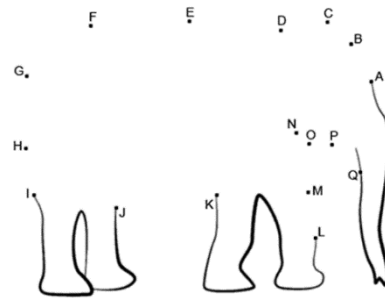
- Power Electronics 1.0 → Power Electronics 5.0
- X-Technologies & X-Concepts
- New main performance indicators (!)



- Life-cycle analysis / circular economy compatibility are key for sustainable Power Electronics 5.0

Source: J. Huber, L. Imperiali, D. Menzi, F. Musil, and J. W. Kolar, "Energy efficiency is not enough!" *IEEE Power Electron. Mag.*, vol. 11, no. 1, pp. 18–31, Mar. 2024.

Thank You!



Further Reading

- J. Huber, L. Imperiali, D. Menzi, F. Musil, and J. W. Kolar, “Life-cycle carbon footprints of low-voltage motor drives with 600-V GaN or 650-V SiC power transistors,” in *Proc. Int. Conf. Integr. Power Syst. (CIPS)*, Düsseldorf, Germany, Mar. 2024.
- J. Huber, L. Imperiali, D. Menzi, F. Musil, and J. W. Kolar, “Energy efficiency is not enough!,” *IEEE Power Electron. Mag.*, vol. 11, no. 1, pp. 18–31, Mar. 2024.
- L. Imperiali, D. Menzi, J. W. Kolar, and J. Huber, “Multi-objective minimization of life-cycle environmental impacts of three-phase AC-DC converter building blocks,” in *Proc. IEEE Appl. Power Electron. Conf. Expo. (APEC)*, Long Beach, CA, USA, Feb. 2024.
- J. W. Kolar, L. Imperiali, D. Menzi, J. Huber, and F. Musil, “Net zero CO₂ by 2050 is NOT Enough (!),” *Keynote at the 25th Europ. Conf. Power Electron. Appl. (EPE)*, Aalborg, Denmark, Sep. 2023.

